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# Introducing a Dynamic Reconstruction Methodology for Multilayered Structures in Atom Probe Tomography

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## Abstract

Atom probe tomography (APT) is a powerful three-dimensional nanoanalyzing microscopy technique considered key in modern materials science. However, progress in the spatial reconstruction of APT data has been rather limited since the first implementation of the protocol proposed by Bas et al. in 1995. This paper proposes a simple semianalytical approach to reconstruct multilayered structures, i.e., two or more different compounds stacked perpendicular to the analysis direction. Using a field evaporation model, the general dynamic evolution of parameters involved in the reconstruction of this type of structure is estimated. Some experimental reconstructions of different structures through the implementation of this method that dynamically accommodates variations in the tomographic reconstruction parameters are presented. It is shown both experimentally and theoretically that the depth accuracy of reconstructed APT images is improved using this method. The method requires few parameters in order to be easily usable and substantially improves atom probe tomographic reconstructions of multilayered structures.

**Key words:** atom probe tomography, dynamic reconstruction, field evaporation simulation, multilayered structure

## Introduction

Atom probe tomography (APT) provides the three-dimensional (3D) reconstruction of elemental maps within a small probed volume of material with near-atomic resolution (Miller, 2000; Gault et al., 2012; Larson et al., 2013; Lefebvre et al., 2016). In APT, atoms are progressively evaporated from the surface of a needle-shaped specimen under the effect of an intense electric field. The electric field is generated by the application of a high voltage onto a specimen prepared as a sharp needle. The apex of the needle can be approximated by a nearly hemispherical apex with a radius of curvature ranging from 30 to 200 nm. Analyses are generally performed at cryogenic temperatures (20–100 K). The diverging electric field existing near this apex accelerates the ionized atoms away from the specimen surface. Ions are collected by a position-sensitive detector.

Using a relatively simple reverse-projection algorithm, combined with an incremental increase in the depth, the impact coordinates of each ion on the detector are used to build a tomographic reconstruction of the field-evaporated volume within the field of view of the microscope (Bas et al., 1995; Geiser et al., 2009; Gault et al., 2011a, 2011b). It can be determined either by correlative microscopy of APT and transmission electron microscopy (TEM) (Haley et al., 2011; Herbig et al., 2015) or by field evaporation simulation (Beinke et al., 2016). Up to now, the most common approach is to

use an analytical approach where the impact coordinates on the detector and the detection event sequence are considered. This then requires reconstruction parameters to be defined. However, in this case, it has been demonstrated experimentally (Gault et al., 2011a, 2011b) and numerically (Mesa et al., 1996; Vurpillot et al., 2011) that it is generally a severe simplification to consider the reconstruction parameters as constant. The reconstruction parameters, used to calculate magnification from the beginning to the end of the analysis, can vary by several tens of percent over the volume, which is detrimental to the reconstruction accuracy. This evolution strongly depends on the sample morphology. Instead, the parameters need to be adjusted throughout the reconstruction (Larson et al., 2011), then called dynamic reconstruction (DR).

As demonstrated by Hatzoglou et al. (2019), for a single-phase material, the evolution of the reconstruction parameters [field factor and image compression factor (ICF)] can be estimated using field evaporation simulation. In this study, we expand this approach toward multilayered systems composed of two or more materials. The evolution of the parameters is then injected into the reconstruction protocol, using the GPM 3D APT data treatment software. Additionally, the Norwegian Atom Probe App (NAPA) software has been used. It was developed by Hatzoglou (2022) from the Norwegian University of Science and Technology (NTNU) and is an open-access software program dedicated to APT data treatment.

After a brief presentation of the reconstruction parameters, field evaporation simulation results of multilayered structures will be presented, as well as the evolution of the reconstruction parameters. Regarding simulation results, an analytical expression of this evolution, composed of few parameters, will be proposed. This DR will be applied to different multilayered structures:  $\text{In}_{0.15}\text{Al}_{0.85}\text{N}/\text{GaN}$ ,  $\text{Si}/\text{SiGe}$ ,  $\text{Si}/\text{SiO}_2$ , and  $\text{LuFeO}_3$ . The improvement and performance of the DR will be discussed, and it will be presented in each case how to estimate the additional reconstruction parameters induced by the dynamic approach, without performing electron tomography (Fletcher et al., 2022).

## Dynamic Reconstruction

### Reconstruction Parameters

Once ionized and desorbed from the surface, ions are accelerated by the surrounding electric field toward the detector. As the ion trajectories in the vicinity of a real sample are very complex (Vurpillot et al., 1999; Vurpillot & Oberdorfer, 2015), extensive works have been done to simplify the problem and to define a simple projection law describing the imaging process. The simplest model assumes that ions follow straight trajectories, and this approach is often used to describe the ion projection in APT and perform a 3D reconstruction. This reconstruction is made *a posteriori*, using data that are available from the analysis of the specimen (e.g., crystallographic feature, particles with known morphology, etc.). The reconstruction is built atom-by-atom by reverse-projecting the detected position onto the surface of a virtual specimen. Details can be found in Miller & Forbes (2009), Gault et al. (2012), Larson et al. (2013), Vurpillot et al. (2013a, 2013b), and Lefebvre et al. (2016). The lateral coordinates of the ions are deduced from a direct reverse-projection of the ion impact coordinates on the position-sensitive detector back onto a virtual spherical cap, which represents the specimen. The reconstruction in the depth direction is based on a sequential displacement of a virtual ion-emitting surface. Both are based on the estimation of the magnification ( $M$ ):

$$M \approx \frac{L}{\zeta R}, \quad (1)$$

with  $L$  the flight path,  $R$  the radius of curvature of the specimen, and  $\zeta$  the ICF. This last factor reflects the compression of the field lines due to the nonsymmetrical nature of the electrostatic environment. The core of the reconstruction process requires accurate knowledge of the radius of curvature ( $R$ ) for each ion detected to compute its original location at the specimen surface. Different methods have been proposed to estimate  $R$ . The first method, named cone angle calculation (Jeske & Schmitz, 2001; Larson et al., 2013), assumes a simplified geometry of the specimen, with an initial radius of curvature ( $R_0$ ) and a defined shank angle ( $\gamma$ ) (Walck et al., 1986). Then, the evolution of the radius of curvature, as a function of the depth ( $z$ ), is estimated according to the following relationship, which is derived from simple geometric considerations:

$$R = R_0 + \frac{\sin(\gamma)}{1 - \sin(\gamma)} z. \quad (2)$$

Considering that the electric field at the specimen surface is close to the evaporation field of the probed material ( $F_{ev}$ ),

then the radius of curvature can also be directly estimated from the applied voltage ( $V$ ) at the time that the ion is detected:

$$R = \frac{V}{k_f F_{ev}}, \quad (3)$$

with  $k_f$  the field factor. This second approach will be referred to as the standard reconstruction protocol.

Determination of several parameters is therefore necessary. The field factor ( $k_f$ ) and the ICF ( $\zeta$ ) are commonly set as constant parameters. However, it has been demonstrated experimentally (Gault et al., 2011a, 2011b) and numerically (Mesa et al., 1996; Larson et al., 2011; Vurpillot et al., 2011) that it is generally a strong simplification. Indeed, the field factor and the ICF evolve as a function of the sample morphology and must be adjusted throughout the reconstruction algorithm:  $k_{f,i}$  and  $\zeta_i$  with the  $i$ th considered evaporated ions. Hatzoglou et al. (2019) determined analytic expressions that describe the evolution of those parameters ( $k_{f,i}$  and  $\zeta_i$ ) during evaporation, for a single-phase material (phase  $\alpha$ ), based on field evaporation simulation results (Fig. 1a):

$$k_{f,i} = k_{f,0} \times \left( \frac{\exp(\Omega)}{\exp\left(W\left(\frac{V_0}{V_i}\right)\right) - \ln\left(\frac{V_0}{V_i}\right)} \right), \quad (4)$$

