

Unravelling critical climatic factors and phenological stages impacting spring barley yields across Europe

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Abstract

 Yield is a complex trait reflecting the interaction between genotype, environment and farm management. The challenge of adapting spring crops to climate change involves unravelling the contribution of climatic factors that impact yield performance according to phenological stages. The aim of the present study was to identify the main Environmental Covariates (EC) – climatic variables calculated over phenological stages – driving spring barley yield levels. Five contrasting European agro-climatic (AC) regions were defined as follows: United Kingdom and Ireland (UK-IE), Denmark and Sweden (DK-SE), France (FR), Northeast Germany, Czech Republic and Poland (N. E. DE-CZ- PL) and South Germany and Austria (S. DE-AT). Yield data from 270 two-row spring barley accessions/varieties, grown in 125 environments between 2015 and 2021, were collected from a multi- environment trials network. Using the phenology-calibrated CERES-Barley model (DSSAT), 91 ECs were calculated in each environment based on collected weather data and simulated phenological stages. Partial Least Squares (PLS) regression analyses were carried out to sort out the main ECs impacting yield performance in each of the five AC regions. Results showed that elevated temperatures and solar radiation were the main yield-drivers in all AC regions. Associations between water availability and yield were detected in most AC regions. The strongest contrasts were observed for the critical phenological stages during pre-anthesis, which govern grain number per unit area. Cool 29 temperatures (days with minimum temperature <0◦C or <7◦C and average temperature <15◦C) during emergence and tillering, and solar radiation intensity between emergence and grain filling, were the most yield contributing ECs. This study showed the importance of considering climate during early stages to predict yields. The identification of major yields EC drivers suggests the need to adjust agricultural practices in spring barley production across Europe for climate adaptation. This study unraveled the complexity of yield ecophysiology affecting spring barley in Europe. In order to improve the adaptation of spring barley to climate change, the perspective is to examine the role of ECs on genotype x environment interactions for yield and develop stable cultivars that outperform existing germplasm.

Keywords

Barley; Ecophysiology; Crop modeling; Climatic factors; Plant breeding

1. Introduction

 Agriculture is subject to a major climatic transition which accelerated over the last 20 years. Global average temperature in the period 2011–2020 increased to 1.1◦C above the pre-industrial level and is projected to reach +1.5◦C in the near-term (2021–2040) (IPCC 2022).Future climate scenarios predict a decrease in agricultural production, mainly due to crop response to heat, drought episodes and extreme weather events (Trnka et al., 2014; Asseng et al., 2015; Xie et al., 2018). Global warming will putatively lead to redistribution of crop-growing regions and the need to adapt agricultural practices (e.g., modification of sowing dates and cultivar choices). Heat stress during spring, summer and meteorological extremes increased in most European regions making short-cycle spring crops highly sensitive to climate change (Deryng et al., 2014; Ray et al., 2015; Ceglar et al., 2019; Bras ´ et al., 2021).

 Future climate projections are expected to introduce uncertainty and an increase in yield variability (Trnka et al., 2011; Eitzinger et al., 2013; Rötter et al., 2013; Cammarano et al., 2019). To secure yields and optimize cultural practices, it is crucial to understand the impact of climate on crop yields. Wheat

 and maize have been frequently used as models to evaluate the influence of climatic factors on cereal yields. Heat and drought are considered to be the main yields-climatic drivers during the growing season (Lobell and Ortiz-Monasterio, 2007; Lobell and Field, 2007; Troy et al., 2015; Lesk et al., 2016; Zampieri et al. 2017; Webber et al., 2018; Vogel et al., 2019; Zeleke et al., 2023). Predictions indicate a decrease in maize yields, mostly due to hotter and drier conditions during the summer (Eitzinger et al., 2013). Anthesis and grain filling emerged as sensitive stages regarding heat and drought (Peltonen-Sainio et al., 2010; Kahiluoto et al., 2019). Lüttger and Feike (2018) have demonstrated that yield penalties can be observed when temperatures rise above 25°C during these stages. Temperature above 25°C could induce shorter crop development reducing incident radiation capture (Miralles et al., 2021). During the reproductive development, temperature above 30°C impact fertility (Abiko et al., 2005; Jacott and Boden, 2020; Callens et al., 2023).

