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Adaptive neural sliding controller for coaxial-rotor aircraft system with input saturation: a model-free control approach

Abdelghani Chelih^{1,2}  · Hossam Eddine Glida³ · Chouki Sentouh^{4,5} · Jagat Jyoti Rath⁶

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Abstract

This paper presents the design of a model-free controller using radial basis function neural networks (RBFNNs) and sliding mode (SM) estimators for a coaxial-rotor aircraft system in the presence of unknown dynamics and input saturation. The proposed control approach decomposes the overall dynamic of the aircraft system into a set of interconnected subsystems, employing a nonlinear feedback control approach. The SM estimator is introduced for each subsystem to approximate the unknown dynamic functions with the saturation error provided, while an adaptive RBFNN is incorporated to compensate the estimation error. The global asymptotical stability of the closed-loop control system is established using Lyapunov theory. Numerical simulations conducted on a coaxial-rotor system template demonstrate the robustness and effectiveness of the proposed control strategy.

Keywords Aircraft system · Coaxial-rotor · Model-free control · Radial basis function neural network · Adaptive control · Sliding mode control

1 Introduction

Unmanned aerial vehicles (UAVs), commonly known as drones, have quickly evolved into versatile tools with a wide

range of real-world applications. Advances in UAV technology have made them suitable for delivering innovative, cost-effective and efficient solutions across various tasks and missions. Originally developed for military purposes, UAVs have become widely used in civilian sectors, transforming industries such as agriculture, environmental monitoring [1] and disaster response [2]. The coaxial-rotor UAV falls into a specialized category of drones known for their excellent stability and control performance, attributed to their dual-rotor design and counter-rotating dynamics. This makes them well-suited for precision tasks such as aerial filming, surveillance and infrastructure inspection. Their compact design enables easy navigation in confined spaces, making them valuable for indoor and/or outdoor applications. The control of coaxial-rotor drones can be achieved remotely involving a human operator or autonomously following a predetermined flight path or responding to environmental cues, depending on the intended application and the technology incorporated into the drone.

✉ Abdelghani Chelih
chelih.abdelghani@yahoo.fr

Hossam Eddine Glida
hossam-eddine.glida@unicaen.fr

Chouki Sentouh
chouki.sentouh@uphf.fr

Jagat Jyoti Rath
jagatjyoti.rath@gmail.com

¹ Department of Electronics, Faculty of Technology, Contantine 1 University, Constantine, Algeria

² Department of Electrical Engineering, LI3CUB Laboratory, University of Biskra, Biskra, Algeria

³ LIS UR 7478, University of Caen Normandie, ENSICAEN, UNICAEN, Caen, France

⁴ Department of Automatic Control, LAMIH-UMR CNRS 8201, Hauts-de-France Polytechnic University, Valenciennes, France

⁵ INSA Hauts-de-France, Valenciennes, France

⁶ Department of Electrical Engineering, MNNIT, Allahabad, Prayagraj, Uttar Pradesh, India

1.1 Related works

Autonomous control-type coaxial-rotor drones have been explored using linear control strategies including proportional–integral–derivative controllers (PID) [3], linear quadratic

regulators [4] and H_∞ control [5]. These linear controllers have a simple design structure but yield sub-optimal results when applied to the nonlinear dynamics of the coaxial-rotor drone. To address such concerns, nonlinear controllers such as those based on feedback linearization control [6], backstepping control [7] and sliding mode control [8, 9] were explored for coaxial-rotor drone control. However, strict assumptions and complexity of design make the real-time implementation of such control strategies very challenging. In addition, the input saturation constraints in UAVs are related to the limitations of the actuators. In [10–12], suitable results have been shown that address this challenge by introducing auxiliary inputs-based model for the UAV actuators.

Reducing the limitations of such strategies, hybrid control techniques integrating intelligent/learning features with conventional nonlinear controllers have been explored. Along such lines, works on fuzzy sliding mode [13] and RBFNN [14, 15] controllers for coaxial-rotor drones were proposed. RBFNN has seen extensive adoption due to its unique attributes, including outstanding nonlinear function approximation, adaptability, learning capabilities, enhanced robustness and the feasibility of real-time implementation. The use of intelligent techniques is constrained when addressing model-based system dynamics. Due to the approximation of nonlinearities, disturbances and uncertainties in model-based architectures, the performance of RBFNN controllers can become sub-optimal. Model-free control (MFC) stands out as a well-known technique strategically employed to surmount the drawbacks associated with model-based approaches. The use of MFC architectures for drone control has been investigated in several studies, including [16–20]. In [21], a model-free homogeneous output tracking controller was proposed for quadrotor drones. The research in [22] introduced a robust model-free control strategy for a quadrotor UAV, focusing on both controller robustness and closed-loop system stability. Similarly, [23] presented a model-free control approach based on terminal sliding mode control, demonstrating impressive trajectory tracking performance.

1.2 Motivation

Although MFC control strategies are designed to reduce dependency on specific models, most still rely on prior knowledge of the nonlinear model at certain operating points. This dependence limits their ability to guarantee stability across the entire closed-loop system. Similarly, developing an RBFNN controller that can effectively handle nonlinearities, unmodeled dynamics and disturbances in a coaxial-rotor drone remains a challenge. The main motivation for this study can be summarized as follows:

- RBFNN-based control strategies have been explored for drones in [24, 25]. These control strategies are devel-

oped using a quasi-model-based approach, with RBFNN employed to estimate unmodeled dynamics that affect the system through a well-known nonlinear function. To address this limitation, in [26], two estimators were devised, one for the nonlinear input and another for the unmodeled dynamics.

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- The works of [19, 23] propose the model-free design of sliding mode controllers for drones while ensuring estimation of nonlinear unmodeled dynamics. A closer inspection of the control development reveals that the MFC design relies on knowledge of the absolute variation value of the model to calculate sliding mode parameters. This observation implies that the illustrated approaches are not entirely model-free, as it depends on the model at certain points.
- Although current MFC strategies for drones [27, 28] show proficient path tracking, their dependency on ultra-local models for robust controller design confines their validation to specific regions, overlooking broader stability considerations.

1.3 Contributions

Addressing the above issues, this paper introduces a hybrid control architecture for coaxial-rotor drones that relies on a robust, model-free RBFNN architecture. The closed-loop design integrates linear feedback, sliding mode disturbance estimation and RBFNN-based control to achieve stability while minimizing design complexity. The significant contributions of the paper are:

1. A new nonlinear approximation is introduced to capture the nonlinear characteristics of the coaxial-rotor, taking into account input saturation constraints. This mapping extends the work presented in [18] by our research team.
2. The integration of a SM-based estimator and a RBFNN controller for the development of the model-free control of the coaxial drone subject to disturbances is established. This innovative control strategy is designed to be applicable to large-scale nonlinear multiple-input and multiple-output (MIMO) dynamic systems, even when unmodeled nonlinearities are present.

- 137 3. The comprehensive control strategy adopts a decentral-
 138 ized command framework for driving the coaxial-rotor
 139 drone, wherein each position and attitude dynamic is gov-
 140 erned solely by local measurements. This design method
 141 streamlines the overall control architecture and facilitates
 142 its implementation in real applications.
- 143 4. Through rigorous Lyapunov analysis, the closed-loop
 144 asymptotic stability of the system is established. This
 145 analysis results in adaptive laws that guarantee the
 146 asymptotic convergence of tracking errors, validating the
 147 robustness and reliability of the proposed approach.

148 In the following sections, we will explore the dynamic mod-
 149 eling of a coaxial-rotor for simulation purposes, develop a
 150 novel model-free approach based on RBFNN sliding mode
 151 and present numerical results for the coaxial model.

152 Preliminaries

153 We recall the definition of the RBFNN:

154 **Definition 1** RBFNNs have been widely employed in vari-
 155 ous applications, including function approximation, pattern
 156 recognition and control systems [29, 30]. Its architecture
 157 comprises three main layers:

- 158 • **Input Layer** (E): The input layer of the RBFNN is rep-
 159 resented by the vector E . In the context provided, the
 160 input vector is used to define the tracking error and its
 161 first derivative.
- **Hidden Layer** (h_j): The hidden layer employs activation
 functions, which are defined as

$$h_j = \exp\left(-\frac{|x - C_j|^2}{\sigma_j^2}\right)$$

162 where C_j denotes the center of the j th neuron, σ_j repre-
 163 sents the width of the j th neuron.