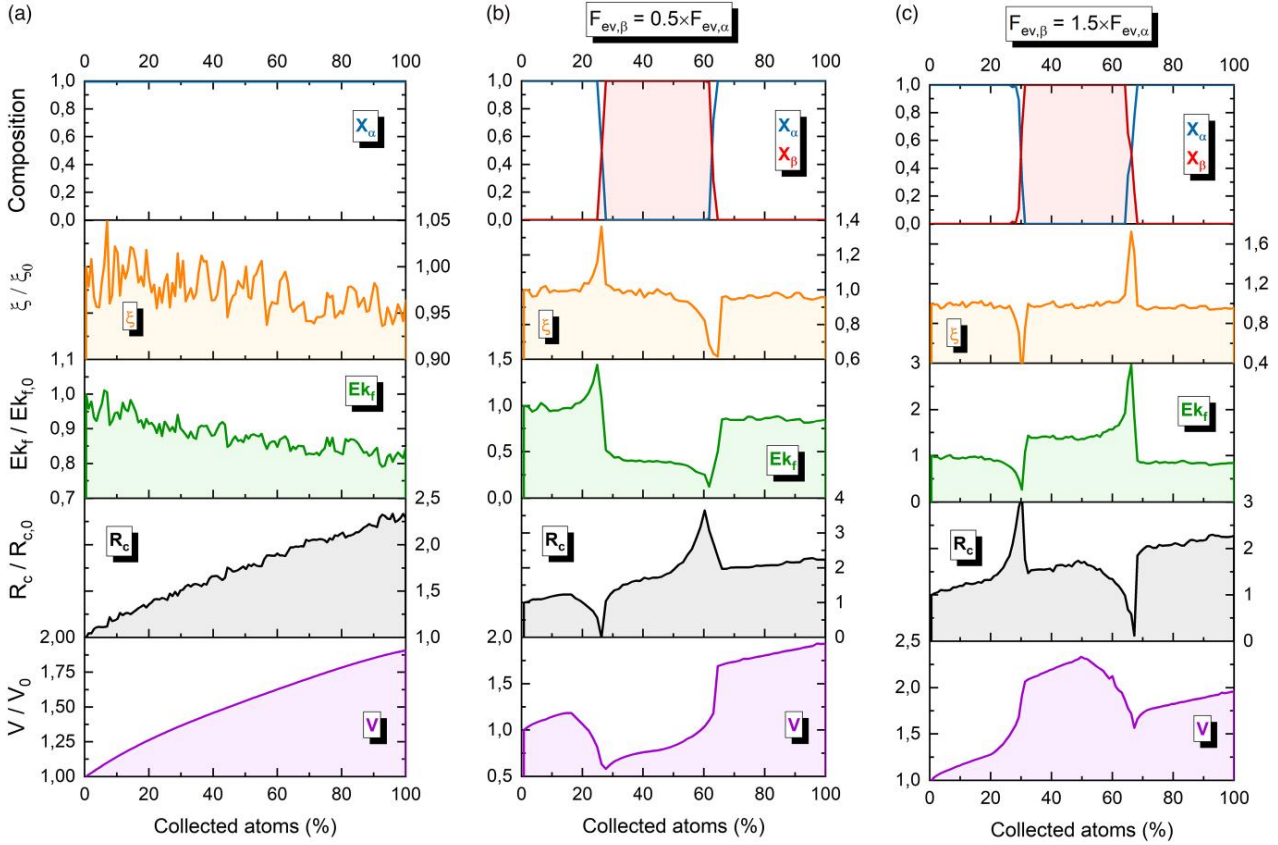
$$\zeta_i = \zeta_0 \times \sqrt[3]{\frac{k_{f,i}}{k_{f,0}}}, \quad (5)$$

with  $\Omega$  a constant defined as the unique real number that satisfied the equation  $\Omega \exp(\Omega) = 1$  (this constant is approximately equal to 0.567) and  $W$  the Lambert function [with  $W(1) = \Omega$ ].  $V_i$  and  $V_0$  are the voltage for the  $i$ th ion and the voltage at the beginning of the sample evaporation, respectively. It is the total voltage (i.e., specimen voltage plus pulse voltage). In the same manner,  $k_{f,0}$  and  $\zeta_0$  are, respectively, the field factor and the ICF at the beginning of the evaporation. Equation (4) represents a development of that presented in Hatzoglou et al. (2019), which has the advantage that it is no longer dependent on the shank angle ( $\gamma$ ).

However, equations (4) and (5) are no longer valid when the microstructure becomes more complex. In fact, adding a second phase ( $\beta$ ), as a layer perpendicular to the evaporation direction, the evolution of the reconstruction parameters during evaporation (Figs. 1b, 1c), estimated from field evaporation simulation (Vurpillot et al., 2013a, 2013b), is more complex than that for a single phase. The evolution is also strongly dependent on the evaporation field ratio of the layer ( $F_{ev,\beta}$ ) and the phase in which the layer is embedded ( $F_{ev,\alpha}$ ). In this case, new expressions must be developed to predict the evolution of the reconstruction parameters for such multilayered structures.

### From Homogeneous Materials to Multilayered Systems

As in Hatzoglou et al. (2019), field evaporation simulations (Vurpillot et al., 2013a, 2013b) are used to estimate the evolution of the reconstruction parameters through the evaporation of a multilayered structure. It must be noted that this simulation model is available in the IVAS software (CAMECA Instruments Inc.). Only the radius of curvature of the sample and its shank angle ( $R_0$  and  $\gamma$ ) are inputs for



**Fig. 1.** Evolution of the chemical composition ( $X_\alpha$  and  $X_\beta$ ) and the evolution of the relative compression factor ( $\xi$ ), the field factor ( $Ek_i$ ), the radius of curvature ( $R_c$ ), and the potential ( $V$ ) during the evaporation process for different microstructures: (a) a single phase ( $\alpha$ ) and a structure with a layer ( $\beta$ ) having (b) a higher evaporation field ( $F_{ev,\beta} = 1.5 \times F_{ev,\alpha}$ ) or (c) a lower evaporation field ( $F_{ev,\beta} = 0.5 \times F_{ev,\alpha}$ ) than the surrounding  $\alpha$  phase (Vurpillot et al., 2013a, 2013b).

field evaporation simulation of a homogenous or single-phase material, while the layer thickness and evaporation field of the second phase are added here for a multilayered structure ( $e$  the thickness and  $F_{ev,\beta}$ ). Field evaporation simulations of several hundreds of different geometrical cases [ $R_0$  from 20 to 100 simulation unit cells (uc) and  $\gamma$  from 5 to 45°] and layer properties ( $e$  from 2 to 200 uc and  $F_{ev,\beta}$  from 0.5 to 2 times  $F_{ev,\alpha}$ ) were simulated to explore in detail all the configurations. The observations presented below are a synthesis of these simulations and reflect the obtained results. The simulations presented to illustrate the results are the following:  $R_0 = 50$  uc,  $\gamma = 10^\circ$ ,  $e = 100$  uc, and  $F_{ev,\beta}$  is equal to 0.5 or 1.5 times  $F_{ev,\alpha}$ .

Considering the standard reconstruction protocol, it is not mandatory to determine separately the field factor and the ICF evolution, since the relevant parameter, the magnification, is proportional to their ratio:

$$M_i = \frac{LE_i k_{t,i}}{\xi_i V_i}, \quad (6)$$

with  $E_i$  the electric field (considered close to the evaporation field). This ratio can then be developed as a product of two terms:

$$\frac{E_i k_{t,i}}{\xi_i} = \mu_i \times \frac{E_i^* k_{t,i}^*}{\xi_i^*}, \quad (7)$$

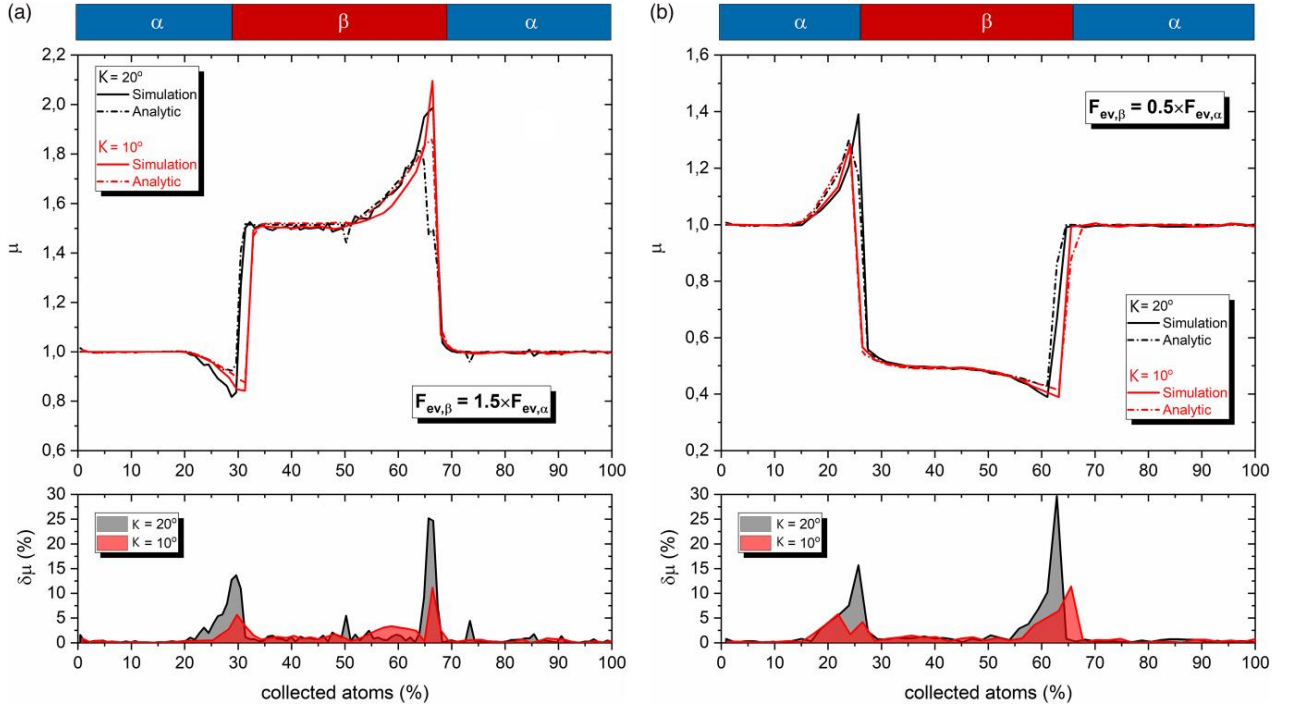
with

$$\mu_i = \frac{E_i k_{t,i}}{E_i^* k_{t,i}^*} \times \frac{\xi_i^*}{\xi_i}. \quad (8)$$