 Spring barley is a cereal crop that is suitable to explore the effect of climate on yield. It is distributed worldwide and cultivated under contrasting agro-climatic conditions, with a short cycle, lending vulnerability to climate change (Newton et al., 2011). Anthesis is known as a key stage in the crop's adaptation to the environment (Porker et al., 2020; Cammarano et al., 2021). Studies in other spring barley growing regions focused on specific growth stages and individual climatic varables related the period around anthesis as critical for radiation and temperature (Arisnabarreta et al., 2008a; García et al., 2015, García et al., 2018). Crop modeling combined with observed yields across a wide agro- climatic gradient revealed that pre-anthesis temperature and water stress influenced yields, with contrasting effects across different regions in Australia (Dreccer et al., 2018). European studies indicate yields decrease due to high temperatures throughout the crop cycle and water deficits during early growth stages (Peltonen-Sainio et al., 2010, 2011; Hakala et al., 2012). While these studies provide valuable knowledge, the complexity of yield determination involves several climatic factors, and their relative contribution is not defined. Studies focusing on the effects of climate on yields were based on pre-defined factors and evaluated their effect individually. To optimize production, there is a need to define and prioritize the main climatic drivers and decisive phenological stages of spring barley yields in Europe. This knowledge will be transposable to other growing areas with similar climatic conditions, supporting crop diversification in other regions. It will help anticipate and optimize agricultural practices to maximize yields under changing climate conditions. To the best of our knowledge, no study in the Northern Hemisphere has confronted spring barley yields grown in spring-summer season to a wide range of environmental covariates across the entire crop cycle using crop modeling over a broad agro-climatic gradient. Few studies focus on the very early growth stages, and key stages and variables are not clearly prioritized.

 The major challenge in identifying the causes of yield variability, i.e., the main yield-drivers and key phenological stages, is to adequately characterize the crop environment. Crop models handle this challenge by simulating plant development time and growth, influenced by environmental factors, in daily steps. They can be used as tools to improve our knowledge of the environmental regulation of plant physiology and its effect on growth, development and yield (Chenu et al., 2017). Some studies

 use modelling to project the impact of climate change on yields (Cammarano et al., 2019; Feng et al., 2019; Appiah et al., 2023). To understand yield ecophysiology and genotype x environment interaction (GEI), some approaches associate climatic factors with phenological stages simulated by crop models to obtain environmental covariates (EC) (Heslot et al., 2014; Dreccer et al., 2018; Rincent et al., 2019; Elmerich et al., 2023). ECs are climatic variables associated with critical developmental periods (phenophases). They precisely describe the impact of climatic conditions on the physiological processes that drive yields. Applying this method to spring barley, the ecophysiology of which has been poorly studied can be relevant to characterize climatic scenarios driving yields. Explaining the relationship between yields and climate on a large scale is difficult due to the heterogeneity of weather scenarios. Determining how climate influences yields within and across regions can provide a better perspective on the influence of climate change on barley production in Europe (Olesen et al., 2011; Trnka et al., 2011).

 The aim of this study was to highlight the critical climatic variables and development stages – referred as environmental covariates (EC) – driving spring barley levels across Europe from multi-environment trials and crop model simulation.

- **2. Materials and methods**
- *2.1. Yield data and multi-environment trials*

 Grain yields of 161 two-row spring barley breeding lines and 109 reference cultivars, grown during the spring-summer season in 125 environments (location x year) from 2015 to 2021, were obtained from the multi-environment trial network of SECOBRA [\(https://secobra.fr/en/accueil\)](https://secobra.fr/en/accueil). Sowing occurred in spring after the main cold period, with days lengthening from the time of sowing. The development cycle extended over five months from sowing to harvest. Trials were distributed across Western and Northern Europe including ten different countries: Austria, Czech Republic, Denmark, France, Germany, Ireland, Poland, Sweden and the United Kingdom (England and Scotland) (Table

 S1, Table S3). The study focused on the European regional analysis of climatic factors affecting critical phenological stages for yield. Based on one-way ANOVAs, the database was subdivided into five agro-climatic regions that contrasted in monthly precipitation, temperature, and solar radiation on the historical period over three developmental phases. The first phase started at sowing (Z00) and ended at heading (Z55), the second one from heading to beginning grain filling (Z73) and the last one from beginning grain filling to physiological maturity (Z90). (Fig. 1). This subdivision represented the optimal grouping scenario, minimizing the error of Partial Least Squares regressions (PLS) while capturing the greatest climatic variability for yield. A linear regression was performed to characterize 128 the tendency of annual yields in each agro-climatic region (Table S2).

