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# A semi-empirical correction for the Rayleigh-Debye-Gans approximation for fractal aggregates based on phasor analysis: Application to soot particles

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# Abstract

The Rayleigh Debye-Gans approximation for Fractal Aggregates (RDG-FA) is commonly used for the evaluation of the radiative properties of fractal aggregates of nanometer-scale nearly spherical particles as soot particles. The cost of its simplicity, however, is the precision of the aggregate cross sections when the refractive index deviates from unity and when the aggregate's spheres, or monomers, are not sufficiently small compared to the wavelength. While correction factors have been highlighted before, their physical origin is not clear and no universal correction factors are proposed. The present study develops an approach based on phasor analysis of the aggregate's internal electric field rigorously determined by the discrete dipole approximation. Aggregates representative of the Diffusion Limited Cluster Aggregation (DLCA) regime having a fractal dimension of  $D_{\rm f} = 1.78$  are considered as representative of a soot aggregate. The results reveal that correction factors to the RDG-FA for forward scattering (A) and the absorption cross section (h) are due to a competition between internal-field hot-spots caused by point contact between the spherical monomers and a decrease of the field amplitude as the field propagates through the aggregate. Both phenomena are neglected in the RDF-FA by definition. The absorption phenomenon explains the aggregate-size dependence of A and h. These effects are then studied as the aggregate size varies according to the number of monomers  $N_{\rm m}$  ranging from 10 to 1000, as the monomer radius varies from  $R_{\rm m} = 5\,{\rm nm}$  - 30 nm, and as the wavelength varies from  $\lambda = 266\,{\rm nm}$  -

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1064 nm. Both constant and wavelength dependent refractive indices for organic, graphitic, and amorphous soot are considered. Finally, a semi-empirical model is proposed intended to correct the RDG-FA theory based on the analysis.

*Keywords:* Soot fractal aggregates, RDG-FA, Internal coupling, DDA, Phasor analysis, Scattering cross sections, Absorption cross sections

# <sup>1</sup> Highlights

- The internal electric field of fractal aggregates is studied with a phasor approach.
- Internal coupling and absorption explain the RDG-FA discrepancies for large aggre gates.
- Aggregate size, monomer radius, refractive index, and wavelength dependencies are
   investigated.
- Semi-empirical corrections for the RDG-FA derived forward-scattering and absorption
   are proposed.

#### **9** 1. Introduction

Soot, or black carbon (BC), particles are produced by the incomplete combustion of fossil fuels and biomass. Once emitted in the atmosphere, these aerosols pose a concern for human health [1, 2], contribute actively to atmospheric radiative forcing of the climate [3], and affect atmospheric chemistry [4]. For these reasons, climate models need a simple, robust, and precise description of soot aerosol optical cross sections. Such descriptions could also serve to enhance the precision of optical diagnostics for *in-situ* characterization of similar aerosols [5] and the control of their generation for industrial purposes [6].

The absorption and scattering cross sections of aggregates can be accurately calculated 17 by the Generalized Multiparticle Mie (GMM) [7] method and the superposition T-matrix 18 (STM) [8] method. For soot, however, these methods are limited to aggregates of spherical 19 particles, i.e., monomers, that are in point contact. More realistic models of soot, with 20 overlapping monomers, necking between monomers [9], and coating of the monomers [10], 21 are studied with the Discrete Dipole approximation (DDA) [11-13], which is not limited by 22 particle geometry. The DDA considers a particle as a set of interacting dipoles on a cubic 23 lattice with spacing d and yields accurate results provided that |m| kd < 0.5, where m the 24 complex-valued refractive index and  $k = 2\pi/\lambda$ . Because the DDA involves a numerical cost, 25 namely the computation time, it is not implemented in current climate models nor is it 26 frequently used to interpret data from light scattering measurements. 27

For these reasons, light interaction models with simple analytical expressions are pre-28 ferred, but one should be aware of their intrinsic limitations [14]. The most used one is 29 the Rayleigh-Debye-Gans approximation [15]. The essence of this approximation is that 30 the particle is divided into a number of small volume elements. Each element is treated 31 as a Rayleigh scatterer (small compared to the wavelength) and the interactions between 32 these elements are neglected. The RDG approximation can be applied to different type of 33 aggregates like snowflake aggregates at millimeter wavelength radar [16] or aerosol Fractal 34 Aggregates (RDG-FA) [17] as long as the criteria  $|m-1| \ll 1$  and  $x_m|m-1| \ll 1$  are re-35 spected. The performance of the RDG-FA is often studied in terms of the correction factors 36 A and h, which are needed to bring the RDG-FA forward scattering and absorption, respec-37 tively, in agreement with exact calculations via the DDA. This has been studied for soot 38

particles [18-20] because their optical indices m do not enable the respect of the aforemen-39 tioned criteria for visible light. The present authors have shown in a previous work [21] that 40 for a bi-sphere and for typical soot materials the corrections depend on the orientation of 41 the bi-sphere and the values of m and  $\lambda$ . This was explained by regions of enhanced internal 42 electric field, i.e., hot spots, occurring at the vicinity of point contact between the monomers. 43 Hot spots can increase or decrease the RDG-FA correction factor for forward scattering [21]. 44 This finding is related to [20] where "positive deviations" are induced by the increase of 45  $\operatorname{Re}\{m\}$  and "negative deviations" with increasing  $\operatorname{Im}\{m\}$ . The bi-sphere study [21] also 46 reveals that the coupling distance for the monomers is relatively short. Specifically, when 47 the monomers (spheres) center-to-center distance is larger than  $4R_{\rm m}$ , they can be treated as 48 independent-scattering. This complicates the understanding of the  $N_{\rm m}$  dependence of the A 49 and h correction factors reported in [19, 20] which remain poorly understood [22]. Moreover, 50 a law providing the correction factors for the RDG-FA, i.e., A and h, for all practical values 51 of  $N_{\rm m}$ ,  $\lambda$ , and m, does not yet exist. 52