The term ( $E_i^* k_{t,i}^* / \xi_i^*$ ) describes the evolution of the reconstruction parameters for a reference phase (i.e., this reference phase is a single-phase material of the same morphology analyzed with the same instrumental parameters). In this case, only the sample morphology determines the evolution of the magnification, and this evolution is integrated into this term. The second term ( $\mu_i$ ) describes the influence of the analyzed multilayered structure on the overall evolution of the magnification during the analysis. All the terms indexed by a star refer to this reference phase. In the case studied here (Figs. 1b, 1c), the reference phase is the phase  $\alpha$ , and in a more general case, the first evaporated phase will always be taken as the reference one. Combining equations (6) and (8), it clearly appears that the term ( $\mu_i$ ) reflects the magnification fluctuations induced by the microstructure relative to a single-phase material (hence the choice of this letter for this variable):

$$\mu_i = \frac{M_i}{M_i^*} \times \frac{V_i}{V_i^*}, \quad (9)$$

with  $V_i^*$  the evolution of the potential if the sample was a single phase. If the analyzed sample corresponds to a single-phase



**Fig. 2.** Evolution of the parameter  $\mu$  during the evaporation process for a structure with a phase  $\beta$  having (a) a higher evaporation field ( $F_{ev,\beta} = 1.5 \times F_{ev,\alpha}$ ) or (b) a lower evaporation field ( $F_{ev,\beta} = 0.5 \times F_{ev,\alpha}$ ) than the neighboring phase  $\alpha$ . The evolution is estimated from field evaporation simulation results (reported as simulation) using the analytical equation (10), for two different collection angles ( $K$ ): 10 and 20°. The evolution of the relative error  $\delta\mu_i$  (%) is also reported for both cases.

material, in this case,  $\mu_i$  is equal to 1 [equations (8) and (9) since  $V_i = V_i^*$ ], verifying then that the evolution of the reconstruction parameters matches well with a single phase [equation (7)]. Since the term  $(E_i^* k_{t,i}^* / \zeta_i^*)$  refers to a single phase, its evolution is already known based on equations (4) and (5). Thus, it remains only to estimate the evolution of  $\mu_i$ , using field evaporation simulation results (Figs. 2a, 2b). Its estimation is done each time with two simulations, with and without a second layer, and both with the same radius of curvature and shank angle. First,  $\mu_i$  [equation (8)] can be simplified to the following equation (10), considering equation (5), but also the following obvious equalities:  $k_{t,0} = k_{t,0}^*$  and  $\zeta_0 = \zeta_0^*$ .

$$\mu_i = \frac{E_i}{E_i^*} \times \left( \frac{k_{t,i}}{k_{t,i}^*} \right)^{\frac{2}{3}}. \quad (10)$$

Second, whatever the simulated layer thickness ( $e$ ) and evaporation field ( $F_{ev,\beta}$ ) are, the ratio  $k_{t,i}/k_{t,i}^*$  can be approximated by the following empirical analytical expression:

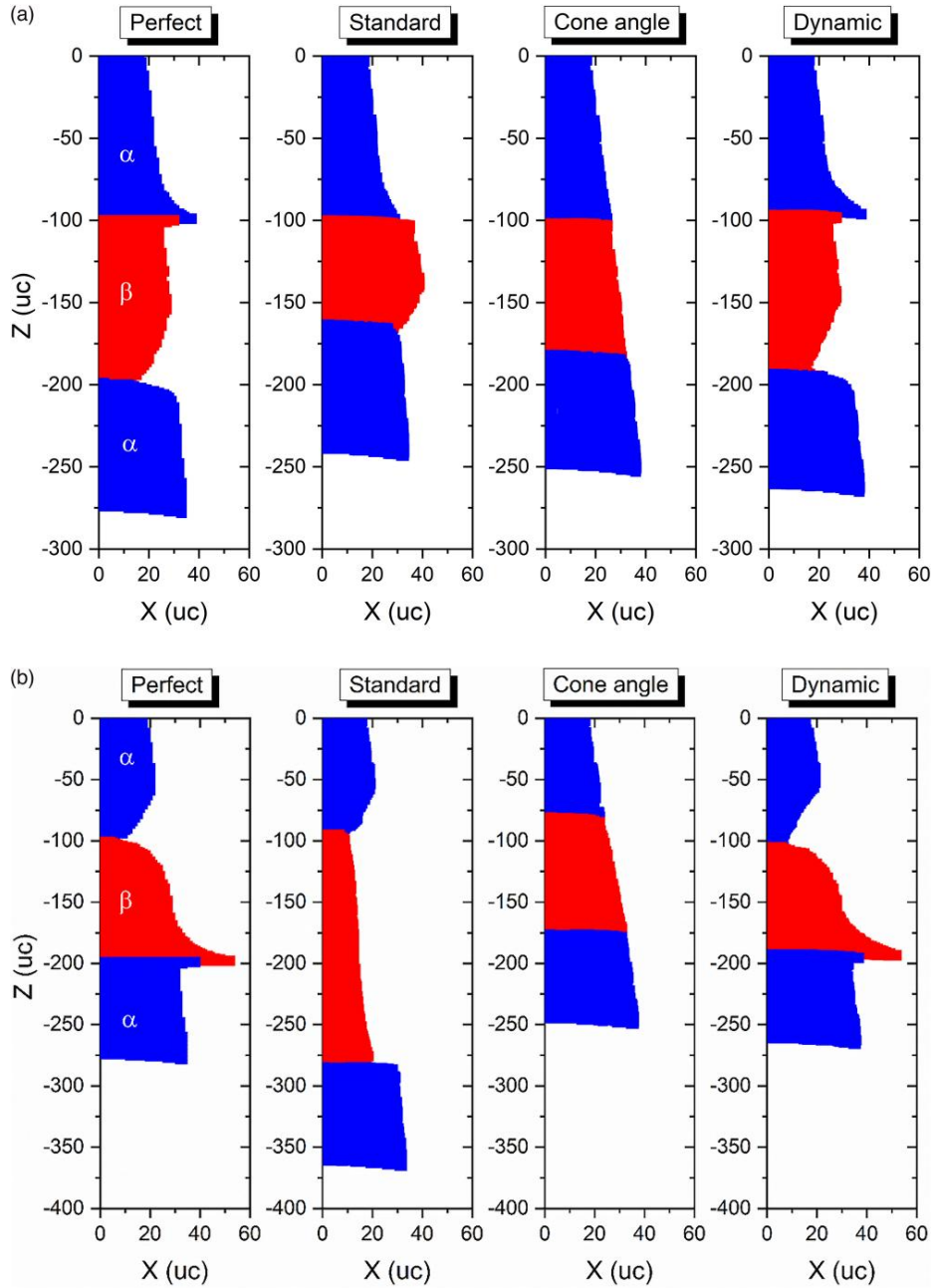
$$\frac{k_{t,i}}{k_{t,i}^*} = \frac{E_i}{E_i^*} \times \frac{V_i^*}{V_i}. \quad (11)$$