 (a)

 Fig. 1. Geographic distribution and meteorological characteristics of five European agro- climatic regions. (a) Division of the seven-year MET locations across five European agro-climatic regions: United-Kingdom and Ireland (UK-IE), France (FR), Denmark and Sweden (DK-SE), Northeast Germany, Czech Republic and Poland (N.E. DE-CZ-PL), South Germany and Austria (S. DE-AT). Each point corresponds to a multi-year trial location. (b) Ombrothermic diagrams of the five agro-climatic regions combining monthly means of cumulative precipitations, temperature and solar radiation during the plant developmental cycle.

2.2. Weather and soil data

 Daily weather data, interpolated on a grid of 25 km x 25 km, were extracted from the JRC-MARS meteorological database [\(https://agri4cast.jrc.ec.europa.eu/\)](https://agri4cast.jrc.ec.europa.eu/). It included minimum and maximum 142 temperatures (°C), sum of precipitations (mm day⁻¹) and total solar radiation (MJ mm⁻¹ day⁻¹). For each location, the closest weather station was used (<13 km). Soil parameters at each location were obtained from the European Soil Database (ESDB) using a 1 km x 1 km grid (https://esdac.jrc.ec.europa.eu/). Data extracted were clay content (%), silt content (%), sand content 146 (%), gravel content (%), bulk density (g cm⁻³) and rooting depth (cm) (Table S4)

2.3. Crop modeling and environmental covariates calculation

 The CERES-Barley model – *Decision Support System for Agrotechnology Transfer* (DSSAT v4.8) – was used to simulate the phenology of two reference cultivars (cv. RGT Planet and cv. Laureate) that represented well the slight variations in phenology among the existing spring barley germplasm (Table S5, Table S6) (Jones et al., 2003; Hoogenboom et al., 2019). Field experiments (9 environments) were conducted in 2022 to calibrate the model for five critical growth stages: first leaf through coleoptile (Z11), head at 1 cm (Z30), half of head emerged – heading – (Z55), early milk (Z73) and physiological maturity (Z90) (Zadoks et al., 1974). Cultivar coefficients were manually adjusted to maximize the

 Willmott index of agreement (d-stat) value (Willmott et al., 2012) and minimize the root-mean-square error (RMSE) (Willmott et al., 1985). Model validation was performed on the multi-environment trial network database (see Section 2.1), where phenology data were available (38 %of the dataset), providing a RMSE < 4 days for heading and physiological maturity dates.

 The validated model was used to simulate the phenology of cv. '*RGT Planet* and cv. '*Laureate* in 125 environments. Daily weather data, soil properties (see section 2.2.2) and management practices (sowing dates and irrigation scenarios) were used as minimum data input (Hunt and Boote, 1998). Due to low variations in simulated phenology between the two cultivars (< 3 days for heading and 4 days for physiological maturity in all AC), an average phenology (number of days after sowing) was considered to calculate a set of 138 environmental covariates (EC) in each environment. Environmental covariates are climatic variables calculated over specific phenophases (growth periods from the crop cycle) (Schoving et al., 2020; Elmerich et al., 2023). Herein, the crop cycle was divided into six phenophases: Sowing to Emergence (SO-EM), Emergence to Head at 1 cm (EM-1CM), Head at 1 cm to Heading (1CM-HD), Heading to Anthesis (HD-AN), Anthesis to beginning Grain Filling (AN-GF) and beginning Grain Filling to Physiological Maturity (GF-PM). For each phenophase, 18 climatic variables related to daily precipitations, temperature, and solar radiation were calculated. Additionally, five ECs calculated by the model were considered: water stress factor, top layer soil moisture, potential evapotranspiration, soil humidity and soil temperature. Water stress factor calculation is based on the maximum root water uptake to atmospheric water demand ratio (Jones et al., 2003). Potential evapotranspiration is simulated by the default Priestley-Taylor method using the net daily solar radiation and temperature (Ritchie, 1998). The model uses soil and plant canopy albedo to compute daily soil evaporation and plant transpiration. From the 138 climatic variables, 91 were kept for the analysis to avoid strong autocorrelations.