Introduced in [23, 24] and used in the aformentioned bi-sphere study [21], the method of phasor analysis can link the field within a particle to the external light scattering behavior, such as, the angular scattering pattern and cross sections. The method is unique in that it provides this link via both quantitative and graphical means, and thus, can engender understanding for the light scattering behavior of a given particle, e.g., see [24–26].

In the present study, the method is used to examine the dependence of these RDG-FA 58 correction factors on  $N_{\rm m}$ ,  $\lambda$ , and m while fractal dimension and prefactor are considered 59 constant and representative of soot particles (obtained by Diffusion Limited Cluster Aggre-60 gation [27],  $\approx 1.78$  and 1.4 respectively). Indeed, more compact aggregates characterized 61 by larger fractal dimensions or prefactors will certainly have stronger interactions between 62 monomers than more open structures, which will lead to stronger corrections. Readers inter-63 ested in understanding the role played by the prefactor and the fractal dimension can find 64 information in the work of Liu et. al. [28, 29]. Soot particles are very complex and their com-65 position is generally unknown. Indeed, in the same flame, we can find nascent soot, which 66 are mostly made of organic compounds with an amorphous carbon matrix and what is called 67 mature soot, which are made of carbon and hydrogen atoms essentially with a certain level 68

of graphitization [30]. For this reason, extreme optical index behaviour will be considered 69 (respectively graphitic, amorphous and organic soot particles) as previously studied in [31]. 70 It may be noted that some of these indices (organic particles at lowest wavelengths) cover 71 larger imaginary parts than those proposed by Chang and Charalampopoulos. 32. This can 72 be explained by the specific composition. Also, it must be noticed that Bescond et. al. [31] 73 considered the fractal aggregates morphology in their inversion process whereas Chang and 74 Charalampopoulos treated the particles as spheres. In this study, one will see the important 75 role played by absorption within the monomers, which decreases the internal electric-field 76 amplitude as light propagates within the aggregate material. An observation of universal 77 trends in the phasor analysis then ultimately leads to the proposition of a semi-empirical 78 law A and h. 79

# 80 2. Methodology

#### 81 2.1. Fractal aggregates

This study will focus on soot aggregates that form under the diffusion limited cluster aggregation (DLCA) regime. In DLCA, a fractal scaling-law describes the average aggregatesize as [33]:

$$N_{\rm m} = k_{\rm f} \left(\frac{R_{\rm g}}{R_{\rm m}}\right)^{D_{\rm f}} \tag{1}$$

where  $k_{\rm f}$  is a scaling prefactor,  $D_{\rm f}$  is the fractal dimension, and  $R_{\rm g}$  is the radius of gyration of the aggregate [17]. A selection of simulated DLCA aggregates obeying Eq.(1) is considered here. Three aggregates are taken from [20] with  $N_{\rm m} = 284$ ,  $N_{\rm m} = 444$ , and  $N_{\rm m} = 833$  where  $D_{\rm f} = 1.78 \pm 0.04$  and  $k_{\rm f} = 1.35 \pm 0.10$ . To access a larger range of aggregate size, specifically  $N_{\rm m} \in [10, 1000]$ , a selection of 1000 different aggregates are taken from [34] where  $D_{\rm f} \approx 1.78$ and  $k_{\rm f} \approx 1.4$ .

## 91 2.2. Internal electric field

The scattering properties of an aggregate include the total scattering  $C^{\text{sca}}$ , absorption  $C^{\text{abs}}$ , and extinction cross sections  $C^{\text{ext}}$ , in addition to the angular scattered light intensity  $I^{\text{sca}}$ . These properties can all be derived from the scattered electric field  $\mathbf{E}^{\text{sca}}(\mathbf{r})$ , which for  $\mathbf{r}$  in the aggregate's far-field zone are linked to the internal field  $\mathbf{E}^{\text{int}}$  inside the aggregate <sup>96</sup> material via the volume integral equation (VIE). When expressed in discrete form, i.e., for <sup>97</sup> N cubic volume elements  $\Delta V$  in the aggregate, the VIE reads [35]:

$$\mathbf{E}^{\rm sca}(\mathbf{r}) = \frac{k^2}{4\pi} \frac{\exp(ikr)}{r} \left(m^2 - 1\right) \left(\stackrel{\leftrightarrow}{\mathbf{I}} - \hat{\mathbf{r}} \otimes \hat{\mathbf{r}}\right) \cdot \sum_{i=0}^{N} \mathbf{E}^{\rm int}(\mathbf{r}_i) \exp(-ik\hat{\mathbf{r}} \cdot \mathbf{r}_i) \,\Delta V, \qquad (2)$$