- **Output Layer** ($\tilde{\Theta}^*$): The output layer aims to approxi-
 164 mate the ideal values for $\tilde{\Theta}$ using a weighted sum of the
 165 hidden layer outputs as
 166

$$\tilde{\Theta}^* = \mathbf{w}^{*T} \mathbf{h} + \xi \quad (1)$$

where \mathbf{w}^* obtained by minimizing the difference between
 the actual and estimated values of $\tilde{\Theta}$ as

$$\mathbf{w}^* = \underset{\mathbf{w}}{\operatorname{argmin}} \left[\sup_{x \in \Pi} |\tilde{\Theta} - \tilde{\Theta}^*| \right]$$

168 $\mathbf{h} = [h_1, \dots, h_m]^T$ is the vector of hidden layer outputs,
 169 m is the total number of hidden neurons and ξ represents

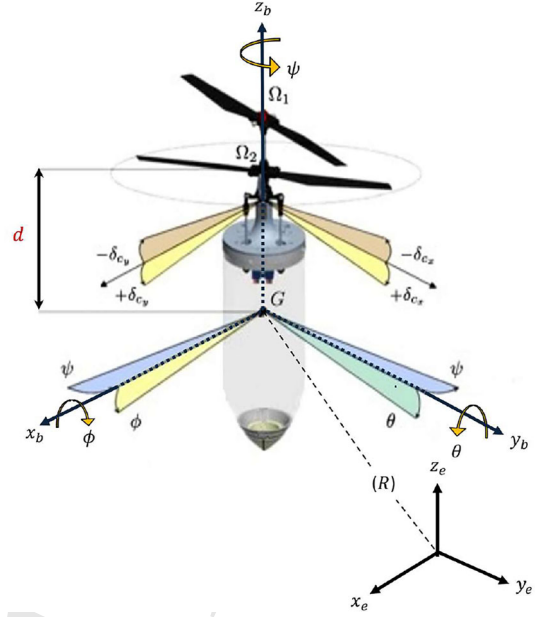


Fig. 1 Coaxial-rotor configuration

170 the compensation for the estimation error. According to
 171 universal approximation theory, $|\xi(t)| < \bar{\xi}$, where $\bar{\xi}$ is
 172 an unknown positive constant.

173 The following technical lemmas will be used in the proposed
 174 work:

Lemma 1 For any real value of α , its absolute value $|\alpha|$ can
 be given as

$$|\alpha| = \alpha \operatorname{sgn}(\alpha)$$

Lemma 2 [31] The following inequality holds for any $\epsilon > 0$
 and for any $\alpha \in \mathbb{R}$

$$|\alpha| \leq \alpha \tanh(\alpha) + \epsilon \quad (2)$$

2 Coaxial-rotor model for simulation purposes

180 In this section, a comprehensive kinematic and dynamic
 181 model of the coaxial-rotor drone is explored. The model
 182 is developed taking into consideration the relationships
 183 between rotational and translational motions, the influence
 184 of forces/moments and disturbances using two frames of ref-
 185 erence, i.e., the body frame $B(x_b, y_b, z_b)$ and the earth
 186 frame $E(x_e, y_e, z_e)$ (Fig. 1).

The transition from the earth frame to the body frame is
 defined through the transformation matrices R , see [18]
 for more detail.

The generalized coordinates describing the rotorcraft
 position and orientation are $\xi, \eta \in \mathbb{R}^3$, $\xi = (x, y, z)^T$

denotes the translation coordinates with respect to axes of the earth frame, and $\eta = (\phi, \theta, \psi)^T$ encompasses the traditional yaw ϕ , pitch θ and roll ψ Euler angles widely employed in aerodynamic applications. For further details refer [7].

The dynamics of the coaxial-rotor drone considering the influence of external forces F_{ext} and torques Γ_{ext} are given as

$$\begin{cases} m\ddot{\xi} = RT - mgz_e + F_{\text{ext}} \\ I\ddot{\eta} = \Gamma_a - C(\eta, \dot{\eta})\dot{\eta} + \Gamma_{\text{ext}} \end{cases} \quad (3)$$

where I is an auxiliary positive inertia matrix, m denotes the mass and $z_e = (0, 0, 1)^T$ signifies the gravity vector, the acceleration due to gravity is denoted by g , and Γ_a is employed to represent the total torque vector can be computed as

$$\Gamma_a = \begin{pmatrix} \tau_\phi \\ \tau_\theta \\ \tau_\psi \end{pmatrix} = \begin{pmatrix} -d\kappa_1 \sin \delta_{cx} \Omega_2^2 \\ d\kappa_1 \sin \delta_{cy} \cos \delta_{cx} \Omega_2^2 \\ \gamma_1 \Omega_1^2 - \gamma_2 \Omega_2^2 \end{pmatrix} \quad (4)$$

where d is the distance between the center of gravity and the lower rotor center of rotation, κ_1 and κ_2 are the rotor aerodynamic coefficients and the actual input signals of the system denoted by Ω_1 and Ω_2 represent the rotor rotation speeds, δ_{cx} and δ_{cy} are the swash plate incidence angles, and T is the thrust vector obtained as

$$T = \begin{pmatrix} T_x \\ T_y \\ T_z \end{pmatrix} = \begin{pmatrix} -\kappa_1 \sin \delta_{cy} \cos \delta_{cx} \Omega_2^2 \\ -\kappa_1 \sin \delta_{cx} \Omega_2^2 \\ \kappa_2 \Omega_1^2 + \kappa_1 \cos \delta_{cx} \cos \delta_{cy} \Omega_2^2 \end{pmatrix} \quad (5)$$

To tackle the challenges of designing a controller for the under-actuated coaxial-rotor dynamics, a simplified model was proposed in [32] as

$$\begin{pmatrix} \dot{\xi} \\ m\dot{v} \\ \dot{\eta} \\ I\dot{w} \end{pmatrix} = \begin{pmatrix} v \\ T_z R z_e - mg z_e + R \Sigma \Gamma_a + F_{\text{ext}} \\ w \\ \Gamma_a - C(\eta, w)w + \Gamma_{\text{ext}} \end{pmatrix} \quad (6)$$

where $\Sigma \Gamma_a$ elucidates the interaction between the force and torque generation mechanisms, T_z represents the total thrust vector which is derived by introducing three virtual inputs μ_x , μ_y and μ_z to address the limitation of the under-actuated control system. Its formulation is given as

$$T_z = m \sqrt{\mu_x^2 + \mu_y^2 + (\mu_z + g)^2}. \quad (7)$$

Considering (3) to (7), the full coaxial-rotor dynamic can be represented with free of external disturbances as the following form:

$$\begin{cases} \ddot{x} = \frac{\mu_x}{m} \\ \ddot{y} = \frac{\mu_y}{m} \\ \ddot{z} = \frac{\mu_z}{m} - g \\ \ddot{\phi} = \frac{I_y - I_z}{I_x} \dot{\theta} \dot{\psi} - \frac{d\kappa_2 \sin \delta_{cx} \Omega_2^2}{I_x} \\ \ddot{\theta} = \frac{I_z - I_x}{I_y} \dot{\phi} \dot{\psi} + \frac{d\kappa_2 \sin \delta_{cy} \cos \delta_{cx} \Omega_2^2}{I_y} \\ \ddot{\psi} = \frac{I_x - I_y}{I_z} \dot{\phi} \dot{\theta} + \frac{\gamma_1 \Omega_1^2 - \gamma_2 \Omega_2^2}{I_z} \end{cases} \quad (8)$$

In practical applications, position control is realized by adjusting the helicopter's roll or pitch in response to deviations from the y_d or x_d references, respectively. As a result, the position controller produces attitude references ϕ_d and θ_d , subsequently tracked by the attitude controller. This correlation is represented as

$$\begin{pmatrix} \phi_d \\ \theta_d \end{pmatrix} = \begin{pmatrix} \sin^{-1} \left(\frac{m}{T_z} (\mu_x \sin \psi_d - \mu_y \cos \psi_d) \right) \\ \sin^{-1} \left(\frac{m}{T_z \cos \psi_d} (\mu_x \cos \psi_d + \mu_y \sin \psi_d) \right) \end{pmatrix} \quad (9)$$

Remark 1 Given that position controllers play a crucial role in computing the desired roll ϕ_d and pitch θ_d angles to ensure stability and trajectory tracking on the x and y coordinates, the control signals from the proposed controller must be realizable. The assumed bounds for the desired roll ϕ_d and pitch θ_d angles are defined as $-\pi/2 < \phi_d < \pi/2$ and $-\pi/2 < \theta_d < \pi/2$ [32].