*2.4.Partial Least Squares regression*s

 Partial Least Squares regression (PLS) is a robust and commonly used statistical method to predict a response variable (Y-table) from a set of explanatory variables (X-matrix) in agronomy (Crossa et al., 2010). Orthogonal factors, called (latent variables) with the best predictive values are extracted from the X-matrix. Such a method is suitable when the number of explanatory variables is higher than the number of observations in the Y-table and when multicollinearity is observed in the X-matrix (Abdi, 2010). Another advantage of this type of analysis over linear factor regression is the possibility of evaluating several covariables, allowing the integration of as many environmental variables as possible, thereby limiting a priori statements on which factors to include in the analysis. Variable selection methods were used, accepting that the X-matrix can contain redundant or irrelevant variables without impacting the results (Mehmood et al., 2012). The response variable (Y-table) was the average spring barley yield at each of the 125 environments, and the explanatory variables (X-matrix) were the 91 ECs. We used the filter method, taking Variable Importance in Projection (VIP) as a selection criterion (Elmerich et al., 2023). Variables with a VIP >1.5 were considered as being able to explain spring barley yields. The number of components was defined using the Wold algorithm (Wold et al., 1984, 1987, 2001; Tenenhaus et al., 2005). Five PLS models were performed: one for each AC region defined in Section 2.1. Analyses were performed using R software (v 4.0.5).

3. Results

- *3.1. Yield variations within the MET*
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 Yield data revealed low inter-annual and raised intra-annual variability (Fig. 2). The lowest average 204 was observed in 2020 (6.7 t ha⁻¹) and the highest in 2015 (7.8 t ha⁻¹). Inter-annual variability ranged between 1 % (2015–2016) and 14 % (2010–2021). The average intraannual yield variability was 18 %. The lowest inter-location variability (14 %) occurred in 2015, while the figure rose to 22 % in 2018. No trend was identified within the five agro-climatic-regions throughout the years.

 Fig. 2. Average yields per year and yield variability in the Multi-Environment Trials (MET) locations. The red line represents the average yield calculated from MET locations from 2015 to 2021. Annual yields of MET locations are represented by points distributed around the average. The colors discriminate the yields of the agro-climatic region: blue for United-Kingdom and Ireland (UK-IE), yellow for Denmark and Sweden (DK-SE), red for France (FR), green for Northeast Germany, Czech Republic and Poland (N.E. DE-CZ-PL) and gray for South Germany and Austria (S. DE-AT).

3.2. Phenophases impacting yield levels

 The critical phenophases for yield differed between agro-climatic regions (AC) (Table 1a). For Denmark and Sweden (DK-SE), 60 % of the selected climatic variables were distributed over two 220 phenophases: Sowing to Emergence (SO-EM) and Emergence to Head at 1 cm (EM1CM). In United-221 Kingdom and Ireland (UK-IE), the Head at 1 cm to Heading (1CM-HD) phenophase had the most impact (56 %). For Northeast Germany, Czech Republic and Poland (N.E. DE-CZ-PL), 82 % of selected climatic variables were distributed over two phenophases, from Heading (HD) to beginning Grain Filling (GF). In South Germany and Austria (S.DE-AT), 75 % of the selected climatic variables 225 were included in the EM-1CM phenophase. In France (FR), climatic variables impacting yield were 226 spread over the whole plant cycle (except for the SO-EM phenophase), from Emergence (EM) to Physiological Maturity (PM).

3.2. Environmental covariates explaining yield levels

 Of the sixteen selected climatic variables, seven were related to temperature (Table 1b). In all AC regions except FR, elevated minimum temperature during almost all phenophases resulted in yield penalties. Most notably, this applied to DK-SE, for which yield was negatively impacted by this factor in four of the six phenophases (Table 1b). In UK-IE, DK-SE and S. DE-AT, high minimum, maximum and average temperature had a negative effect on yield during SO to HD phenophases (Table 1b). Fig. 3 displays the linear relationship between yields and increasing minimum temperature during the EM-235 1CM phenophase $(R^2 = 0.31, P < 0.001)$. Additionally, temperature thresholds during the EM-1CM 236 phenophase emerged as ECs explaining the yield for these AC regions. Number of days with an 237 average temperature below 15°C positively impacted yield for S. DE-AT and a minimum temperature 238 below 0° C or 7° C had a positive impact on yield for S. DE-AT, DK-SE and UK-IE regions (Table 1b). Thermal amplitude during HD-AN phenophase was an EC driving yield, with a negative effect for FR 240 and positive effect for N.E. DE-CZ-PL. Thermal amplitude was negatively related to yield during 1CM-HD for UK-IE (Table 1b). During GF-PM, an average temperature threshold of 15°C had a negative effect on yield in FR and S.DE-AT (Table 1b).