In Eq (2),  $\overrightarrow{\mathbf{I}}$  is the Cartesian identity dyadic,  $\hat{\mathbf{r}} \otimes \hat{\mathbf{r}}$  is the dyadic formed by the direct product of  $\hat{\mathbf{r}}$  with itself [35, 36]. Due to the assumed far-field limit for the observation point  $\mathbf{r}$ , it is useful to examine the behavior of the scattered field in terms of the scattering amplitude  $\mathbf{E}_{1}^{\text{sca}}$  given from Eq. (2)

$$\mathbf{E}^{\text{sca}}(\mathbf{r}) = \frac{\exp(ikr)}{r} \mathbf{E}_1^{\text{sca}}(\hat{\mathbf{r}}).$$
(3)

Note that  $\mathbf{E}_1^{\text{sca}}$  depends only on direction  $(\theta, \phi)$ , i.e.,  $\hat{\mathbf{r}}$ , and not on the distance r.

The DDA method can be used to find  $\mathbf{E}^{\text{int}}$  accurately within the aggregate. Indeed, the 103 DDA can be thought of as a numerical solution of Eq (2) [13]. Here, the code DDSCAT 104 developed by [37] is used to numerically determine  $\mathbf{E}^{\text{int}}$ . An aggregate's physical 3D shape 105 is discretized on a cubic lattice of volume elements  $\Delta V$  that are  $d \times d \times d$  in size. Each 106 element is then assigned an electric dipole moment, which are excited by the incident field 107 and couple to each other. Following the numerical solution for value of these dipoles, i.e., the 108 solution of Eq (2),  $\mathbf{E}^{\text{int}}$  can be obtained from each dipole [13]. The accuracy of the solution 109 is determined by the fineness of this lattice as quantified by the condition |m| kd < 0.5. To 110 satisfy this condition, a number of dipoles per monomer  $N_{\rm d}/N_{\rm m}$  is specified, where  $N_{\rm d}$  is the 111 total number of dipoles representing an aggregate and  $N_{\rm m}$  is the number of monomers. Below, 112  $N_{\rm d}/N_{\rm m} \approx 110$ , which is sufficient for point-contact monomers in [24] where  $N_{\rm d}/N_{\rm m} \approx 34$ . 113

The incident wave is planar, propagating along the x-axis, with linear polarization where 114  $\mathbf{E}^{\text{inc}}$  is along the *y*-axis in the aggregate's reference frame. Thus,  $\mathbf{E}^{\text{inc}}(\mathbf{r}) = E_{\text{o}} \exp(ik\hat{\mathbf{x}}\cdot\mathbf{r})\hat{\mathbf{y}}$ 115 where  $E_{\rm o}$  is the field magnitude. A wide range of wavelengths are considered,  $\lambda \in [266 \,\mathrm{nm} -$ 116 1064 nm], and values for the refractive index appropriate for soot particles are considered. 117 Specifically, m = 1.75 + 1.03i is used from [38] as representative of mature soot (or Black 118 Carbon) as shown by [39] for  $\lambda = 550$  nm. In order to also consider the wavelength depen-119 dence of m for material other than purely graphitic or mature soot, the spectral dependence 120 of m found in [31] for organic, graphitic, and amorphous soot compositions are also taken 121 into account [20]. 122

#### 123 2.3. Phasor analysis

The dyadic terms in Eq. (2) will simplify if the observation point resides along the prop-124 agation of the incident wave, i.e., the forward-scattering direction, see Appxendix C in [21]. 125 In terms of polarization, if the vertical direction is the y-axis, the incident wave is vertically 126 polarized, which will be denoted by the subscript v. Moreover, if the scattered light is de-127 tected through a linear polarizer oriented in the vertical direction – a common configuration 128 - then a detector measures the vertical-vertical (incident-scattered) polarization configura-129 tion, denoted by the subscript vv. The following will consider only this polarization case. 130 Equation (2) now simplifies by virtue of Eq. (3) to give the scattering amplitude as: 131

$$\mathbf{E}_{1,vv}^{\text{sca}}(\hat{\mathbf{x}}) = \frac{3k^2}{4\pi} \frac{m^2 - 1}{m^2 + 2} E_o \sum_{i=1}^{N_d} z_{y,i}(\hat{\mathbf{x}}) \,\Delta V \,\hat{\mathbf{y}},\tag{4}$$

where  $z_{y,i}$  is the phasor, or complex-valued number, for the *i*<sup>th</sup> volume element. As a reminder,  $\hat{\mathbf{x}}$  is the forward-scattering direction and  $\hat{\mathbf{y}}$  is the vertical polarization direction. There is no distance, r, dependence in the scattering amplitude. The phasor for a volume element, or equivalently, a dipole, is determined by the internal field at the location of the element,  $\mathbf{r}_i$ , and by a phase factor accounting for the position of the element as

$$z_{y,i}(\hat{\mathbf{x}}) = \frac{m^2 + 2}{3E_o} E_y^{\text{int}}(\mathbf{r}_i) \exp\left(-ikx_i\right).$$
(5)

In Eq. (5),  $x_i$  is the x-component of the volume element positioned at  $\mathbf{r}_i$ .