From (4) and (8), the dynamic model of the coaxial-rotor can be represented as a collection of interconnected i -th subsystems. Each subsystem describes the dynamics of an output variable of the coaxial-rotor system, with six subsystems in total. The state-space representation for each subsystem is given as follows:

$$\begin{cases} \dot{q}_{i,1} = q_{i,2} \\ \dot{q}_{i,2} = \mathcal{F}_i + \mathcal{G}_i u_i + \mathcal{L}_i \\ r_i = q_{i,1}, i \in \{x, y, z, \phi, \theta, \psi\} \end{cases} \quad (10)$$

where $q_i = [q_{i,1}, q_{i,2}]^T$ is the state vector for each subsystem i with $q_{i,1} \in \{x, y, z, \phi, \theta, \psi\}$ and $q_{i,2} = \dot{q}_{i,1}$, \mathcal{F}_i and \mathcal{G}_i denote the nonlinear functions of the system can be easily extracted [18], while \mathcal{L}_i represent the external disturbances accounting for external forces and torques, r_i is the actual state that should be tracking the desired state r_i^d by applying a control input $u_i \in \{\mu_x, \mu_y, \mu_z, \tau_\phi, \tau_\theta, \tau_\psi\}$. Taking into account the input saturation constraints in rotors, the control input can be expressed as

$$u_i = \begin{cases} u_i^{\max} & \text{if } u_i^d > u_i^{\max} \\ u_i^d & \\ u_i^{\min} & \text{if } u_i^d < u_i^{\min} \end{cases} \quad (11)$$

where the designed control u_i^d will be formulated in the next section. u_i^{\min} and u_i^{\max} represent the lower and upper bounds of the control input saturation, respectively. With $\tilde{u}_i = u_i - u_i^d$ and considering the total nonlinear function

$$\Psi_i(\mathcal{F}_i, \mathcal{G}_i, \mathcal{L}_i) = (1 - \mathcal{G}_i^{-1})\dot{q}_{i,2} + (\mathcal{F}_i + \mathcal{L}_i)\mathcal{G}_i^{-1} + \tilde{u}_i, \quad (12)$$

Eq. (10) can be rewritten as

$$\begin{cases} \dot{q}_{i,1} = q_{i,2} \\ \dot{q}_{i,2} = \Psi_i + u_i^d \\ r_i = q_{i,1} \end{cases} \quad (13)$$

The aim of representation (11) is to consolidate the nonlinearities, \mathcal{F}_i and \mathcal{G}_i , of each aircraft subsystem and the external perturbations \mathcal{L}_i into a single nonlinear function Ψ_i , which is assumed to be unknown. Next, we propose a robust estimator for Ψ_i that allows the development of a control law for the coaxial-rotor and avoids the singularity problem commonly found in similar dynamics systems. Furthermore, this structure facilitates the decoupling of control from nonlinearities, making it conducive to developing a direct control law independent of model-based approaches.

3 Sliding mode estimator design

This section introduces an adaptive estimation for the unknown nonlinear function Ψ_i based on the sliding mode, effectively overcoming the limitations associated with traditional model-based approaches. For the design of the estimator, the following assumption is introduced:

Assumption 1 The unknown nonlinear function Ψ_i is bounded, i.e., it exists $|\Psi_i| \leq \beta_i$, where β_i represents unknown parameter.

This assumption, commonly used in many control strategies [17–19, 33], is introduced here to energetically limit the effect of the dynamic functions and disturbances on the coaxial-rotor system, i.e., $\Psi_i(\mathcal{F}_i, \mathcal{G}_i, \mathcal{L}_i)$. Their upper bounds are approximated during the controller design process to address the trajectory tracking problem. The estimator of Ψ_i , noted $\hat{\Psi}_i$, is designed using sliding mode theory as

$$\begin{aligned} \hat{\Psi}_i &= -k_i S_i - \hat{\beta}_i \operatorname{sgn}(S_i) \\ S_i &= -\int_0^t (k_i S_i + \hat{\beta}_i \operatorname{sgn}(S_i) - u_i^d) dt - q_{i,2} \end{aligned} \quad (14)$$

where S_i represents the sliding surface of each subsystem and $k_i > 0$ is a positive gain to be designed.

Remark 2 Note that the design objective is to develop a controller that does not rely on any prior model information. Therefore, the parameter β_i , which is dependent to model parameters according to Assumption 1, cannot be assumed to be known and must be estimated.

Theorem 1 Using the estimator discussed in (14), the adaptive estimation of β_i can be carried out considering the adaptive term:

$$\hat{\beta}_i = \int_0^t v_i |S_i| dt \quad (15)$$

where v_i is a positive designed parameter. The estimation error $\tilde{\beta}_i = \beta_i - \hat{\beta}_i$, where $\hat{\beta}_i$ is the estimated adaptive parameter, then converges asymptotically to zero.

Proof Let's consider Lyapunov function candidate as

$$\Psi V = \sum_{i \in \{x, y, z, \phi, \theta, \psi\}} \Psi V_i \quad (16)$$

with

$$\Psi V_i = \frac{1}{2} \left(S_i^2 + \frac{1}{v_i} \tilde{\beta}_i^2 \right) \quad (17)$$

The derivative of the Lyapunov function is defined as

$$\begin{aligned} \Psi \dot{V}_i &= S_i \dot{S}_i - \frac{1}{v_i} \tilde{\beta}_i \dot{\hat{\beta}}_i \\ &= S_i (-k_i S_i - \hat{\beta}_i \operatorname{sgn}(S_i) + u_i^d - \dot{q}_{i,2}) - \frac{1}{v_i} \tilde{\beta}_i \dot{\hat{\beta}}_i \\ &= S_i (-k_i S_i - \hat{\beta}_i \operatorname{sgn}(S_i) - \Psi_i) - \frac{1}{v_i} \tilde{\beta}_i \dot{\hat{\beta}}_i \\ &= -k_i S_i^2 - \hat{\beta}_i S_i \operatorname{sgn}(S_i) - S_i \Psi_i - \frac{1}{v_i} \tilde{\beta}_i \dot{\hat{\beta}}_i \end{aligned} \quad (18)$$

Using Cauchy–Schwarz inequality, it can be written as

$$\begin{aligned} \Psi \dot{V}_i &\leq -k_i S_i^2 - \hat{\beta}_i |S_i| + |S_i| |\Psi_i| - \frac{1}{v_i} \tilde{\beta}_i \dot{\hat{\beta}}_i \\ &\leq -k_i S_i^2 - \hat{\beta}_i |S_i| + |S_i| |\Psi_i| - \frac{1}{v_i} (\beta_i - \hat{\beta}_i) \dot{\hat{\beta}}_i \end{aligned} \quad (19)$$

By substituting (15) in (19), one can obtain

$$\Psi \dot{V}_i + k_i S_i^2 \leq 0 \quad (20)$$

Thus, the estimation error converges asymptotically to zero. \square

Chattering in sliding mode may result from high-frequency switches in the control signal, causing rapid changes in the input. Here, we use hyperbolic tangent function instead of the sign function for chattering reduction. From Lemmas 1–2, it is clear that replacing the sign function with another approximate function reduces chattering. However, this substitution introduces an estimation error, denoted by $\tilde{\Psi}_i = \Psi_i - \hat{\Psi}_i$, that needs to be compensated.