 Two solar radiation variables and the photothermal quotient (PTQ) – the ratio of radiation and average temperature – were selected for all AC regions but the phenophases involved were different. Yield was enhanced by high radiation during the EM-1CM phenophase in S. DE-AT, during the 1CM-HD and GF-PM phenophase in FR, during the HD-AN phenophase in UK-IE and during HD-GF phenophases in N.E. DE-CZ-PL (Table 1b). For these four regions, a high PTQ positively impacted yield during these phenophases. ECs linked to elevated level and cumul of radiation during HD-AN and AN-GF were identified to have a negative impact on yield in FR and DK-SE. No effect of radiation during GF-PM was detected (Fig. 3b).

 Water variables influenced yield in most AC regions. Three ECs, of which two were simulated, were found to affect yield. High cumulative precipitations resulted in yield penalties during AN-GF in FR (Table 1b). In contrast, elevated precipitations and topsoil moisture during the SO-EM and 1CM-HD had a positive effect on yield in N.E. DE-CZ-PL and UK-IE respectively. Simulated evapotranspiration (ETP) was detected as being positive for yield during HD-AN in N.E. DE-CZ-PL and as being negative during HD-GF in DK-SE (Table 1b). A high crop model water stress factor value resulted in yield losses during EM-1CM phenophase in FR and S. DE-AT (Table 1b). Soil temperature, simulated by the crop model was selected as inducing yield losses in DE-SE region during the SO-EM phenophase.

 On the five PLS analyses, only one EC related to the GF-PM phenophase duration and negatively impacting yield was selected for FR (Table 1b).

 Table 1. Main environmental covariates impacting spring barley yields across five European agro-climatic regions. (a) Frequency of phenological stages intervals and (b) distribution and effects of the main climatic variables. 16 climatic variables were selected after Partial Least Squares (PLS) regression analysis, based on their VIP-score > 1.5. The six phenological stages intervals were: Sowing to Emergence (SO-EM), Emergence to Head at 1 cm (EM-1CM), Head at 1 cm to Heading (1CM-HD), Heading to Anthesis (HD-AN), Anthesis to beginning Grain Filling (AN-GF), beginning Grain Filling to Physiological Maturity (GF-PM). Green and red symbols represent positive and negative effects, respectively (results of PLS β-regression coefficients). A total of 37 environmental covariates (i.e., climatic variables calculated between two phenological stages) were identified for

272 their impact on yields (Table S7)

 Fig. 3. Relationship between observed grain yield and the average minimum temperature from Emergence to Head at 1cm. The environments are distributed across United Kingdom and Ireland 276 region (n = 21), Denmark and Sweden region (n = 19), South Germany and Austria region (n = 24). 277 Yields ranged from 4.0 to 9.9 t ha⁻¹. For these three regions, the environmental covariates resulted in a VIP score > 1.5 after Partial Least Squares (PLS) regressions performed on yield*.*

4. Discussion

4.1. Temperature and solar radiation were the main climatic yield-drivers in Europe

 Large inter- and intra-annual variability in yields was observed within the Multi-Environment Trials (MET) (Fig. 2). Results showed that high temperatures were major yield-drivers in Europe, in line with Vogel et al. (2019) that also reported the importance of temperature factors in explaining yields for several spring crops at large scale. The statistical approach, that strongly limits assumptions, highlighted the factors associated with minimum temperature. As detected for several Euro pean regions, the detrimental effect of night temperatures on yields, particularly during anthesis, was already observed in spring barley in other production areas such as in Argentina, due to shorter