Phasor analysis offers a graphical means to illustrate the contribution of each volume element to the forward scattering amplitude. It is a descriptor of the spatial variability of the internal electric field accounting for the phase shifts due to the different positions of the elements. As demonstrated in [21], the phasors are directly related to the RDG-FA correction factors for the forward differential scattering cross section:

$$A_{\rm vv}(\psi) = \frac{\frac{\mathrm{d}C_{\rm vv}^{\rm sca}}{\mathrm{d}\Omega}(0^{\circ})}{\frac{\mathrm{d}C_{\rm RDG,vv}^{\rm sca}}{\mathrm{d}\Omega}(0^{\circ})} = |\overline{z_y}|^2.$$
(6)

In Eq. (6),  $\psi$  denotes the aggregate's orientation with respect to the incident wave,  $\frac{dC_{vva}^{sca}}{d\Omega}(0^{\circ})$ is the true (from DDA) forward differential scattering cross section and  $\frac{dC_{RDG,vv}^{sca}}{d\Omega}(0^{\circ})$  is the

forward differential scattering cross section predicted by the RDG-FA. The horizontal bar 145 denotes averaging of all the phasors in the aggregate, i.e.,  $\overline{z_y} = (1/V) \sum_{i=1}^{N_d} z_{y,i} \Delta V$  where V 146 represents the total volume of all the monomers. Further explanation for the derivation of 147 Eq. (6) is given in Appendix F of [21]. Note that the forward scattering correction factor 148 A refers to the average of Eq. (6) over many aggregate orientations, i.e.,  $A = \langle A_{\rm vv}(\psi) \rangle_{\psi}$ 149 where the brackets denote orientation averaging. Here, at least 500 isotropically distributed 150 orientations of a single aggregate or 1000 different aggregates having the same morphological 151 descriptors,  $R_{\rm m}$ ,  $D_{\rm f}$ , and  $k_{\rm f}$ , are considered according to the reciprocity theorem [40]. 152

# 153 3. Results

# 154 3.1. Phasor plots for a fractal aggregate

The first row of Fig. 1, plots (a) and (b), show the phasor distributions in the complex 155 plane (horizontal axis: Re  $\{z_{y,i}\}$ , vertical axis: Im  $\{z_{y,i}\}$ ) for an aggregate with  $N_{\rm m} = 284$ 156 monomers with monomer radius  $R_{\rm m} = 15 \,\mathrm{nm}$  for  $\lambda = 1064 \,\mathrm{nm}$  in (a) and for  $\lambda = 266 \,\mathrm{nm}$ 157 in (b). That is, each point in the plot represents the complex number  $z_{y,i}$  corresponding to 158 the  $i^{\text{th}}$  volume element in the aggregate according to Eq. (5). Organic monomer-material is 159 chosen because it is known to manifest important corrections to the RDG-FA theory [20]. 160 This means that we take m = 1.83 + 0.26i for  $\lambda = 1064$  nm and m = 0.94 + 0.96i for 161  $\lambda = 266 \,\mathrm{nm}$ . In both cases, |m| is significant, which highlights a strong non-uniformity of 162 the internal electric field. To see this, the plots show a unit semicircle representing the 163 location phasors would have if their magnitude were unity  $|z_{y,i}| = 1$ ; this corresponds to the 164 RDG-FA prediction in case of forward scattering (see Eq 6). The average phasor,  $\overline{z_y}$ , is shown 165 as the red star and is clearly outside the semicircle for  $\lambda = 1064 \,\mathrm{nm}$  in (a) and inside for 166  $\lambda = 266 \text{ nm in (b)}$ . This indicates, respectively, an underestimation of the RDG-FA, A > 1, 167 and an overestimation, A < 1, in accord with the findings in [19, 20]. The magnitude of the 168 phasor distribution's spread is greater for  $\lambda = 1064 \,\mathrm{nm}$  with a line-like shape similar to the 169 behaviour observed for intersecting bi-spheres in [21]. For  $\lambda = 266$  nm, a more pronounced 170 spread is seen in the distribution. 171

Referring again to Fig. 1(a)- 1(b), one can see that the phasor dots are colored. The meaning of these colors is to express the  $x_i$  coordinate in Eq. (5) as indicated by the color



Figure 1: Phasor distributions and internal electric-field analysis of an aggregate composed of  $N_{\rm m} = 284$ monomers with monomer radius  $R_{\rm m} = 15 \,\mathrm{nm}$  for organic monomer material. The left column corresponds to  $\lambda = 1064$  nm with m = 1.83 + i0.26 whereas the right column corresponds to  $\lambda = 266$  nm with m = 0.94 + i0.96. Distributions of the phasors  $z_{y,i}$  in the complex plane are shown in (a) and (b) where the semi-circle (or circle) represents unit phasor-magnitude. Each phasor is displayed as a point. The star symbol in each plot represents the average of all the phasors shown, i.e.,  $\overline{z_y}$ . Plots (c) and (d) show the magnitude of the near field and internal field in the x-y plane through the origin. Finally, plots (e) and (f) show the average of the near field taken in the y-z plane for a given x. 9

scale appearing with the phasor plots. In other words, these colors tag the location of the 174 phasor according to the location's influence (in phase) on the value of  $z_{y,i}$ . Recall that the 175 x-axis is also the propagation axis for the incident wave (direction of the incident wave vector 176  $\mathbf{k}^{\text{inc}}$ ). One observes that for  $\lambda = 266 \text{ nm}$ , Fig. 1(b), there is an overall decrease of the phasor 177 magnitude with increasing  $x_i$ . This demonstrates an overall decrease of the internal field 178 magnitude  $|E_y^{\text{int}}|$  along the x-axis, i.e., in the direction that the incident light propagates 179 through the aggregate. For  $\lambda = 1064$  nm, the same effect is not observed as the full range of 180 phasor-dot colors can be seen throughout the distribution. 181