The proposed sliding mode estimator offers significant advantages, including robustness to uncertainties, finite-time convergence and low computational cost, making it well-suited for real-time applications compared to other estimators, such as the adaptive network-based fuzzy inference

332 system [34] and the RBFNN [35]. While these approaches
 333 offer powerful function approximation capabilities, they
 334 suffer from limitations such as a lack of intrinsic robust-
 335 ness against fast-changing disturbances, high computational
 336 requirements and dependency on training data. In this work,
 337 the RBFNN is only integrated to address sliding mode esti-
 338 mation errors, thereby ensuring the asymptotic convergence
 339 of the tracking errors.

340 4 RBFNN-SMC control

341 This section presents the fundamental principles of RBFNNs
 342 and provides a comprehensive outline of the steps involved
 343 in the design of the RBFNN-SMC controller.

344 The tracking error between the desired state r_i^d and the
 345 state is defined as

$$346 e_i = r_i^d - q_{i,1} \quad (21)$$

347 The time evolution dynamics of the tracking error can be then
 348 expressed as

$$349 \ddot{e}_i = \ddot{r}_i^d - \Psi_i - u_i^d \quad (22)$$

350 In order to reduce the complexity of control design and
 351 guarantee a control structure that can be implemented in real-
 352 time, a linear feedback approach is utilized. This approach
 353 incorporates a robust term, denoted by τ_i , to compensate for
 354 estimation errors as follows:

$$355 u_i^d = \ddot{r}_i^d + \Gamma_{i,1}e_i + \Gamma_{i,2}\dot{e}_i - \dot{\Psi}_i + \tau_i \quad (23)$$

356 Using the feedback u_i^d , the error dynamics can be rewritten
 357 as

$$358 \ddot{e}_i = \ddot{\Psi}_i - \Gamma_{1,i}e - \Gamma_{2,i}\dot{e}_i + \hat{\tau}_i \quad (24)$$

359 Introducing the vector $\mathbf{E}_i = (e_i, \dot{e}_i)^T$, the error dynamics is
 360 vector notation can be written as

$$361 \dot{\mathbf{E}}_i = \mathbf{A}_i \mathbf{E}_i + \mathbf{B}_i (\tilde{\Theta}_i + \tau_i) \quad (25)$$

362 with $\mathbf{A}_i = \begin{pmatrix} 0 & 1 \\ -\Gamma_{1,i} & -\Gamma_{2,i} \end{pmatrix}$, $\mathbf{B}_i = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$ and $\tilde{\Theta}_i = \tilde{\Psi}_i$.

363 When the condition $\tau_i \rightarrow -\tilde{\Theta}_i$ is satisfied, the controller
 364 gains the ability to significantly enhance system perfor-
 365 mance. The input vector \mathbf{E}_i enables the approximation of
 366 the ideal values for $\tilde{\Theta}_i$ using RBFNN (Definition 1) as

$$367 \tilde{\Theta}_i^* = \mathbf{w}_i^{*T} \mathbf{h}(e_i, \dot{e}_i) + \xi_i \quad (26)$$

Substituting (26) in (25) becomes

$$\dot{\mathbf{E}}_i = \mathbf{A}_i \mathbf{E}_i + \mathbf{B}_i (\mathbf{w}_i^{*T} \mathbf{h} + \xi_i + \hat{\tau}_i) \quad (27)$$

To achieve convergence of the tracking error, i.e., $e_i(t) \rightarrow 0$
 as $t \rightarrow \infty$ and to compensate for estimation errors, the robust
 term τ_i based on RBFNN is formulated as:

$$\tau_i = -\hat{\Theta}_i = -\hat{\mathbf{w}}_i^T \mathbf{h} - \hat{\xi}_i \operatorname{sgn}(\mathbf{E}_i^T \mathbf{P}_i \mathbf{B}_i) \quad (28)$$

where $\hat{\mathbf{w}}_i$ and $\hat{\xi}_i$ are the estimates of \mathbf{w}_i^* and $\bar{\xi}_i$, respectively.

Theorem 2 Considering the dynamics of the coaxial-rotor
 described in (10) and the proposed control law (23) with the
 robust term τ_i given in (28), the tracking error e_i converges
 to zero and the system achieves asymptotic stability, if the
 following adaptive laws hold

$$\hat{\mathbf{w}}_i = \int_0^t \vartheta_i \mathbf{E}_i^T \mathbf{P}_i \mathbf{B}_i \mathbf{h} dt \quad (29)$$

$$\hat{\xi}_i = \int_0^t \eta_i |\mathbf{E}_i^T \mathbf{P}_i \mathbf{B}_i| dt \quad (30)$$

where ϑ_i and η_i are positive constants and \mathbf{P}_i is a positive
 definite matrix that satisfies

$$\mathbf{A}_i^T \mathbf{P}_i + \mathbf{P}_i \mathbf{A}_i = -\mathbf{Q}_i \quad (31)$$

where \mathbf{Q}_i is definite diagonal positive matrix.

Proof Considering the following Lyapunov function candi-
 date:

$$V = \sum_{i \in \{x, y, z, \phi, \theta, \psi\}} V_i \quad (32)$$

with

$$V_i = \frac{1}{2} \mathbf{E}_i^T \mathbf{P}_i \mathbf{E}_i + \frac{1}{2\vartheta_i} \tilde{\mathbf{w}}_i^T \tilde{\mathbf{w}}_i + \frac{1}{2\eta_i} \tilde{\xi}_i^2 \quad (33)$$

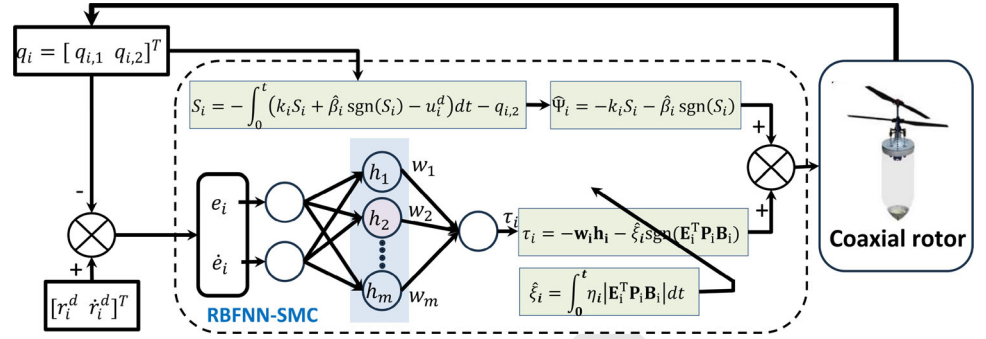
where $\tilde{\mathbf{w}}_i = \mathbf{w}_i^* - \hat{\mathbf{w}}_i$ and $\tilde{\xi}_i = \bar{\xi}_i - \hat{\xi}_i$, then the time derivative
 of (33) is given as

$$\dot{V}_i = \frac{1}{2} \left(\dot{\mathbf{E}}_i^T \mathbf{P}_i \mathbf{E}_i + \mathbf{E}_i^T \mathbf{P}_i \dot{\mathbf{E}}_i \right) - \frac{1}{\vartheta_i} \tilde{\mathbf{w}}_i^T \dot{\tilde{\mathbf{w}}}_i - \frac{1}{\eta_i} \tilde{\xi}_i \dot{\tilde{\xi}}_i; \quad (34)$$

replacing expressions from (27) and (28) into (34), the time
 derivative of V_i transforms into

$$\begin{aligned} \dot{V}_i = & \frac{1}{2} \left(\mathbf{A}_i \mathbf{E}_i + \mathbf{B}_i \left(\tilde{\mathbf{w}}_i^T \mathbf{h} + \xi_i - \hat{\xi}_i \operatorname{sgn}(\mathbf{E}_i^T \mathbf{P}_i \mathbf{B}_i) \right) \right)^T \mathbf{P}_i \mathbf{E}_i \\ & + \frac{1}{2} \mathbf{E}_i^T \mathbf{P}_i \left(\mathbf{A}_i \mathbf{E}_i + \mathbf{B}_i \left(\tilde{\mathbf{w}}_i^T \mathbf{h} + \xi_i - \hat{\xi}_i \operatorname{sgn}(\mathbf{E}_i^T \mathbf{P}_i \mathbf{B}_i) \right) \right) \\ & - \frac{1}{\vartheta_i} \tilde{\mathbf{w}}_i^T \dot{\tilde{\mathbf{w}}}_i - \frac{1}{\eta_i} \tilde{\xi}_i \dot{\tilde{\xi}}_i \end{aligned}$$