This last expression suggests that the evolution of the radius of curvature ratio  $R_i^*/R_i$  is equal to  $(k_{t,i}/k_{t,i}^*)^2$  [considering equation (3)], which was verified from the simulation data. Since the electric field is considered to be close to the evaporation field,  $E_i^*$  is equal to  $F_{ev,\alpha}$  and  $E_i$  can be approximated by an average evaporation field  $X_{\alpha,i}F_{ev,\alpha} + X_{\beta,i}F_{ev,\beta}$ , with  $X_{\alpha,i}$  and  $X_{\beta,i}$ , respectively, the abundance of atoms from phases  $\alpha$  and  $\beta$ , in the detector referential, subsequently reported as phase

concentration. The expression of the evolution  $\mu_i$  finally becomes:

$$\mu_i = \left( X_{\alpha,i} + X_{\beta,i} \left( \frac{F_{ev,\beta}}{F_{ev,\alpha}} \right) \right)^{\frac{2}{3}} \times \left( \frac{V_i^*}{V_i} \right)^{\frac{2}{3}}, \quad (12)$$

which reproduces the simulation results (Fig. 2). Let us take as an example layer  $\beta$ , with an evaporation field higher than the phase  $\alpha$  (Fig. 2a), to discuss the evolution of  $\mu_i$  and its analytical expression, based also on the numerous observations of similar microstructures in the literature (Larson et al., 2011, 2012; Marquis et al., 2011; Haley et al., 2013, 2018; Rolland et al., 2015a, 2015b; Xu et al., 2015; Vurpillot et al., 2016; Rolland et al., 2017). At the beginning of the evaporation, i.e., in the core of phase  $\alpha$ ,  $\mu_i$  is equal to 1, since this phase is set as the reference one ( $V_i = V_i^*$ ,  $X_{\alpha,i} = 1$ , and  $X_{\beta,i} = 0$ ). At the interface  $\alpha/\beta$ , first, there is a drop of  $\mu_i$ , corresponding to the decrease of the magnification, induced by the increase in the mean radius of curvature (Fig. 1b, flattened interface). Indeed, the evaporation rate of the layer  $\beta$  is lower than the phase  $\alpha$  (due to its higher evaporation field). There is therefore a decrease in  $\mu_i$  since the potential increases, compared with the reference one (Fig. 1a), then  $V_i^*/V_i < 1$ . Second, still at this interface, there is an important growth of  $\mu_i$ , as then mostly evaporation of the layer  $\beta$  occurs, ( $X_{\beta,i} > X_{\alpha,i}$  in Fig. 1b), with  $F_{ev,\beta} > F_{ev,\alpha}$ . This continues up to a stabilization of  $\mu_i$ , corresponding then to a complete evaporation of the phase  $\alpha$  ( $X_{\alpha,i} = 0$ ) and to the phase  $\beta$  equilibrium. The stabilized value of  $\mu_i$  is equal to the evaporation field ratio  $F_{ev,\beta}/F_{ev,\alpha}$ . The potential ratio  $V_i/V_i^*$  is constant and equal to  $F_{ev,\beta}/F_{ev,\alpha}$  at this evaporation step (combining results in Fig. 1). During the evaporation of the interface  $\beta/\alpha$ , the reverse

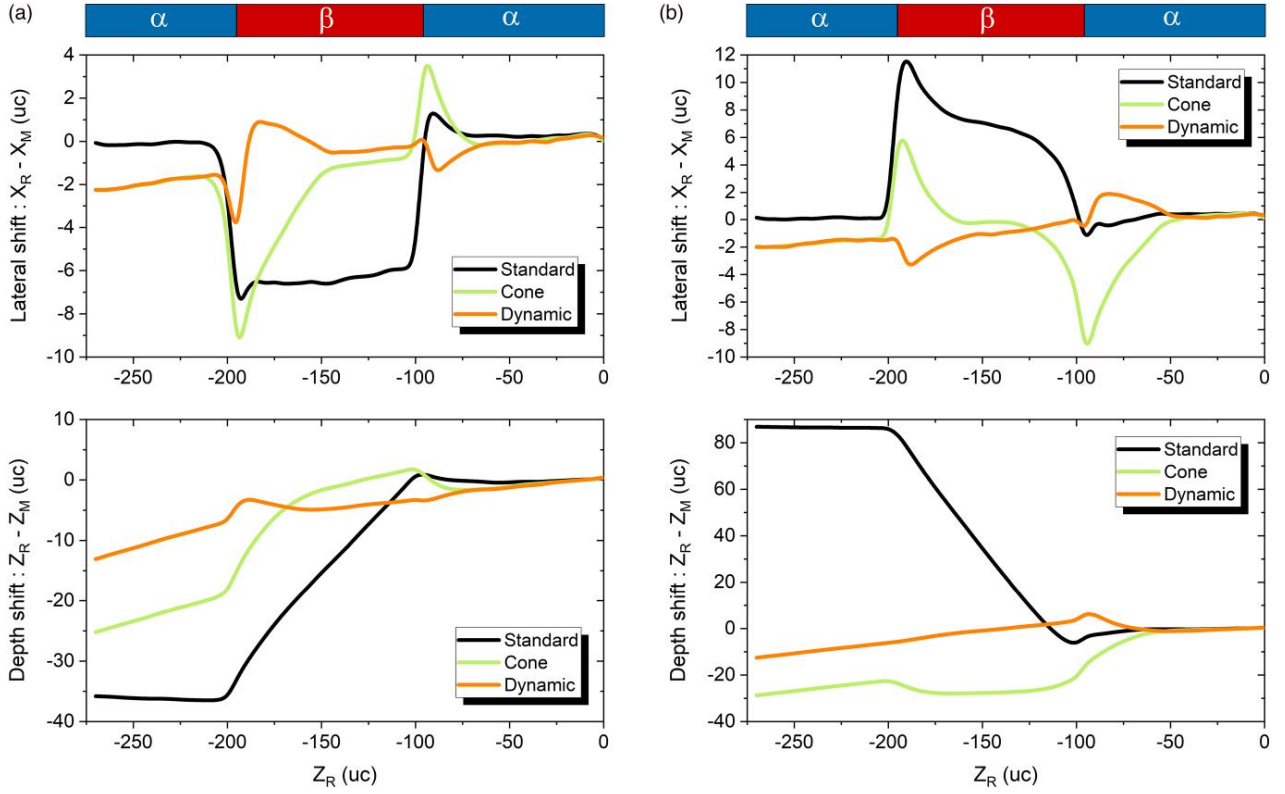


**Fig. 3.** Reconstruction of a multilayered material with a layer  $\beta$ , sandwiched between two layers  $\alpha$ , field evaporated by simulation, using a standard reconstruction protocol, a cone angle protocol, and the dynamic one, compared with the original position (defined as perfect reconstruction), as a function of the evaporation field of the layer: **(a)**  $F_{ev,\beta} = 1.5 \times F_{ev,\alpha}$  and **(b)**  $F_{ev,\beta} = 0.5 \times F_{ev,\alpha}$ . The collection angle is set to  $10^\circ$ . uc is the simulation unit cell.

phenomenon is observed. The phase  $\beta$  is protruding, since its evaporation field is higher than the phase  $\alpha$  below, decreasing the mean radius at the apex, thus increasing the magnification (as well as the parameter  $\mu_i$ ). At the end of layer evaporation, there is a drastic drop, corresponding to phase  $\alpha$  tending to the equilibrium. Once the evaporation achieves exclusively the phase  $\alpha$ ,  $\mu_i$  becomes constant since the reference phase is reached. For the low evaporation field layer (Fig. 2b), it is the same case as the previous one, except that the interface order is reversed.

Overall, the analytical expression of  $\mu_i$  matches well with the simulation results. It should be noted that this analytical

expression also reproduces well all the simulation results that have been carried out by varying the simulation parameters ( $R_0$ ,  $\gamma$ ,  $e$ , and  $F_{ev,\beta}$ ). However, there remain some regions, particularly at the interface, where deviations exist (relative error  $\delta\mu$  in Fig. 2). By reducing the collection angle ( $K$ ) from  $20^\circ$  to  $10^\circ$ , this deviation is minimized (Fig. 2). This reduction is not an issue, because it is well known that it generally optimizes the reconstruction of such microstructures (Vurpillot et al., 2004). However, in return, the reconstructed volumes and the number of atoms are reduced, which then increase the measurement uncertainties. In practice, the volume reduction is done on the experimental data by decreasing artificially



**Fig. 4.** Lateral ( $X$ ) and depth ( $Z$ ) shifts (in uc) between the perfect ( $X_R$  and  $Z_R$ ) and reconstructed positions ( $X_M$  and  $Z_M$ ) as a function of the perfect depth  $Z_R$  (uc) for different reconstruction protocols (standard, cone, and dynamic) and different evaporation fields of the layer: (a)  $F_{ev,\beta} = 1.5 \times F_{ev,\alpha}$  and (b)  $F_{ev,\beta} = 0.5 \times F_{ev,\alpha}$ .

the size of the detector. Whatever the layer evaporation field or the set collection angle is, the main deviation is located at both interfaces and  $<10\%$  (for a collection angle of  $10^\circ$ ), whereas it is only a few percent for the rest ( $<3\%$ ). Despite this, as shown later, the effect of this remaining error is low on the overall reconstruction compared with a standard reconstruction.