Following the resolution of the Maxwell equations inside and outside the scattering object the total electric field everywhere in space is mathematically

$$\mathbf{E}(\mathbf{r}) = \mathbf{E}^{\text{inc}}(\mathbf{r}) + \mathbf{E}^{\text{sca}}(\mathbf{r}),\tag{7}$$

where the scattered electric near-field in discrete form is expressed in terms of the total field inside the scattering object as follows: (see Section 2.1 in [41])

$$\mathbf{E}^{\mathrm{sca}}(\mathbf{r}) = \frac{k^2}{4\pi} \left( m^2 - 1 \right) \left( \stackrel{\leftrightarrow}{\mathbf{I}} + \frac{1}{k^2} \nabla \otimes \nabla \right) \cdot \sum_{i=0}^{N} \mathbf{E}^{\mathrm{int}}(\mathbf{r}_i) \frac{e^{ik|\mathbf{r} - \mathbf{r}_i|}}{|\mathbf{r} - \mathbf{r}_i|} \Delta V, \tag{8}$$

with  $\otimes$  representing the dyadic product. Note that, the expression of the VIE in Eq. (2) 186 is different from this one due to the far-field zone assumption. Another way to study the 187 overall variation of field magnitude is presented in Figs. 1(c)- 1(d). Here, the magnitude of 188 the y-component of the total electric field,  $|E_y| = |\mathbf{E}(\mathbf{r}) \cdot \hat{\mathbf{y}}|$ , in the x-y plane through the 189 aggregate is shown. For points not residing in a monomer, the field plotted corresponds to 190 the total near-field, i.e.,  $|E_y| = |E_y^{\text{inc}} + E_y^{\text{sca}}|$  whereas  $|E_y^{\text{int}}|$  is plotted for points residing in 191 a monomer. In Fig. 1(c) where  $\lambda = 1064$  nm, there is little departure from the magnitude 192 of the incident field,  $|E_y^{\text{inc}}| = 1$  except very close to the monomers, i.e., hot spots. However, 193 in Fig. 1(d) where  $\lambda = 266$  nm, there is structure to this near field extending beyond the 194 monomer length scale. 195

To better test whether there is an overall trend in near field across the aggregate, Figs. 1(e)-1(f) plot the average of  $|E_y|$  within the *y*-*z* plane located at *x*. The result reveals trends of the field magnitude along the *x*-axis, i.e., along the propagation direction of the incident wave. In Fig. 1(e), the average field is almost invariant from one, which reveals that the field is close to the magnitude of the incident field across the aggregate. Fig. 1(f), however, shows a clear decay of the field magnitude along x. The decay can be explained by absorption of the incident field; an effect that is neglected by the RDG-FA. Indeed, Im  $\{m\} = 0.96$  for Fig. 1(f), where  $\lambda = 266$  nm, which is larger than the corresponding value for  $\lambda = 1064$  nm, i.e., Im  $\{m\} = 0.26$ , Fig. 1(e).

To summarize the findings thus far, at large wavelength, the hypothesis of a uniform near-field appears valid. Point contact between the monomers induce local "hot-spots" of the internal electric field (internal coupling), which causes A > 1 as revealed by the bisphere analysis [21] and the large spread of phasors in Fig. 1(a). At smaller wavelength, the absorption dominates causing A < 1 with an effect becoming more marked as the aggregate increases in size. Thus, we qualitatively explain the observations reported in [19, 20].

### 211 3.2. Sliced phasors

The qualitative difference between the spread of points in Fig. 1(a) and Fig. 1(b) suggests 212 that the phasors may be helpful to understand the effect of absorption on the RDG-FA 213 correction factors. The effects of absorption are expected to be most noticeable by analyzing 214 the phasors as groups in a way that isolates the absorption-based decay in magnitude of the 215 internal field. Returning to the VIE of Eq. (2), from which the phasors are eventually derived 216 in Eq. (5), one sees that  $\mathbf{E}^{\text{int}}$  is accompanied by the term  $\exp(-ik\hat{\mathbf{r}}\cdot\mathbf{r}_i)$ . When evaluated 217 in the forward-scattering direction,  $\hat{\mathbf{r}} = \hat{\mathbf{x}}$ , the phase of this term becomes  $-ikx_i$ , which is a 218 constant across the y-z plane for the given value of  $x_i$ ; this is why the y-z plane is specifically 219 considered in Fig. 1(e) and Fig. 1(f). The variation in the phasor magnitudes corresponding 220 to volume elements in the plane are then only due to the internal field component,  $E_{y}^{\text{int}}$ . 221 With this understanding, let  $\Pi(x)$  be the y-z plane at x and define a "sliced phasor" as 222