Fig. 2 Schematic of the proposed RBFNN-SMC architecture



(35) input signal saturation, thereby preventing actuator lock-up. 417

This characteristic enhances the controller capability for real-time implementation compared to model-based approaches. 418

The proper selection of control parameters $\Gamma_{i,1}$, $\Gamma_{i,2}$, ϑ_i , 419

η_i , k_i and v_i significantly impacts the overall system performance, including stability, robustness and convergence 420

speed. The control gains $\Gamma_{i,1}$ and $\Gamma_{i,2}$ define the error system dynamics (27) which should be chosen to ensure a stable 421

and well-conditioned state response. Increasing their values improves error convergence but could also introduce excessive 422

control effort. The adaptive learning rates ϑ_i and η_i of the adaptation laws influence the convergence speed of the estimated 423

parameters of the controller, where excessively high values may lead to instability, while very low values slow down 424

adaptation. In the sliding mode estimator, the parameters 425

k_i and v_i directly affect robustness and the convergence speed of the estimation of unknown dynamics and disturbances. 426

Higher values improve convergence but may induce chattering phenomena. Thus, to achieve an optimal trade-off 427

between fast adaptation, robustness and chattering mitigation, a systematic tuning approach based on metaheuristic 428

optimization algorithms [18, 33, 35] is recommended. 429

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5 Results 439

The performance of the proposed RBFNN-SMC controller 440

was evaluated via numerical simulations performed on MATLAB. 441

The primary focus was on trajectory tracking during hovering, thus validating the controller's performance under 442

realistic environmental conditions. As shown in Fig. 2 of the 443

proposed RBFNN-SMC, the states of the coaxial-rotor $q_{i,1}$ and 444

$q_{i,2}$ obtained from model (8) are used both in the calculation 445

of the estimator (14) to approximate the unknown nonlinear 446

dynamics and the external disturbances grouped in Ψ_i , 447

and in the computation of the performance correction term 448

(28), which incorporates the RBFNN network with its update 449

laws (29) and (30). The final control input value (23), derived 450

from these elements, is then applied to the drone. The physical 451

parameters of the coaxial-rotor are presented in Table 1, 452

where their values are used solely for simulation purposes. 453

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Then, 397

$$\begin{aligned} \dot{V}_i = & \frac{1}{2} \mathbf{E}_i^T (\mathbf{P}_i \mathbf{A}_i + \mathbf{A}_i^T \mathbf{P}_i) \mathbf{E}_i + \tilde{\mathbf{w}}_i^T \left(\mathbf{E}_i^T \mathbf{P}_i \mathbf{B}_i \mathbf{h} - \frac{1}{\vartheta_i} \dot{\tilde{\mathbf{w}}}_i \right) \\ & + \mathbf{E}_i^T \mathbf{P}_i \mathbf{B}_i \xi_i - \hat{\xi}_i \mathbf{E}_i^T \mathbf{P}_i \mathbf{B}_i \text{sgn}(\mathbf{E}_i^T \mathbf{P}_i \mathbf{B}_i) - \frac{1}{\eta_i} \tilde{\xi}_i \dot{\hat{\xi}}_i \end{aligned} \quad (36)$$

Using (30) and (31), we yield 399

$$\begin{aligned} \dot{V}_i = & -\frac{1}{2} \mathbf{E}_i^T \mathbf{Q}_i \mathbf{E}_i + \mathbf{E}_i^T \mathbf{P}_i \mathbf{B}_i \xi_i \\ & - \hat{\xi}_i \mathbf{E}_i^T \mathbf{P}_i \mathbf{B}_i \text{sgn}(\mathbf{E}_i^T \mathbf{P}_i \mathbf{B}_i) - \frac{1}{\eta_i} \tilde{\xi}_i \dot{\hat{\xi}}_i \end{aligned} \quad (37)$$

Using the properties of RBFNN, we yield 401

$$\dot{V}_i \leq -\frac{1}{2} \mathbf{E}_i^T \mathbf{Q}_i \mathbf{E}_i + |\mathbf{E}_i^T \mathbf{P}_i \mathbf{B}_i| |\tilde{\xi}_i - \hat{\xi}_i| |\mathbf{E}_i^T \mathbf{P}_i \mathbf{B}_i| - \frac{1}{\eta_i} \tilde{\xi}_i \dot{\hat{\xi}}_i \quad (38)$$

Applying (30), one obtains 403

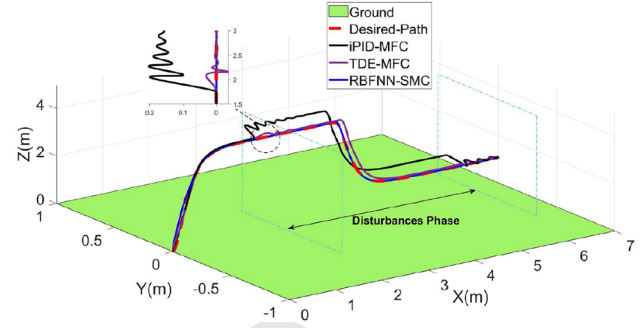
$$\begin{aligned} \dot{V}_i \leq & -\frac{1}{2} \mathbf{E}_i^T \mathbf{Q}_i \mathbf{E}_i + |\mathbf{E}_i^T \mathbf{P}_i \mathbf{B}_i| |\tilde{\xi}_i - \hat{\xi}_i| \left(\eta_i |\mathbf{E}_i^T \mathbf{P}_i \mathbf{B}_i| \right) \\ \leq & -\frac{1}{2} \mathbf{E}_i^T \mathbf{Q}_i \mathbf{E}_i \\ \leq & -\frac{1}{2} \varrho_i^{\min}(\mathbf{Q}_i) |\mathbf{E}_i|^2 \end{aligned} \quad (39)$$

where $\varrho_i^{\min}(\mathbf{Q}_i)$ represents the minimum eigenvalue of the 405
matrix \mathbf{Q}_i . Based on (31) and (32), it follows that $V \in L_\infty$, 406
implying the global stability of the coaxial-rotor system and 407
the boundedness of variables e_i , \dot{e}_i , $\tilde{\mathbf{w}}_i$, $\tilde{\xi}_i$ and u . Moreover, 408
according to Barbalat's lemma, the tracking objective 409
is achieved in the sense that $\lim_{t \rightarrow \infty} e_i(t), \dot{e}_i(t) = 0$. \square 410

The control input u_i^d designed in (23) relies only on local 411
measurements, as depicted in Fig. 2, making it independent 412
of any dynamic model information. Consequently, the proposed 413
control law is inherently model-free, eliminating the requirement 414
for model identification. Its architecture with the update blocks 415
in Eqs. (28) to (31) has resolved the issue of 416

Table 1 Parameters of the coaxial-rotor

Parameters	Value	Units
g	9.81	m/s ²
d	0.0676	m
m	0.41	kg
I_x	1.383×10^{-3}	kg m ²
I_y	1.383×10^{-3}	kg m ²
I_z	2.72×10^{-4}	kg m ²
κ_1	3.776×10^{-5}	N/rad ² s ²
κ_2	3.683×10^{-5}	N/rad ² s ²
γ_1	1.476×10^{-6}	N m/rad ² s ²
γ_2	1.326×10^{-6}	N m/rad ² s ²

**Fig. 3** 3-D position tracking of Scenario 1

to constant external disturbances across the x , y and z axes from 10 s to 30 s as $w_x = 2.5N$, $w_y = 2.5N$ and $w_z = 3.5N$.

Figure 3 illustrates the three-dimensional (3D) flight trajectory of the coaxial-rotor. It is clear that the proposed controller achieves precise trajectory tracking with minimal deviation, outperforming both the iPID-MFC and TDE-MFC controllers even in the presence of external disturbances. The iPID-MFC exhibits larger steady-state errors, while TDE-MFC shows improved performance but remains inferior to RBFNN-SMC in terms of convergence speed and accuracy. The time needed to reach a stable state is shorter than that of iPID-MFC and TDE-MFC. This performance superiority of the RBFNN-SMC controller is further highlighted in the zoomed view of the position performance presented in Fig. 3.