 development resulted in low incident radiation capture (García et al. 2015; García et al. 2018). Lower 291 minimum temperature proved to be associated with higher yields in Northern Australia (Dreccer et al., 292 2018). Ugarte et al. (2007) demonstrated that temperature can affect grain weight even before anthesis. The perspective would be to study the impact of temperature on yield components around this period. In United-Kingdom and Ireland (UK-IE), heat had a deleterious effect on yields during stem elongation by shortening the duration of the phase resulting in inadequate availability of assimilates (Barnabas et al., 2007; Ugarte et al., 2007). Herein, yield was highly influenced by temperature during vegetative stages. For UK-IE, DK-SE and S.DE-AT regions, positive effect of cool temperature on yield was observed from establishment to tillering. Few studies have been conducted on the relationship between tillering dynamics and temperature. del Moral and del Moral (1995) demonstrated that the temperature during tillering was inversely linked to the number of final shoots. In wheat, warm night proved to increase respiratory activity resulting in less assimilates for growth (Gimenez et al., 2021). Another study highlighted that high tillering could lead to an increase tiller mortality rate (Alzueta et al., 2012). In Europe, conditions regarding shading and temperature could be favorable for maintaining tillers and grains produced (García et al. 2015; Le Roux et al., 2024). A perspective would be to test under controlled conditions the effect of low temperatures on tillering and spike establishment in spring barley. Solar radiation and the combination of radiation and temperature – measured as photothermal quotient (PTQ) – were also essential yielddrivers. Arisnabarreta and Miralles (2008b) established the critical period for barley grain number determination as being between 40- and 10-days pre-heading and affected by radiation. In other regions such as South America and Australia, shading at anthesis proved to be critical for yields (Fisher et al., 1995; Sadras, Dreccer 2015; Liu et al., 2020). In Northern Europe, studies also demonstrated that radiation was a major factor influencing yield. (Peltonen-Sainio et al., 2010; Trnka et al., 2011; Rötter et al., 2013). The results of our study are consistent since radiation had a positive effect on yields during active spike growth for FR and during heading and anthesis for UK-IE and Northeast Germany, Czech Republic and Poland (N.E. DE-CZ-PL). By affecting spike available assimilates, shading induced a reduction of fertile florets at anthesis (Arisnabarreta and Miralles, 2008a; Gonzalez ´ et al. 2011). Results demonstrated that the PTQ was a strong driver of yields for FR and N.E. DE-CZ-PL. They are

 in line with a previous study that reported a direct and positive relationship between this variable and grain number, 20 days before and 10 days after anthesis in Spain (Cossani et al., 2009). Critical developmental phase link seed number to temperature through the PTQ and growth rate during these periods, highlighted the need to adopt agronomic and breeding approaches to optimize crop yield under varying thermal and shading conditions (Sadras, Dreccer 2015). Drought is considered to be one of the main environmental factors that explain yield loss in spring crops (Trnka et al., 2011; Eitzinger et al., 2013; Troy et al., 2015; Cammarano et al., 2019). Associations between water variables and yield were detected for most regions although water stress was not identified as having the major influence on yield. The experimental stations studied are often located in soils with a high water- holding capacity (see section 2.2.2), reducing the water stress and explaining that it is not the main yield-driver in this multi-environment trials context. To consider a more accurate determination of water stress, an evaluation of soil water availability and vapor pressure deficit under contrasting soil properties may be considered. Our study demonstrates that water-simulated variables can be powerful yield-level indicators. Previous research shown that water variables simulated by crop modeling (drought-stress indices, crop transpiration) contribute to improved yield predictions (Feng et al., 2019; Shahhosseini et al., 2021). In the case of France (FR), the simulated water stress factor during emergence and tillering emerged as one of the main climatic factors to explain yields. This suggests that grain number is reduced due to the inhibition of tillering caused by drought (Lipiec et al., 2013; Rollins et al., 2013). Precipitation and soil water availability, also emerged as a key factor influencing yield in N.E. DE-AT-CZ-PL and UK-IE, underlying the importance of water for establishment and stem elongation. Unexpectedly, drought wasn't identified as a major yield-driver during the filling period. However, soil water availability remains an influential factor during this period, as it emerged with a VIP score > 1 for DK-SE, UK-IE and N.E DE-CZ-PL and (Table S7). While water may not be the most important factor, it remains essential for optimizing yields from sowing. The knowledge of key environmental factors and critical period for spring barley yields in the Western Europe can be transferred to other production areas and be useful if spring barley expand in northern re gions. These results will help anticipate climate changes. For instance, some French climates are expected to reflect future UK climate by 2040, enabling to prepare such evolution in Europe.