- 1  $\sum_{n=1}^{N_{\rm d}(x)}$   $(\hat{a})$ 

$$\overline{z_y(x)} = \frac{1}{N_{\rm d}(x)} \sum_{\substack{i=1\\i\in\Pi(x)}}^{N_{\rm d}(x)} z_{y,i}(\hat{\mathbf{x}}),\tag{9}$$

where  $N_{\rm d}(x)$  is the number of dipoles (volume elements) of the aggregate that reside in the plane  $\Pi(x)$ . Equation (9) represents the average of the phasors in the  $\Pi(x)$  plane, which means that all of these phasors will have the same phase factor discussed above. Then, sum <sup>226</sup> all of the sliced phasors for the complete aggregate:

$$z_y(\psi) = \frac{1}{N_{\rm d}} \sum_x \overline{z_y(x)} N_{\rm d}(x), \qquad (10)$$

where  $\psi$  expresses the (implicit) aggregate orientation. With this definition,  $|z_y(\psi)|^2 = A_{vv}(\psi)$  because of the weighting factor  $N_d(x)$  included in Eq. (10), i.e.,  $\sum_x N_d(x) = N_d$ .

In order to obtain the RDG-FA correction factor for forward scattering, A, the slicedphasors must be averaged over aggregate orientation. To do this, the orientation average of a sliced phasor,  $\langle \overline{z_y(x)} \rangle_{\psi}$ , is calculated in addition to the orientation average of the number of dipoles  $\langle N_d(x) \rangle_{\psi}$  in the associated plane  $\Pi(x)$ . Then, the orientation averaged correction factor is taken as

$$A_{\rm vv}^{\rm slice} = \left| \frac{1}{N_{\rm d}} \sum_{x} \langle \overline{z_y(x)} \rangle_{\psi} \langle N_{\rm d}(x) \rangle_{\psi} \right|^2, \tag{11}$$

The fact that squaring is done before or after the orientation averaging makes  $A_{\rm vv}^{\rm slice}$  not 234 strictly identical to  $\langle A_{vv}(\psi) \rangle$  and thus to A. Nevertheless, due to the weak effect of the 235 orientation on the sliced phasors, this approximation is shown to be acceptable as confirmed 236 by Fig. 2 that reports the correlation between the exact forward scattering correction factor 237 A and the newly introduced one based on the orientation averaged sliced phasor  $A_{\rm vv}^{\rm slice}$ . The 238 correlation is shown for 4 different DLCA aggregates with different numbers of primary 239 particles ( $N_{\rm m} = 10, N_{\rm m} = 100, N_{\rm m} = 284, N_{\rm m} = 444$  with a monomer radius  $R_{\rm m} = 15$  nm) 240 by varying the wavelength and the refractive index considering organic, amorphous and 241 graphitic material in respect of the Table 1 in [31]. As can be seen in the figure both 242 quantities are linearly correlated (slope coefficient of  $0.994 \pm 0.002$  with a Pearson's linear 243 coefficient of determination 0.997). This result supports the idea that correction A is only 244 driven by the x dependence of the phasor. 245

### 246 3.3. Effect of monomer number

In this section, the effect of the number of monomers,  $N_{\rm m}$ , on the RDG-FA forwardscattering correction factor is studied in terms of the sliced phasors of Eq. (9). To do this, express a given orientation-averaged slice phasor as:

$$\langle \overline{z_y(x)} \rangle_{\psi} = \Lambda(x) \exp\left[i\Theta(x)\right],$$
(12)



Figure 2: Correlation between the exact forward scattering correction factor A and the newly introduced one based on orientation averaged sliced phasor  $A_{vv}^{slice}$ . A linear regression is displayed in a continuous black line.

where  $\Lambda$  represents the magnitude of the phasor  $|\langle \overline{z_y(x)} \rangle_{\psi}|$  and  $\Theta$  represents its phase angle 250  $\tan^{-1}\left[\operatorname{Im}\left\{\langle \overline{z_y(x)}\rangle_{\psi}\right\}/\operatorname{Re}\left\{\langle \overline{z_y(x)}\rangle_{\psi}\right\}\right]$ . Figure 3 shows the  $\Lambda$  in plot (a) and the phase  $\Theta$ 251 in plot (b) as a function of the coordinate x for the  $\Pi(x)$  plane. Here, the x coordinate is 252 normalized by the monomer radius  $R_{\rm m}$  rather than the gyration radius  $R_{\rm g}$  (see Appendix 253 A), i.e.,  $x/R_{\rm m}$  where  $R_{\rm m} = 15 \, {\rm nm}$ . Here, six different DLCA aggregates are considered 254 with different  $N_{\rm m}$  as indicated. For the illustration, the wavelength and refractive index are, 255 respectively,  $\lambda = 266 \text{ nm}$  and m = 1.75 + 1.03i. The chosen optical index has been reported 256 recently as a good approximation for mature soot at 550nm [39]. In the following, this optical 257 index will be considered constant at any wavelength when dealing with the parametric study 258 of the wavelength even if the optical index should vary with  $\lambda$ . This dependence to the 259 wavelength will be considered later for graphitic, organic and amorphous materials. In the 260 present case, the shorter wavelength is considered without loss of generality for illustration 261 since RDG-FA is less accurate. As  $N_{\rm m}$  increases the larger the aggregate is, and the longer 262 the curve extends along the  $x/R_{\rm m}$  axis. One will notice that the curves for  $\Lambda$  show a similar 263 trend overall that appears independent of the aggregate size. The magnitude,  $\Lambda$  in (a), 264 decays with x whereas the phase shift,  $\Theta$  in (b), grows with x. Recall that the incident wave 265