Figure 4 shows the system's attitude responses in pursuit of the desired path generated by (9). The result confirms the ability of the proposed controller to regulate roll ψ , pitch θ and yaw ϕ angles effectively, minimizing oscillations and overshoot, and proving to be feasible for real-time implementation. The highly accurate tracking performance of the proposed RBFNN-SMC controller is attributed to the robust SMC estimator. The sliding manifolds for both position and attitude tracking are presented in Fig. 5c, d where all states asymptotically approach their desired values and the corresponding sliding manifolds also converge to zero.

Figure 6a–b depicts the response curves of the control inputs, swash plate angles δ_{c_x} and δ_{c_y} and rotor angular speeds Ω_1 and Ω_2 . Clearly, the amplitude of the control signals remains within their eligible regions without saturation even when counteracting the disturbances. Their behavior exhibits smooth transitions, with minor overshoot attributed to changes in the reference signal and perturbation injection times. These results are deemed acceptable and feasible for real-time implementation.

5.2 Scenario 2: system affected by time-varying disturbance

This scenario evaluated the adaptability of the proposed control scheme RBFNN-SMC against dynamic disturbances.

The initial states of the coaxial-rotor system are initialized at zero. The neural network consists of $j = 9$ hidden nodes using traditional Gaussian functions with a variance set to 0.25, and the centers are evenly spaced in $[-3, 3]$ as

$$C = [-3 \ -2 \ -1.5 \ -0.75 \ 0 \ 0.75 \ 1.5 \ 2 \ 3]$$

The control parameters were selected through trial and error after conducting multiple runs to have an acceptable dynamic behavior. Their values are set to $Q_i = I_{3,3}$, $k_{\{x,y,z\}} = 6$, $k_{\{\phi,\theta,\psi\}} = 2.5$, $\nu_{\{x,y,z\}} = 0.9$, $\nu_{\{\phi,\theta,\psi\}} = 0.3$, $\Gamma_{1,i} = 1$, $\Gamma_{2,i} = 1$, $\vartheta_i = 0.1$, $\eta_{\{x,y,z\}} = 1$ and $\eta_{\{\phi,\theta,\psi\}} = 0.5$. To assess the control performance, three scenarios for the coaxial-rotor system were considered: (i) the system affected by disturbances of a constant magnitude, (ii) the system affected by disturbances with time-dependent magnitude variations, and (iii) the system subjected to parametric variations. The performance of the proposed RBFNN-SMC controller is compared with an intelligent proportional–integral–derivative (iPID) controller [36], a model-based SMC strategy [37] and a time delay estimation (TDE)-based fuzzy controller [18] which are developed using model-free control strategies in our previous work.

5.1 Scenario 1: system affected by constant disturbances

In this scenario, we assert that the coaxial-rotor is capable of hovering in three-dimensional space while tracking a predefined path as

$$\psi = 0 \text{ rad}, x = 0.1t, y = 0 \text{ m}, z \begin{cases} 0 \text{ m} & \text{if } t = 0 \text{ s} \\ 4 \text{ m} & \text{if } 0 < t < 20 \text{ s} \\ 2 \text{ m} & \text{if } t \geq 20 \text{ s} \end{cases}$$

To ensure smooth operation, we filter the reference signal by a low-pass filter. The drone is assumed to be subjected

Fig. 4 Attitude responses for Scenario 1

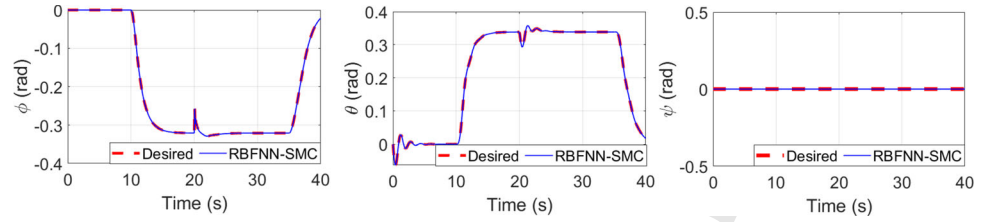


Fig. 5 Coaxial-rotor control signals using RBFNN-SMC for Scenario 1

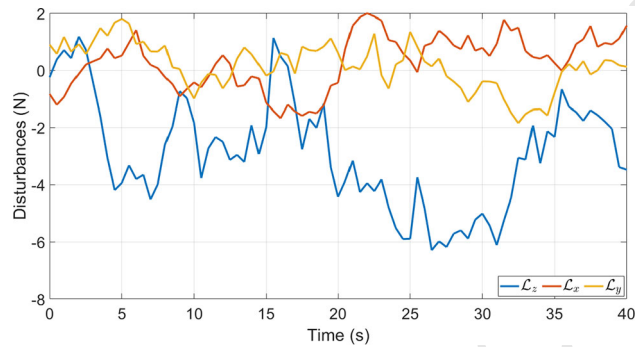
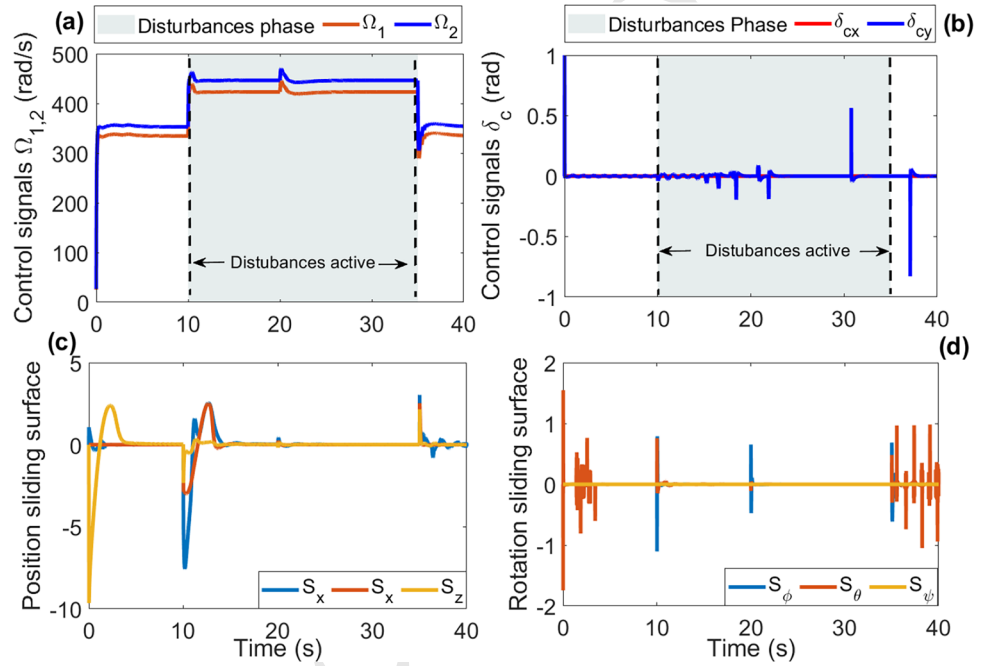


Fig. 6 Time-varying external disturbances

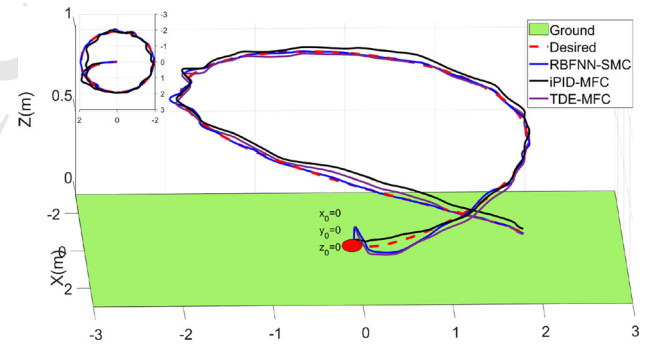


Fig. 7 3D position tracking for Scenario 2

522 The coaxial-rotor drone in this case needs to follow a ref-
523 erence trajectory defined as

$$524 \psi = 1 \text{ rad}, \begin{cases} x = 2 \sin(0.2t + \pi) \\ y = 2 \sin(0.2t) \\ z = \sin(0.075t) \end{cases}$$

525 The time-varying disturbances along x , y and z axes that
526 affect the dynamic motion of the drone are presented in Fig. 6.