Note that the analytical expression describing the evolution of the parameter  $\mu_i$  [equation (12)] can be generalized to a microstructure composed of multiple stack layers (verified by simulation):

$$\mu_i = \left( \sum_{\varphi=\alpha}^{\omega} X_{\varphi,i} \left( \frac{F_{ev,\varphi}}{F_{ev,\alpha}} \right)^{\frac{5}{3}} \right) \times \left( \frac{V_i^*}{V_i} \right)^{\frac{2}{3}}, \quad (13)$$

with  $\varphi$  the different phases in the material (from  $\alpha$  to  $\omega$ ), their associated evaporation field  $F_{ev,\varphi}$ , and  $X_{\varphi,i}$  their concentration in the detector referential. The phase  $\alpha$  is set as the reference phase.

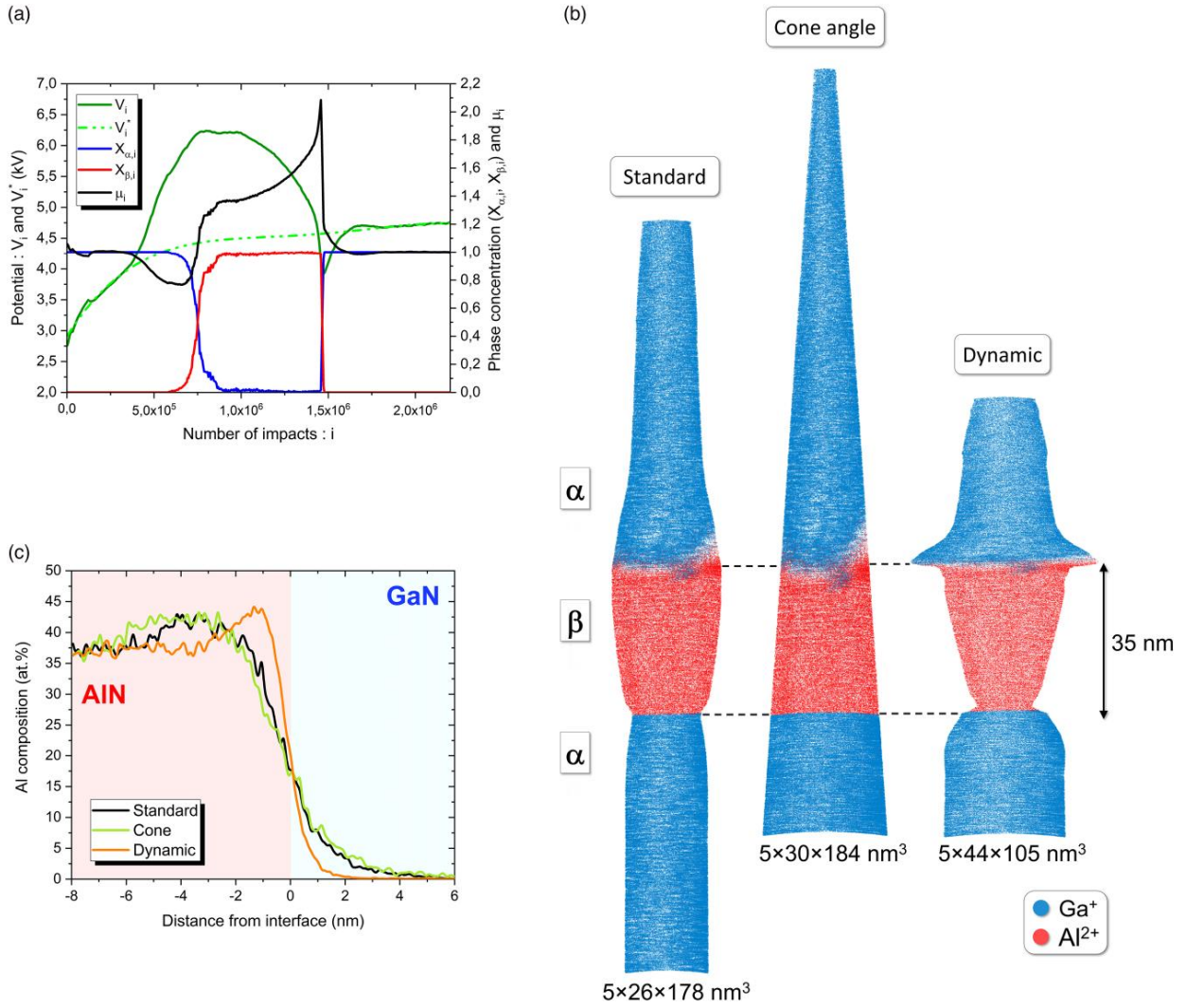
### Dynamic Reconstruction of Multilayered Structures

The application of the DR to a multilayered structure requires the definition and estimation of some parameters: the initial ICF ( $\zeta_0$ ) and field factor ( $k_{f,0}$ ) (as used in the standard reconstruction), the different phases in order to estimate their concentration ( $X_{\varphi,i}$ ), the reference potential ( $V_i^*$ ), and the evaporation field ratio ( $F_{ev,\varphi}/F_{ev,\alpha}$ ). With field evaporation simulation, those parameters are well known. Then, the relevance and validity of the DR can be tested since, in this case, the perfect reconstruction is known (as the original position

of the atoms on the sample surface). From a visual inspection, it is obvious that the DR is closer to the perfect reconstruction than the standard and cone angle ones, whatever the values of the layer evaporation field (Figs. 3a, 3b). As nicely reproduced by the DR, the complex reconstructed morphology is due to the evolution of the magnification during evaporation (previously mentioned) and to the detector's finite size [more details can be found in Xu et al. (2015) and Rolland et al. (2017)].

Improvement in the comparison of the perfect and reconstructed spatial positions is also observed (Figs. 4a, 4b). As expected, the DR is not perfect but shows important signs of improvement compared with the standard and cone angle reconstructions. The main point is the limited error for the lateral reconstructed position (i.e.,  $X$ ), especially in the  $\beta$  layer in Figure 4. This partially contributes to limit the error shift in depth since the reconstruction protocol is an incremental process, based on the conservation of the volume. Deviations at the interface are also observed coming from (i) the relative error of the analytical approach for the evolution of  $\mu$  [Fig. 2 and equation (12)] and (ii) the reconstruction protocol, assuming that the sample surface is hemispherical. Indeed, at the interfaces, the sample surface is not hemispherical and both phases ( $\alpha$  and  $\beta$ ) develop curvature. This is not considered in the DR (even in simulation results, Fig. 1), based on local averaging parameters. In response to this, other reconstruction parameters are added.

Experimentally, the dynamic parameters must be estimated since they are unknown. There exist different options to estimate the initial ICF ( $\zeta_0$ ) and field factor ( $k_{f,0}$ ) (Gault et al., 2008, 2009; Loi et al., 2013) that the APT user can choose



**Fig. 5.** (a) Applied potential ( $V_i$ ) and reference potential ( $V_i^*$ ) (in kV), phase concentrations  $X_{\alpha,i}$  and  $X_{\beta,i}$  corresponding, respectively, to phases rich in  $\text{Ga}^+$  and  $\text{Al}^{2+}$ , and reconstruction parameter  $\mu_i$ . (b) A 5 nm slice of the analyzed volume reconstruction, using different algorithms: standard, cone angle, and dynamic. (c) Proximity histogram (proxigram for short) Al concentration profile (steps of 0.1 nm) based on a 35 at% Ga iso-concentration surface (distance 0 represents the edge of the iso-surface).

from, adapted to their analyzed material. In the examples presented later, we will use *a priori* knowledge of the thickness of the layers. A binning of the atom sequence is performed to compute the evolution of the reconstruction parameters. This is essential to be able to estimate the different present phase concentrations. The number of atoms per bin must be chosen carefully. Indeed, a too-small number induces a lot of unreliable fluctuations and high measurement uncertainty, and a too-high number will smooth excessively, thus omitting important local evolutions of the reconstruction parameters, such as those at the interfaces. The concentration of each phase  $\varphi$  ( $X_{\varphi,i}$ ) is estimated by choosing a chemical species that defines them. A fit of the potential curve associated with the reference phase (i.e.,  $V_i$  for  $X_{\alpha,i} = 1$  with a polynomial law) provides the reference potential ( $V_i^*$ ). Based on field evaporation simulation results, the evaporation field ratio ( $F_{ev,\varphi}/F_{ev,a}$ ) can be estimated directly from the potential ratio  $V_i/V_i^*$  during the evaporation of the phase  $\varphi$ , if the relative thickness of the layer ( $e/R$ ) is  $>50\%$ . Otherwise, the layer thickness is too small to reach the equilibrium and thus a