propagates along the x-axis, and thus, this decay of  $\Lambda$  is unambiguously due to absorption 266 in the aggregate because of the direct dependence of the sliced phasors on the internal field. 267 The reason that the trends in Fig. 3(a) or Fig. 3(b) are similar is thought to be because the 268 aggregates share similar morphological parameters,  $D_{\rm f}$ ,  $k_{\rm f}$  and  $R_{\rm m}$ . In Fig. 3(c) is plotted 269 the average number of dipoles  $\langle N_{\rm d}(x) \rangle$  in the  $\Pi$  plane at x. The curves grow from zero to a 270 maximum with x and decrease back to zero because  $\Pi$  encompasses more dipoles as it scans 271 from outside the aggregate up to the aggregate's center. The comparison between aggregates 272 and spheres of equivalent volume (equivalent volume radius:  $R_{\rm v} = N_{\rm m}^{1/3} R_{\rm m}$ ) is presented in 273 graphs (a) and (b). The x coordinates of the spheres are normalized by the monomer radius 274 of the aggregates  $(R_{\rm m} = 15 \,\mathrm{nm})$  in order to keep the same scale on the x-axis. Spheres 275 of equivalent volumes show more pronounced trends than aggregates. This is because the 276 volume density of a sphere is higher than that of an aggregate due to the fractal dimension 277  $D_{\rm f}$ . The denser the material, the more pronounced the absorption and phase shift along the 278 volume. 279

A general conclusion from Fig. 3 is that whatever the size of the aggregate, the overall 280 behavior of a sliced phasor depends mainly on its distance x into the aggregate. Recalling 281 that the incident wave propagates along x, this behavior is similar to the Beer-Lambert law. 282 Thus, adding more monomers to an aggregate while keeping its  $D_{\rm f}$ ,  $k_{\rm f}$  and  $R_{\rm m}$  constant will 283 further decrease the phasor magnitude and increase the phase shift for the largest values of 284 x. In other words, there is a shielding effect that is driven primarily by absorption and not 285 by multiple scattering. This is consistent with findings in [21, 42] where coupling effects are 286 limited several monomer radii for DLCA aggregates. The exception to this behavior is seen 287 when x is small and where  $\Lambda$  exceeds one. Given that  $\lambda = 266 \text{ nm}$  and  $R_{\text{m}} = 15 \text{ nm}$ , the 288 monomer size-parameter is  $x_{\rm m} = kR_{\rm m} = 0.35$ , which from [21] favors the appearance of "hot 289 spots" in the vicinity of point-contact between neighboring monomers. 290

Nevertheless, at short x, where the quantity of material interacting with the light is reduced and phase shift quite null (b), the amplitude of the phasor can be larger than 1 (notice the amplitude of the incident light  $E_0$  in Eq. (4) is 1). This is explained by the internal coupling enhanced by the contact between spheres. This phenomenon is predominant compared to the self-absorption phenomena only for small x.



Figure 3: Impact of the number of monomers on the sliced phasor dependence on the position in the aggregates or the spheres of equivalent volume. Plot (a) reports the amplitude  $\Lambda(x)$ , plot (b) the phase  $\Theta(x)$  and plot (c), the averaged number of dipoles per slice. The radius of the monomers of the DLCA aggregates is kept constant ( $R_{\rm m} = 15 \,\mathrm{nm}$ ) as well as the wavelength ( $\lambda = 266 \,\mathrm{nm}$ ) and refractive index (m = 1.75 + i1.03, [38]). The continuous grey interval surrounding the  $N_{\rm m} = 284$  curve (symbols : plus sign in grey) corresponds to a confidence interval at 95%.



Figure 4: Plots of the amplitude  $\Lambda(x)$  (plot (a)) and phase  $\Theta(x)$  (plot (b)) versus the normalized axis of the light propagation  $x/R_{\rm m}$  of an DLCA aggregate composed of 284 monomers with a monomer radius  $R_{\rm m} = 15$  nm and a refractive index m = 1.75 + i1.03 for different size parameters  $x_{\rm m}$ . The continuous black lines correspond of an empirical fit of the phasor amplitude by  $\Lambda(x) = \Lambda(0)e^{-\gamma(\frac{x}{R_{\rm m}})^{0.4}}$  (a) and its phase by  $\Theta(x) = \Theta(0) \left[1 - e^{-(\frac{x}{R_{\rm m}})^{0.4}}\right]$  (b).