527 The 3D trajectory tracking performance of the coaxial-
528 rotor is presented in Fig. 7.

529 We can notice that all three controllers successfully track
530 the designed path with tolerable error. However, the iPID-
531 MFC controller displays the lowest tracking accuracy in the
532 presence of external disturbances, while the TDE-MFC
533 controller shows slightly improved performance. The proposed
534 RBFNN-SMC controller outperforms both these controllers
535 and maintains high tracking accuracy even when the system
536 is faced with aggressive external disturbances. The attitude
537 tracking responses of the coaxial-rotor of this test, shown
538 in Fig. 8, further emphasize the advantages of the proposed

Fig. 8 Attitude responses for Scenario 2

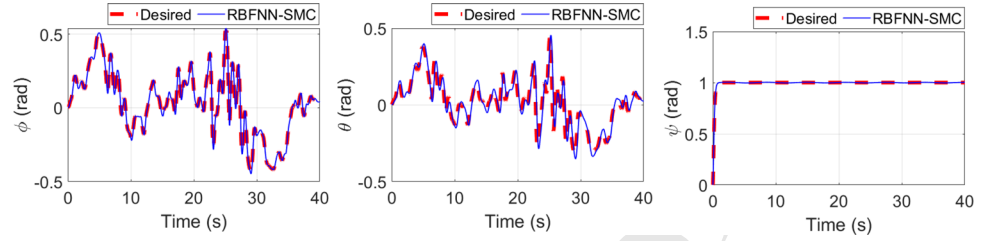
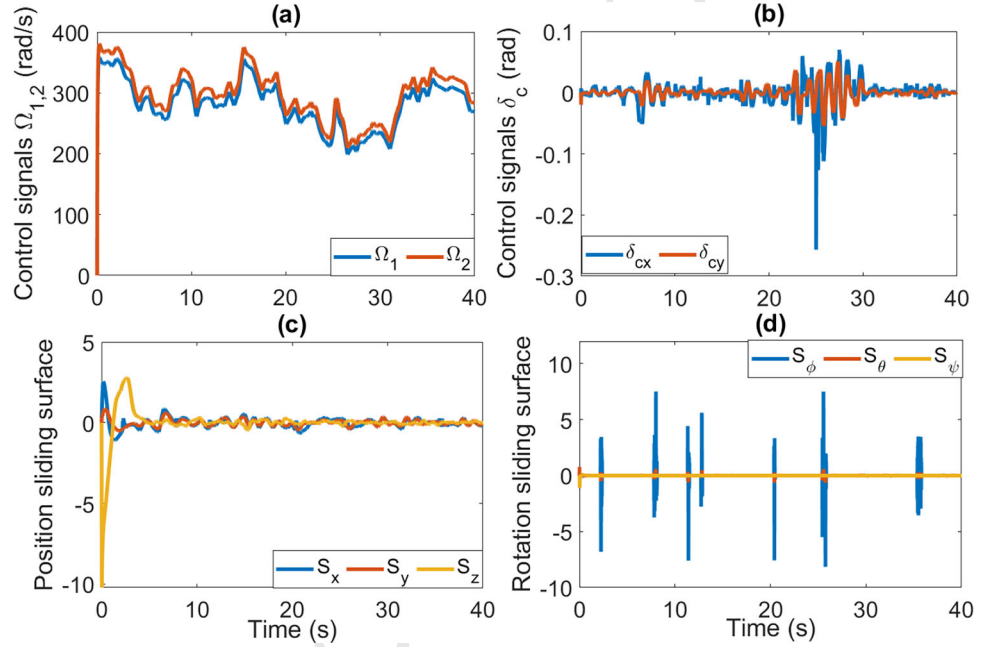


Fig. 9 Coaxial-rotor control signals using RBFNN-SMC for Scenario 2



control strategy. The roll, pitch and yaw orientation angles effectively followed the desired references with minimal tracking errors, demonstrating its potential to handle aggressive time-dependent disturbances. So, the robust behavior of the proposed control architecture highlights its feasibility for real-time implementation.

Likewise, the generated commands, displayed in Fig. 9a, b, are continuous as desired and remain within admissible intervals. The rotation motor speed signals indicate that the controller can effectively reject time-varying disturbances while adhering to the physical operating conditions of a coaxial-rotor. The swash plate angle signals exhibit minimal transitions during the designated mission. Figure 9c, d presents the variation of the sliding manifolds, which reveal small overshoots resulting from the estimation of aggressive nonlinear dynamics, including external disturbances.

For a quantitative analysis of obtained results, the root-mean-square values (RMSE), maximum absolute error (MaxAE) and the mean absolute error (Mean AE) of the tracking errors were computed. These metrics enable a direct comparison between our control method, RBFNN-SMC and the controllers iPID-MFC and TDE-MFC under the same operating conditions. Their values are calculated using the following formulas:

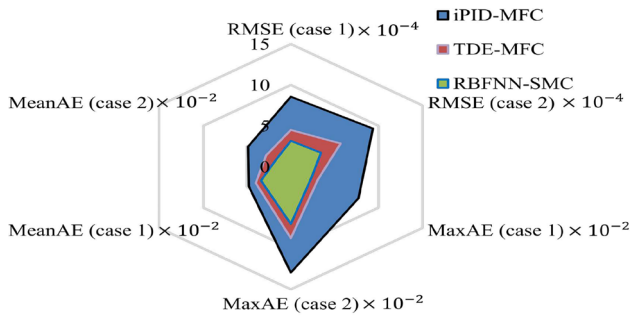
$$\text{RMSE}_{P,R} = \sqrt{\frac{\sum_j e_{j \in \{x,y,z\} \text{ or } \{\phi,\theta,\psi\}}^2}{N}}$$

$$\text{MaxAE}_{P,R} = \max_j |e_{j \in \{x,y,z\} \text{ or } \{\phi,\theta,\psi\}}|$$

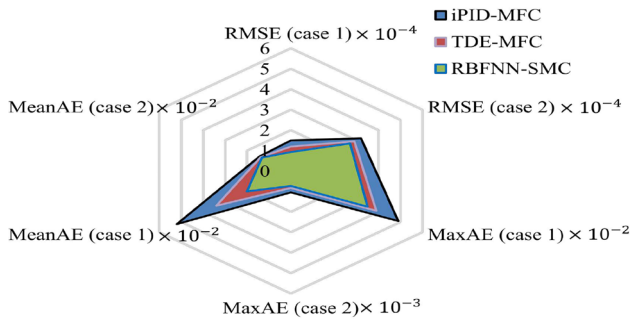
$$\text{MeanAE}_{P,R} = \frac{\sum_{\ell=1}^N \sum_j |e_{j \in \{x,y,z\} \text{ or } \{\phi,\theta,\psi\}}|}{N}$$

where P and R indicate the position and attitude tracking performance, respectively. The results for both above-considered scenarios are presented in Fig. 10 via spider charts.