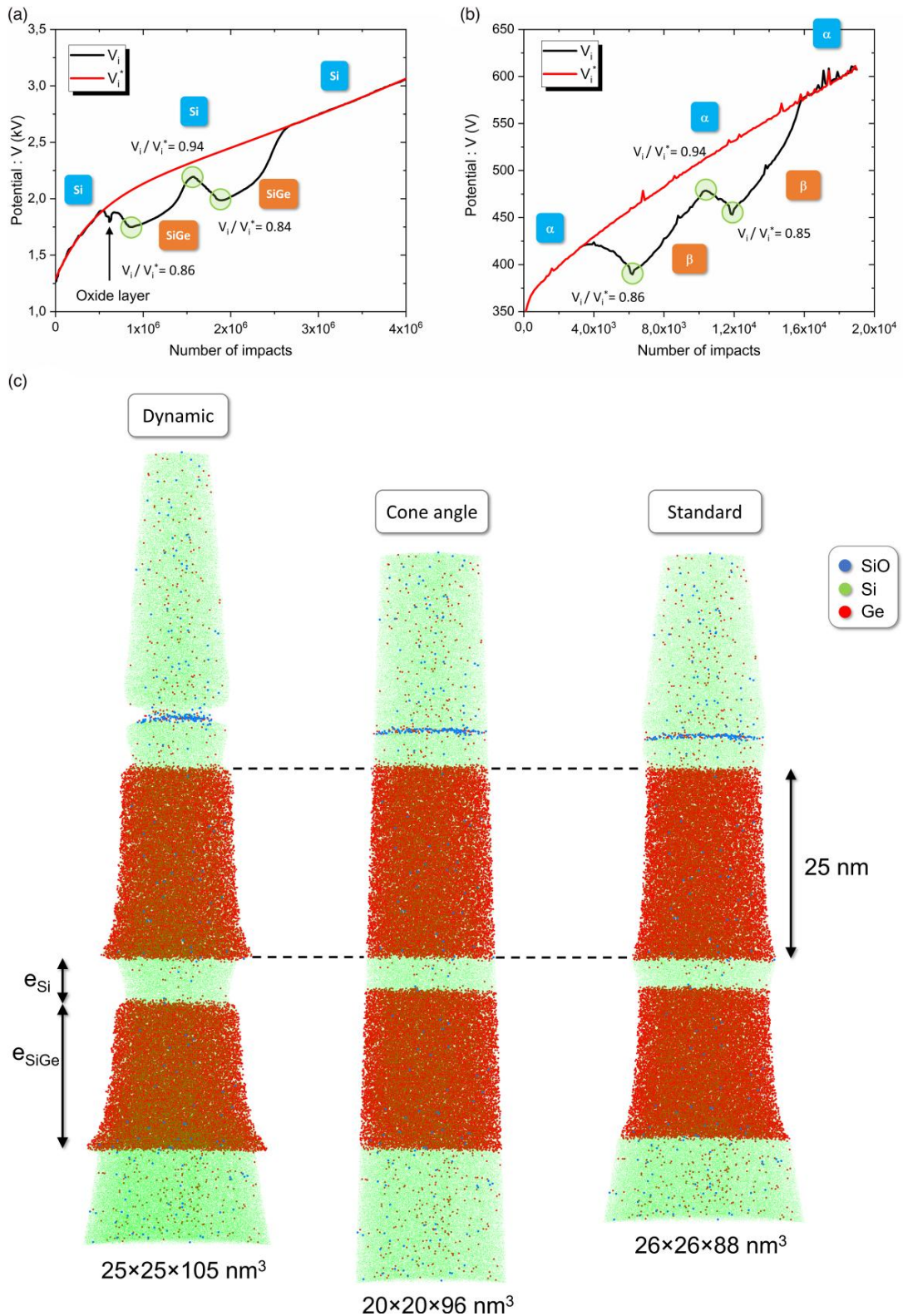
potential stabilization, corresponding then to the evaporation field ratio. In this case, the evaporation field ratio will be estimated with field evaporation simulation, adjusting the simulated evolution of the potential with the experimental one. Finally, the reconstruction parameters of each atom are calculated from linear interpolation according to its bin. The estimation of the reconstruction parameters and their evolution is performed for experimental data with a user interface NAPA developed in MATLAB<sup>®</sup> (Hatzoglou, 2022) and then used in the GPM 3D APT data treatment software.

## Results

### *In<sub>0.15</sub>Al<sub>0.85</sub>N/GaN*

The new algorithm was tested on an experimental dataset to investigate its applicability to real experiments. The sample is made up of an  $\text{In}_{0.15}\text{Al}_{0.85}\text{N}$  layer of 35 nm embedded between two GaN layers. The initial ICF ( $\zeta_0$ ) and field factor ( $k_{f,0}$ ) (and the initial radius  $R_0$  for the cone angle reconstruction) are defined such that the reconstructed thickness of the





**Fig. 6.** (a) Experimental potential, (b) simulated applied potential ( $V_i$ ), and reference potential ( $V_i^*$ ). (c) Reconstruction of the analyzed volume, using different algorithms: dynamic, cone angle, and standard.

InAlN is equal to the expected one (i.e., 35 nm, Fig. 5a). As the first evaporated layer, the GaN layer is set as the reference layer for the DR (i.e., phase  $\alpha$ ) and is identified with  $\text{Ga}^{2+}$  ions. The InAlN layer (i.e., phase  $\beta$ ) is identified with  $\text{Al}^{2+}$

ions (Fig. 5a). The reference potential ( $V_i^*$ ) is obtained, adjusting the experimental potential ( $V_i$ ) in the phase  $\alpha$  ( $X_{\alpha,i} = 1$ ) from two intervals: first to  $0.3 \times 10^6$  impacts and  $1.7 \times 10^6$  to the last impact. There is a stabilization of the potential

**Table 1.** Layer Thicknesses  $e_{\text{Si}}$  and  $e_{\text{SiGe}}$  (nm), Indexed in Figure 6c, as a Function of the Reconstruction (DR, Cone Angle, and Standard) and Compared with the Expected Values, Reported as Perfect.

Reconstruction	$e_{\text{Si}}$ (nm)	$e_{\text{SiGe}}$ (nm)
Perfect	10	21
Dynamic	$7.6 \pm 0.4$	$19.4 \pm 0.4$
Cone angle	$5.0 \pm 0.4$	$20.6 \pm 0.4$
Standard	$4.4 \pm 0.4$	$19.2 \pm 0.4$

These values were obtained from composition profiles along the evaporation direction.

( $V_i^*$ ) during the evaporation of the  $\text{In}_{0.15}\text{Al}_{0.85}\text{N}$  layer (around  $1 \times 10^6$  impacts), which was expected given the layer thickness ( $e$ ) and the sample radius of curvature ( $R_0 = 35$  nm, estimation from the cone angle reconstruction):  $e/R_0 = 1$ . In this condition, at this step of the evaporation, the evaporation field ratio  $F_{\text{ev},\beta}/F_{\text{ev},\alpha}$  is thus equal to the potential ratio  $V_i/V_i^*$  and equal to 1.40 [close to the value obtained in Rolland et al. (2017) for the same material, i.e., 1.38].

The DR generally provides a reconstruction (Fig. 3a) with the expected layer thickness and flatter interfaces compared with the standard reconstructions (cone angle reconstruction or standard voltage-based reconstruction). We can also notice less diffuse (Fig. 5c) interfaces  $\alpha/\beta$  with the DR. The standard and cone angle reconstructions do not consider the local increase in the radius of curvature at this interface (Fig. 1b), inducing then a contraction of the reconstruction lateral position and at the same time a bending of the interface (especially as the field of view is increasing). In contrast, the interface is flatter for the DR since the radius of curvature evolution is considered in this reconstruction protocol.

### Si/SiGe

The next application is two layers of SiGe embedded in and separated by Si layers (Fig. 6c). In the evaporation direction, the two SiGe layer thicknesses are, respectively, equal to 25 and 21 nm ( $e_{\text{SiGe}}$  in Fig. 6c) and the distance between them is 10 nm (i.e., the Si layer thickness, indexed as  $e_{\text{Si}}$  in Fig. 6c). A silicon oxide layer is located upstream of the first SiGe layer. With this application, the applicability of the expression for multilayers is tested [equation (13)]. As done previously, the initial ICF ( $\zeta_0$ ) and field factor ( $k_{f,0}$ ) (and the initial radius  $R_0$  for the cone angle reconstruction) are defined such that the reconstructed thickness of the first layer of SiGe is equal to the expected one (i.e., 25 nm, Fig. 6c). The Si layers are set as the reference layer for the DR. However, the Si layer between the two SiGe layers is not considered to obtain the reference potential. In fact, the thickness of this layer is too small to reach the equilibrium ( $e_{\text{Si}}/R_0 = 0.3$ , Fig. 6a). This equilibrium is reached for the SiGe layers providing then their evaporation field ratio  $F_{\text{ev,SiGe}}/F_{\text{ev,Si}}$ , equal to 0.85 (using the ratio  $V_i/V_i^*$  during their evaporation). Also, due to its size (a few atomic layers), the silicon oxide layer does not reach equilibrium and the evaporation field ratio ( $F_{\text{ev,SiO}}/F_{\text{ev,Si}}$ ) is set to 1.3 (more details in the next example). Those conclusions are verified with field evaporation simulation. Only SiGe layers in Si have been simulated, without a silicon oxide layer and with previously established parameters (i.e., layer thicknesses, radius of curvature, and evaporation field ratio). The results (Fig. 6b) coincide with the experimental results (Fig. 6a), thus validating the established reconstruction parameters.