4.2. Crucial development period for yield were associated with population establishment

 Although the most important climatic factors influencing yield were largely the same among AC regions, there were strong regional contrasts in the critical phenological stages for yield. Our results identified that pre-anthesis stages are decisive and confirmed that yield is primarily explained by the grain number per unit area (Garcia del Moral et al. 2003; Peltonen-Sainio et al., 2007; Ferrante et al. 2017, Kennedy et al., 2017). In the Southern Hemisphere, with autumn sowing, one critical period is observed around anthesis (Arisnabarreta et al., 2008; Sadras, Dreccer 2015). Herein, anthesis was one of the most sensitive periods, as previously demonstrated in different European field studies, and it explained a large part of the yield levels for N.E. DE-CZ-PL and FR (Hakala et al., 2012; Lüttger and Feike, 2018). Interestingly, our study highlighted the importance of the vegetative stages for final yield. Stem elongation has been recognized as being decisive and strongly correlated to grain number (Mirosavljevic et al. 2019) and was the most climate sensitive for UK-IE. The data revealed that yield levels were strongly associated with the maximum yield potential setting during emergence and tillering. Unexpectedly, grain filling was the period least affected by climatic factors. This period has been identified as being strongly associated with grain weight and sensitive to heat and drought (Peltonen-Sainio et al., 2010; Rajala et al., 2011; Hakala et al., 2012; Kahiluoto et al., 2019; Mirosavljevic et al. 2019). Our study did not identify grain filling as crucial in Europe, although this may change in the future. As a result of climate change, forecasts have indicated strong yield losses associated with heat and drought during the last phenological stages (Rotter ¨ et al., 2013; Trnka et al., 2014; Cammarano et al., 2019; Feng et al., 2019; Harkness et al., 2020). To adapt to climate change, several solutions can help maximize yields. Changing agro nomic practices can minimize the adverse impact of key environmental factors during critical periods and help breeders develop cultivars with phenological and tolerance characteristics suited to local agro-climatic conditions. In Europe, it will be necessary to consider whether to advance sowing, risking exposure to new limiting factors, or to switch to autumn sowing as observed in other production areas and Southern Europe and work on

 genetics to adapt the germplasm to winter conditions and the higher diseases exposure. Cultural practices, including genotype selection, should be considered. Breeders are already focusing on anthesis phenology to adapt cultivar selection to conditions. In Australia, Liu et al. (2020) have shown that the optimal anthesis period depends on the combination of genotype, sowing date, and environment, suggesting the need to adapt breeding strategies and management to optimize yields according to location. There is a variability in genotypic response to environmental factors that also explains yield variations (Hakala et al., 2012). Explore this varietal gradient and genotype x environment interactions to optimize yields for specific locations can be a perspective (Cammarano et al., 2021; Appiah et al., 2023).

5. Conclusion

 Climate change is expected to intensify variability and uncertainty in crop production. To secure yield and optimize agricultural practices, it is crucial to identify the main yield-drivers, i.e., climatic factors and critical phenological stages of crops. This study investigated spring barley yield ecophysiology across Western Europe using an original and unlimited assumption approach. Temperatures and radiation were the main climatic drivers influencing yields. Major differences were detected in the critical phenological stages across agro-climatic (AC) regions. In the United Kingdom and Ireland, the most sensitive period was stem elongation, influenced by average temperature. In Denmark and Swe den, high temperatures before emergence strongly reduced yield. For Northeast Germany and Eastern countries, anthesis was highly sensitive to solar radiation and temperature. In South Germany and Austria, plant establishment and tillering emerged as crucial stages, sensitive to temperature and solar radiation. In France, yield levels were influenced by precipitation during tillering, as well as temperature and radiation during anthesis. This study provided insight into improving yield predictions and optimizing management within different AC regions. Cultivar choice remains a key factor in compensating for the effect of climate change. Genetic sensitivity to environmental conditions causing Genotype x Environment interactions (GEIs) needs to be considered as it represents a significant source of yield variability. The next challenge will be to understand causes of variation in the relative performance of genotypes-GEIs- using the same approach on a large multi- environment trials network, to help breeders to develop stable cultivars that outperform the existing germplasm.

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Author contributions

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Figure captions

 Fig. 1. Geographic distribution and meteorological characteristics of five European agro- climatic regions. (a) Division of the seven-year MET locations across five European agro-climatic regions: United-Kingdom and Ireland (UK-IE), France (FR), Denmark and Sweden (DK-SE), Northeast Germany, Czech Republic and Poland (N.E. DE-CZ-PL), South Germany and Austria (S. DE-AT). Each point corresponds to a multi-year trial location. (b) Ombrothermic diagrams of the five agro-climatic regions combining monthly means of cumulative precipitations, temperature and solar radiation during the plant developmental cycle.