Numerically, in scenario 1 (Fig. 10a), the proposed controller for the position system reached a RMSE of 3.16×10^{-4} m, while the TDE-MFC and iPID-MFC recorded 4.50×10^{-4} m and 8.57×10^{-4} m, respectively. In the second scenario, the RMSE value using RBFNN-SMC achieved 3.43×10^{-4} m, while TDE-MFC and iPID-MFC recorded 5.68×10^{-4} m and 9.35×10^{-4} m, respectively. The MaxAE and MeanAE metrics yield practically similar results. It is evident that our approach demonstrates superior accuracy and performance compared to other controllers across both scenarios. This suggests that the proposed control strategy



(a) Position control performance



(b) Attitude control performance

Fig. 10 The measurement results of the controller performance

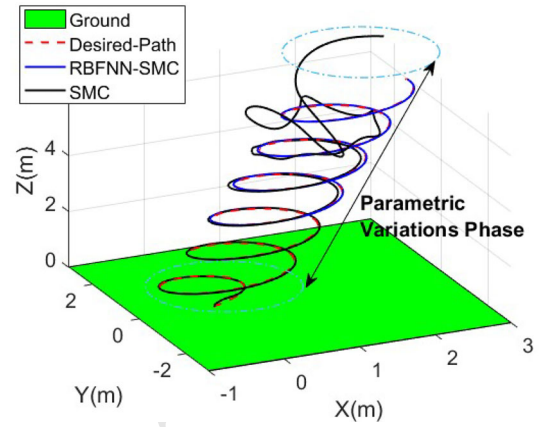


Fig. 11 3D position for Scenario 3

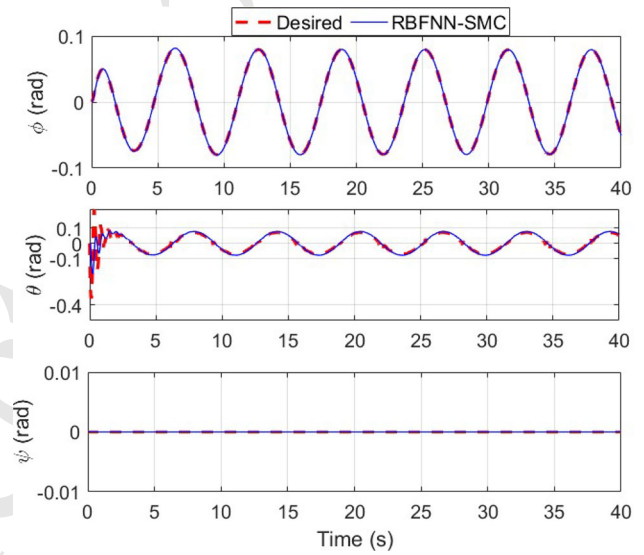


Fig. 12 Attitude responses for Scenario 3

581 exhibits robustness to environmental variations, making it a
582 promising choice for scenarios with diverse flight conditions.

583 5.3 Scenario 3: system subjected to parametric 584 variations

585 In this part, we discuss the robustness of the proposed
586 controller against parametric variations. Specifically, we con-
587 sider how the hovering of the coaxial-rotor can be affected
588 by physical constraints that alter its inertial parameters at a
589 given instant, t . We adapt this scenario to test the changes in
590 the inertial parameters of the coaxial-rotor at $t = 15 \text{ texts}$
591 for $I_x = I_x + 0.7I_x$ and $I_z = I_z + 0.6I_z$.

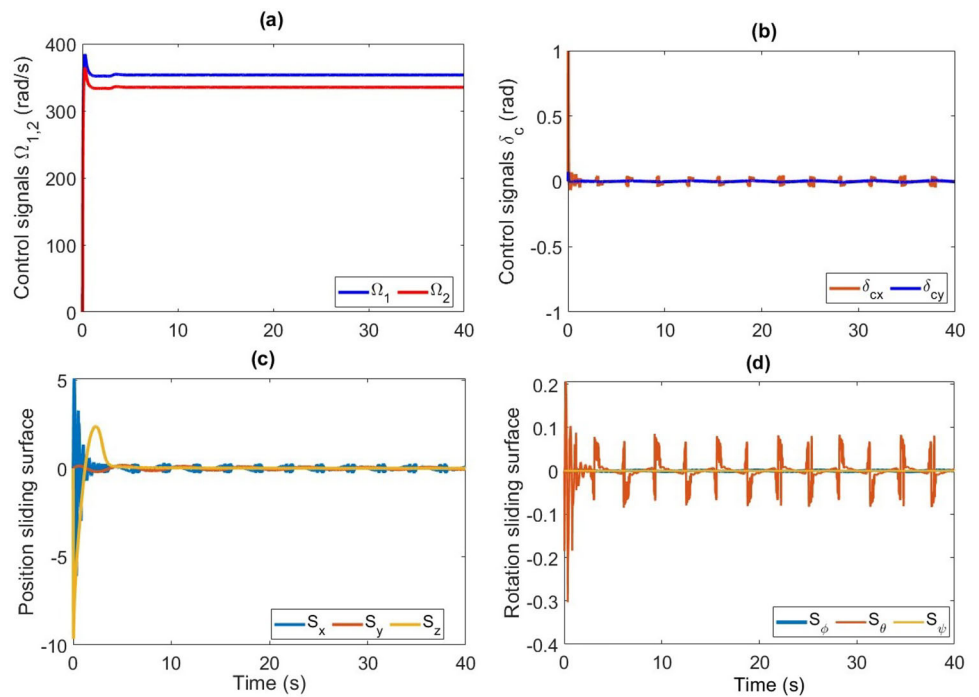
592 In practice, a change in the drone's inertia can destabilize
593 the attitude dynamics in the rotational system, which can
594 significantly affect the position performance of the coaxial-
595 rotor. Hence, the aim is to evaluate our controller efficiency
596 against these uncertainties and compare the result with a non-
597 linear model-based SMC approach. The flight trajectory, in
598 this test, is chosen as follows:

$$599 \psi = 0 \text{ rad}, \begin{cases} x = 1.5 \sin(t + \pi) + 0.1t \\ y = 1.5 \sin(t) \\ z = 0.1t \end{cases}$$

The position and attitude responses of the coaxial-rotor closed-loop system are depicted in Figs. 11 and 12, respectively. The numerical results confirm the findings of the previous tests, in which we can see that the best path tracking is fulfilled again by the RBFNN-SMC algorithm. Meanwhile, the performance of the coaxial-rotor with the model-based SMC is significantly compromised in the presence of parametric variations. Figure 13 illustrates the control signals and the sliding manifold behavior for the RBFNN-SMC where we can notice that it follows the output evolution. Their behavior remained stable and within acceptable limits despite the parametric variations.

In summary, the proposed RBFNN-SMC controller demonstrated superior performance in all three scenarios, outperforming the other controllers in disturbance rejection and robustness to uncertainties. The model-free nature of our method eliminates its dependency on system dynamics and

Fig. 13 Coaxial-rotor control signals using RBFNN-SMC for Scenario 3



617 makes it highly adaptable to changing environmental conditions during flight operation.
618

619 **6 Conclusion**

620 This paper proposed a novel model-free control MFC strategy for trajectory tracking of coaxial-rotor drones operating
621 under external disturbances and system nonlinearities. By leveraging a sliding mode estimator and an adaptive RBFNN,
622 the approach effectively addressed the challenges posed by unknown dynamics, input saturation and external perturba-
623 tions. The key innovation of this work lies in transforming the complex multivariable nonlinear control problem into a
624 straightforward and efficient decentralized control scheme that relies solely on local measurements of the system.
625 The unknown dynamics and perturbations are estimated using the robust sliding mode estimator, while the adap-
626 tive RBFNN compensates for the residual estimation errors, thereby ensuring improved control precision and stability.
627 The global asymptotic stability of the closed-loop system was rigorously established using Lyapunov theory. To assess
628 the effectiveness of the controller, extensive numerical simulations were conducted under various operating conditions,
629 including constant disturbances, time-varying perturbations and parametric variations. Comparative evaluations against
630 iPID-MFC, TDE-MFC and model-based SMC demonstrate the superior tracking accuracy, disturbance rejection and
631 robustness of the proposed method. The results confirm its strong potential to manage the flight performance of coaxial-
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rotor drones in dynamic and uncertain environments. Future research will extend the proposed control scheme to incor-
644 porate actuator failure with event-triggered control strategies [38], adaptive metaheuristic optimization of neural network
645 parameters and real-world experimental validation to further enhance its practical applicability and reliability in advanced
646 UAV operations.
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658 contributed to software; H.E.G., C.S. and J.J.R. performed validation; A.C., C.S. and J.J.R. performed visualization; H.E.G. and C.S.
659 performed supervision. All authors have read and approved the final manuscript.
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665

666 **Declarations**

Conflict of interest The authors declare no conflict of interest.
667

Consent to Publish declaration Not applicable.
668

Ethics approval and consent to participate Not applicable.
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