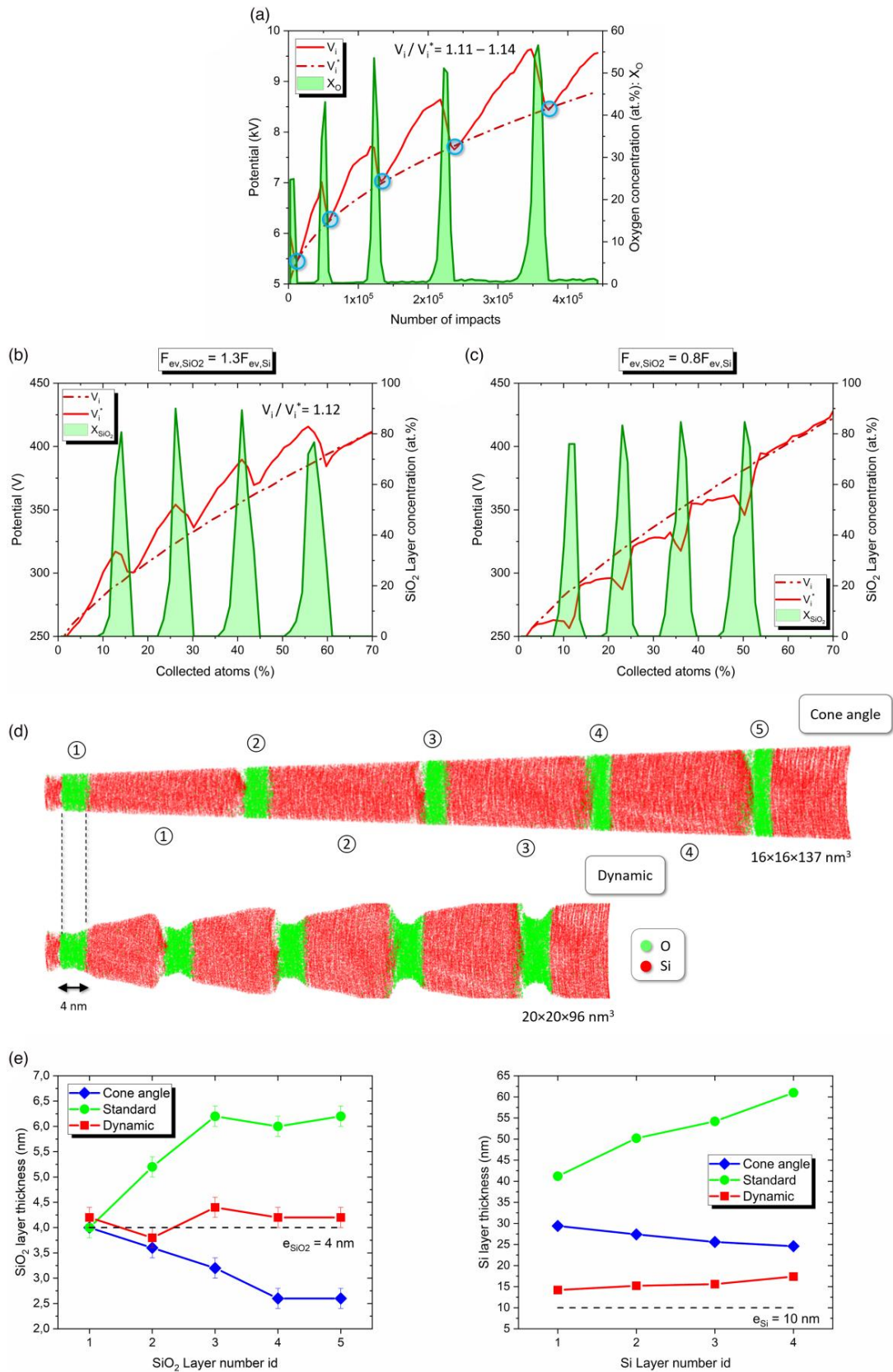
Comparing the different reconstruction algorithms (Fig. 6c and Table 1), the Si layer thickness, between the two SiGe layers ( $e_{\text{Si}}$ ), is clearly well underestimated by the standard and cone angle algorithms, whereas the DR provides a value closer to the expected one. The measurement of the SiGe layer ( $e_{\text{SiGe}}$ ) is very similar for all the algorithms. Obviously, the DR produces a result closer to an ideal reconstruction and therefore produces more quantitative measurements in terms of dimensions.

### Si/SiO<sub>2</sub>

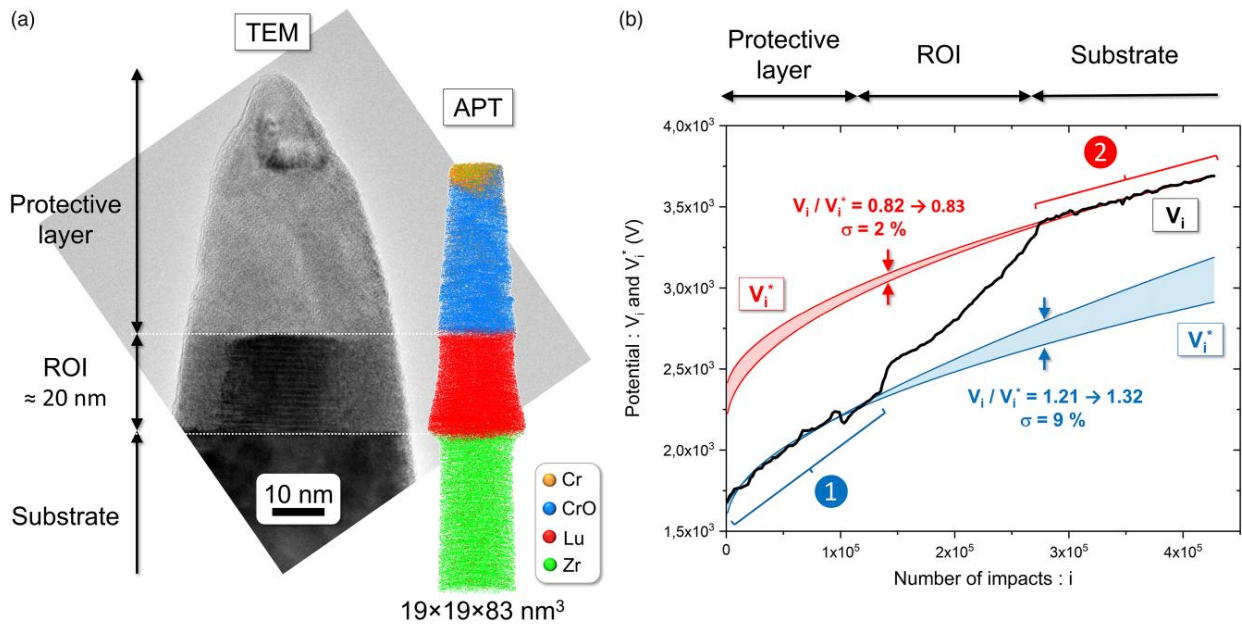
This application is a multilayered structure consisting of a successive stacking of Si (10 nm) and SiO<sub>2</sub> (4 nm) sublayers (Fig. 7), as observed by TEM (Li et al., 2017). As the feasibility and relevance of the DR have been already shown in the  $\text{In}_{0.15}\text{Al}_{0.85}\text{N}/\text{GaN}$  and Si/SiGe sections, this application will show the estimation of the evaporation field layer using simulation but also very clearly the morphological contribution of the DR.

There is no clear stabilization of the experimental potential ( $V_i$ ) that could provide the evaporation field ratio ( $F_{\text{ev,SiO}_2}/F_{\text{ev,Si}}$ ). This is expected considering the small layer thicknesses. Given these potential oscillations (Fig. 7a), it is difficult to understand the evaporation behavior and therefore to establish a reference potential ( $V_i^*$ ). Using field evaporation simulation, these fluctuations appear to be induced by the SiO<sub>2</sub> layers having a high evaporation field (Fig. 7b), instead of a low field (Fig. 7c). This is even more evident by superimposing the evolution of the potential and the concentration of the phases in the reference frame of the detector. In addition, the simulation provides the trend of the reference potential; thus, values of the applied potential are used for fitting and then obtaining the experimental reference potential. The reference potential is obtained considering that the applied potential is equal to the reference one at the end of the evaporation of the SiO<sub>2</sub> layer (blue circles in Fig. 7a at the end of the potential decay) and then fitting these potential values with a polynomial law ( $V_i^*$  in Fig. 7a). The evaporation field ratio ( $F_{\text{ev,SiO}_2}/F_{\text{ev,Si}}$ ) is then tuned in the simulation to obtain the experimental potential ratio ( $V_i/V_i^*$ ). A good agreement is found for an evaporation field ratio equal to 1.3 (i.e., the ratio used in the previous application). As done up to now, the initial reconstruction parameters (for all algorithms) are set such that the SiO<sub>2</sub> layer thickness (the first one, id number 1) is equal to the expected one (i.e., 4 nm, Fig. 7d).