 Fig. 2. Average yields per year and yield variability in the Multi-Environment Trials (MET) locations. The red line represents the average yield calculated from MET locations from 2015 to 2021. Annual yields of MET locations are represented by points distributed around the average. The colors discriminate the yields of the agro-climatic region: blue for United-Kingdom and Ireland (UK-IE), yellow for Denmark and Sweden (DK-SE), red for France (FR), green for Northeast Germany, Czech Republic and Poland (N.E. DE-CZ-PL) and gray for South Germany and Austria (S. DE-AT).

 Table 1. Main environmental covariates impacting spring barley yields across five European agro-climatic regions. (a) Frequency of phenological stages intervals and (b) distribution and effects of the main climatic variables. 16 climatic variables were selected after Partial Least Squares (PLS) regression analysis, based on their VIP-score> 1.5. The six phenological stages intervals were: Sowing to Emergence (SO-EM), Emergence to Head at 1 cm (EM-1CM), Head at 1 cm to Heading (1CM- HD), Heading to Anthesis (HD-AN), Anthesis to beginning Grain Filling (AN-GF), beginning Grain Fillingto Physiological Maturity (GF-PM). Green and red symbols represent positive and negative effects, respectively (results of PLS β-regression coefficients). A total of 37 environmental covariates (i.e., climatic variables calculated between two phenological stages) were identified for their impact on yields (Table S7).

710 **Fig. 3. Relationship between observed grain yield and the average minimum temperature from**

711 **Emergence to Head at 1cm.** The environments are distributed across United Kingdom and Ireland

- 712 region (n = 21), Denmark and Sweden region (n = 19), South Germany and Austria region (n = 24).
- 713 Yields ranged from 4.0 to 9.9 t ha⁻¹. For these three regions, the environmental covariates resulted in a
- 714 VIP score > 1.5 after Partial Least Squares (PLS) regressions performed on yield.

715 Supplementary Tables

 Table S1. Locations of the Multi-Environment Trials (MET). Trials were distributed across Western and Northern Europe over ten different countries in five agro-climatic (AC) regions: United Kingdom and Ireland (UK-IE), Denmark and Sweden (DK-SE), France (FR), Northeast Germany, Czech Republic and Poland (N.E. DE-CZ-PL) and South Germany and Austria (S. DE-AT).

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722 **Table S2: Characterization of yield evolution over time by agro-climatic region.** A linear 723 regression was applied to assess the relationship between the yield and the year for each agro-climatic 724 region. The estimate represents the average annual evolution in yield and p-value indicating the 725 significance of this evolution.

728 **Table S3. Distribution of the 125 environments and 270 genotypes across 7 years and 5 agro-climatic regions.** This table summarizes the number of 729 trials and distinct genotypes per agro-climatic region for each of the 7 years. The number of trials per region for a year ranged from 2 to 5 and the number of 730 genotypes ranged from 18 to 52.

732 **Table S4. Summary of soil texture and depth parameters from multi-environment trials distributed across the five agro-climatic regions.** The data 733 were collected using the Environmental Soil Database (ESDB) on the topsoil (0-30 cm) and subsoil (30 cm to depth).

 Table S5. Summary of heading dates recorded in 47 environments for 123 genotypes over the period 2015-2021 (Julian days). The average per environment was calculated across a panel ranging from 17 to 51 genotypes. The minimum and maximum values correspond to heading dates of the earlier et later cultivar of the trial respectively. The difference between the two extremes was comprised between 4 to 8 days, with an average separation of 6 days.

742 **Table S6. Difference between the recorded heading dates of the two referenced cultivars and the**

- 743 **average heading dates observed over the period 2015-2021.** The average per environment was
- 744 calculated across a panel ranging from 17 to 51 genotypes. RGT Planet et Laureate were used for

745 simulations as representative of early and late cultivars, respectively.

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 Table S7. Environmental covariates with a VIP score > 1, sorted by descending VIP score. (a) UK-IE agro-climatic region, (b) DK-SE agro-climatic region, (c) FR agro-climatic region, (d) N.E. DE-CZ-PL agro-climatic region and (e) S. DE-AT region. β-regression coefficients indicate whether the considered variable has a positive or negative effect on yield.

754 **(a)**

756 **(b)**

758 **(c)**

- 760
- 761 **(d)**

763 **(e)**