Clearly, DR provides a correct and constant SiO<sub>2</sub> layer thickness throughout the reconstruction (Fig. 7e) and, in addition, an overall morphology close to the expected one for this multilayered structure (Fig. 3). This is clearly not the case for the cone angle and standard reconstructions, which, respectively, under- and overestimated this layer thickness at the end of the reconstructed volume. For the Si layer thickness, all the algorithms overestimate it, with, however, a lower impact for the DR ( $\approx 7$  nm, for the last layer), and the values are not constant throughout the reconstruction (Fig. 7e). If the dynamic initial parameters are defined using the first Si layer thickness (instead of SiO<sub>2</sub>), the Si layer thickness still increases throughout the reconstruction, but the overestimation at the evaporation end is then very limited (1.6 nm, for the last layer). In return, the SiO<sub>2</sub> layer thickness is then underestimated (3 nm instead of 4 nm) but still constant. Correct thickness measurements for both layers (Si and SiO<sub>2</sub>) are never



**Fig. 7.** (a) Experimental potential, simulated applied potential ( $V_i$ ), and reference potential ( $V_i^*$ ), according to the simulated evaporation field ratio  $F_{ev,SiO_2}/F_{ev,Si}$ : (b) 1.3 and (c) 0.8. (d) Reconstruction of the analyzed volume, using different algorithms: cone angle and dynamic. (e)  $SiO_2$  and Si layer thickness measurements (nm), according to their id number (reported in Fig. 6d), for different reconstruction algorithms: cone angle, standard, and dynamic. The dashed lines correspond to the expected value for  $SiO_2$  and Si layer thicknesses.



**Fig. 8.** (a) Transmission electron microscopy (TEM) of the sample before APT analysis and its reconstructed volume with DR. (b) Applied potential ( $V_i$ ) and different estimations of the reference potential ( $V_i^*$ ).

achieved at the same time along all the reconstructed volumes. The significant roughness of the interface (Fig. 7d) can explain some spread in the measurements but not its evolution throughout the reconstruction. A more likely hypothesis would be that one or both phases are subject to a nonquantification phenomenon (preferential evaporation, molecular dissociation, etc.), leading to incorrect mass identification. Indeed, in such cases, evaporated atoms are not quantified and thus do not contribute to the depth increment, inducing then, in the present studied case, an underestimation of thickness. A certain proportion of  $\text{SiO}$  molecular ions would be nonquantified, and the accurate stoichiometry being measured in the  $\text{SiO}_2$  layer then induced the underestimation in layer thickness. This hypothesis seems reasonable since the concentration profiles do not show any atomic density fluctuations between the Si and  $\text{SiO}_2$  layers, contrary to what is expected for such a structure. Indeed, the  $\text{SiO}_2$  layers have an atomic density ( $66 \text{ at/nm}^3$ ) higher than that of the Si layers ( $50 \text{ at/nm}^3$ ) (Talbot et al., 2009). Thus, there is a lack of atom quantification for the  $\text{SiO}_2$  layers (Zanuttini et al., 2017a, 2017b). The evolution of the thickness is unlikely to be directly due to the DR, since the latter is even capable of maintaining a constant atomic planar spacing of a single-phase material throughout the evaporation (Hatzoglou et al., 2019).

Clearly, the DR improves the reconstruction and therefore the accuracy of the measurements carried out. However, there remain some imperfections, which could be compensated by introducing a new dynamic parameter. Viewing the hypotheses considered above (nonquantification and mass spectra), detection efficiency could also be considered a dynamic parameter in future DR algorithm optimization (Diercks & Gorman, 2018).

### LuFeO<sub>3</sub>

The determination of the reference potential evolution ( $V_i^*$ ) is an important point in DR. It is used for the evolution of the

reconstruction parameters in equations (4) and (12) but also for the estimation of the phase evaporation field ratio (see the  $\text{In}_{0.15}\text{Al}_{0.85}\text{N}/\text{GaN}$ ,  $\text{Si}/\text{SiGe}$ , and  $\text{Si}/\text{SiO}_2$  sections). In the previous examples, throughout the analysis, several significant points on the experimental potential curve ( $V_i$ ) could be used to perform a fit to estimate the reference potential curve trend. Indeed, during the analysis, we intercepted several times the phase chosen as the reference.

The reference potential curve estimation will be discussed in this last application. It is a layer of  $\text{LuFeO}_3$  thin film grown on a substrate of YSZ (yttrium-stabilized zirconia) with a protective layer above (CrO) (Moyer et al., 2014; Disseler et al., 2015). The TEM observations, on the sample before APT analysis (Fig. 8a), show a  $\text{LuFeO}_3$  film thickness of  $\sim 20 \text{ nm}$  (used to calibrate dynamic parameters). As in the previous examples, if the first evaporated layer is chosen as the reference, then, in the present case, the number of points on the experimental potential curve (Fig. 8b) that can be used to estimate the evolution of the reference potential is limited at the beginning of the analysis (annotated as 1 in Fig. 8b). There are therefore no other points of interest at the end or in the process of analysis to which we could relate to estimate the relevance of the fit. In addition, some fluctuations should not be considered, which further reduces the number of points for fitting. Finally, the type of equation retained for the fit plays a major role, without having absolute certainty about its validity. Figure 7b shows the spread of the estimated reference potential curve considering the points previously mentioned (selected data, fluctuations, and type of equation). Consequently, the phase evaporation field ratio estimation can be significantly modified, biasing the reconstruction. Indeed, the evaporation field ratio between the substrate and the protective layer varies from 1.2 to 1.3 according to the selected data for the fit and the equation type (Fig. 8b). We can therefore see here that according to the estimation procedure chosen by the user, this will influence the DR. From field evaporation simulation, it could be considered to tabulate the

evolution of the potential curve according to the shank angle and the radius of curvature of the sample. This also leads to adding more reconstruction parameters in a procedure that already contains many.

In the present case, in order to be able to carry out a DR, we have chosen to estimate the evolution of the potential curve from the last evaporated phase (i.e., the substrate, annotated as 2 in Fig. 8b). The potential trend is almost linear without fluctuations. We are still confronted with the choice of the fit equation. However, with the last layer selection approach, the error made will be mainly focused on the beginning of the analysis (i.e., the protective layer), with the same type of equation previously used. For this material in particular, the region of interest is the  $\text{LuFeO}_3$  layer and its interface with the substrate. We therefore limit the error in a dedicated studied region but potentially increase the error further away from the region of interest. A 2 nm variation of the measured thickness in the layer (i.e., 10% of the expected thickness) can be observed depending on the region and the type of fit used. Despite these potential errors, the DR is still able to correctly reproduce the complex reconstructed morphology due to the evolution of the magnification during evaporation (Fig. 8a). In this example, the layers are thick enough to reach equilibrium during their evaporation and therefore a stabilization of the potential. It is thus possible to use the last evaporated layer to estimate the reference potential. However, in the case where the layers are very thin ( $e/R_0 < 0.5$ ), the estimation of the potential (and therefore the relevance to the reconstruction) from the first phase depends on the user's choices.

## Conclusion

By expanding previous approaches for homogeneous materials (Hatzoglou et al., 2019), it was possible to estimate the evolution of the reconstruction parameters throughout the APT sample evaporation for multilayered structures using field evaporation simulation. Implementation of the evolution of these factors in the reconstruction protocol, named DR, produces a significant improvement in the reconstruction accuracy. In return, a new parameter is added (i.e.,  $\mu_i$ ). We have shown how it is possible to estimate it (from raw experimental data or using field evaporation simulation), but *a priori* knowledge of the analyzed structure (e.g., the layer thickness) is necessary to perform an accurate calibration. This is already the case for current reconstruction methods. In all the cases presented here, the application of DR to experimental analyses exhibits an improvement in the 3D reconstruction (in terms of reconstructed shape, interface, sharpness, and spatial measurements) in comparison with current reconstruction methods. In all the examples and simulations presented in this study, the interfaces are perpendicular to the analysis direction. One possibility to expand the DR toward tilted (multi)layered structures (Perrin Toinin et al., 2023) is to involve the lateral evolution of the reconstruction parameters based on the radial position of impacts on the detector. The results here represent an important step toward a more accurate APT analysis of multilayered systems, which is important to understand their complex physics and emergent interface phenomena.

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## Conflict of Interest

The authors declare that they have no competing interest.

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