To study the effect of monomer size on the sliced phasor behavior, the monomer size 297 parameter  $x_{\rm m} = kR_{\rm m}$  is varied in Fig. 4 for the same aggregate with  $N_{\rm m} = 284$  for  $\lambda = 266$  nm 298 and m = 1.75 + 1.03i. This consists of a dilation of the objects which then retain their 290 morphology  $(N_{\rm m}, D_{\rm f} \text{ and } k_{\rm f})$ . Increasing  $R_{\rm m}$  will change the internal (dipole-dipole) coupling 300 within the monomers, generally resulting in greater departure of the internal field from the 301 RDG-FA prediction. Increasing  $R_{\rm m}$  will also mean absorption will further decrease the 302 phasor magnitudes  $\Lambda$  while increasing their phase  $\Theta$ . For small  $x_{\rm m} < 0.20$ , the impact of 303 absorption is negligible and  $\Lambda \sim 1$  (slightly greater than 1 due to the hot spots) and the 304 phase is small,  $\Theta \ll 1$ . Thus,  $\langle \overline{z_y(x)} \rangle_{\psi} \approx 1$  and  $A \approx 1$ , meaning that the RDG-FA is valid. 305 As  $R_{\rm m}$  increases, absorption occurs over a larger volume explaining the progressive deviation 306 of  $\langle z_y(x) \rangle_{\psi}$  and of A from one, revealing the inaccuracy of the RDG-FA theory. Indeed, [43] 307 shows that the RDG-FA is a reasonable approximation to within 10% error if  $x_{\rm m} < 0.3$ . 308

#### 309 4. Unification

From Figs. 1-4, one can see the competition between the effects of internal-field enhancements (hot spots), which increase the phasor magnitudes, and absorption, which decreases the phasors magnitudes and increases their phase angle. Absorption explains the aggregatesize dependence of the forward-scattering correction factor for the RDG-FA. Yet both effects are affected by  $x_{\rm m}$  and m. As illustrated in Fig. 4, the x dependence of the sliced phasor magnitudes (a) and phasor phase (b) can be fit empirically by

$$\Lambda(x) = \Lambda(0)e^{-\gamma\left(\frac{x}{R_{\rm m}}\right)^{0.4}} \quad \text{and} \quad \Theta(x) = \Theta(0)\left[1 - e^{-\left(\frac{x}{R_{\rm m}}\right)^{0.4}}\right].$$
(13)

The functions in Eq. (13) are shown in Fig. 4 by continuous black lines. Note that the fit to  $\Lambda(x)$  is an exponential decay, which, again, is reminiscent of the Beer-Lambert for the attenuation of intensity through the aggregate due to absorption.

The phasor-magnitude behaviour is driven by two parameters, namely  $\Lambda(0)$  and  $\gamma$ . The 319 former is insensitive to absorption, and thus, only depends on the strength of coupling 320 between monomers and internal coupling within the monomers themselves, i.e., hot spots. 321 The other parameter,  $\gamma$ , is determined by the absorption decay; the greater  $\gamma$  is, the more 322 absorption will be important for the correction factor. With regard to the phase fit in 323 Eq. (13), a single parameter is involved,  $\Theta(0)$ . This parameter corresponds to the phase 324 shift due to the first plane  $\Pi$  to intersect the aggregate along the x-axis in the direction of 325 propagation of the incident wave. In other words, this part of the aggregate is the first to 326 "see" the wave. Initially the power 0.4 was also considered as a variable parameter but we 327 observed that fixing it at 0.4 had a reduced impact. 328

Figure 5 reveals a universal behavior of the three governing parameters  $\Lambda(0)$ ,  $\gamma$  and 329  $\Theta(0)$  by varying the wavelength from  $\lambda = 266 \,\mathrm{nm}$  to  $\lambda = 1064 \,\mathrm{nm}$ . Due to dispersion, 330 m will change with  $\lambda$  and this wavelength dependence is known for graphitic, amorphous, 331 and organic soot material [31]. However, the wavelength dependence also complicates an 332 understanding for how the wavelength affects the fit parameters of Eq. (13). Thus, Fig. 5 333 considers two cases: The data shown in black triangles shows the fit parameters as  $\lambda$  varies 334 for a constant refractive index m = 1.75 + 1.03i in order to isolate the effect of the refractive 335 index. The other data points show the parameters when the wavelength dependence of the 336

337 optical index is taken into account.



Figure 5: Parameters of the modelled amplitude of the phasor  $(\Lambda_i(0) \text{ and } \gamma)$  and phase  $(\Theta_i(0))$  of the phasor as it evolves by crossing the aggregates. The symbol \* in the legend refers to the optical indices provided by Bescond et al.[31] which vary with wavelength.

Recall that  $\Lambda(0)$  is driven by the internal coupling between neighboring monomers. Thus,

it seems plausible that this coupling is related to the scattering efficiency of each monomer. This is confirmed by Fig 5(a), where  $\Lambda(0)$  is shown normalized by the factor  $|m|x_{\rm m}$  (found empirically) as a function of the dimensionless product  $k^2 C^{\rm sca}$  where  $C^{\rm sca}$  is the total scattering cross section of an isolated monomer in the RDG-FA:

$$C^{\rm sca} = \frac{8\pi}{3} k^4 R_{\rm m}^6 F(m), \qquad C^{\rm abs} = 4\pi k R_{\rm m}^3 E(m), \qquad C^{\rm ext} = C^{\rm sca} + C^{\rm abs}, \qquad (14)$$

where F(m) is the norm squared and E(m) the imaginary part of the Lorentz-Lorenz factor  $(m^2 - 1)/(m^2 + 2)$ . The product of  $k^2$  with the optical cross sections ensures that the scale invariance rule (SIR) [44] is obeyed for the case that m is invariant with  $\lambda$ , i.e., in our case m = 1.75 + i1.03. Note that the linear dependence observed in the log-log plot (a) is dominated by the  $\lambda$  dependence for fixed m and  $R_{\rm m}$ .

Fig 5(b) shows the behaviour of  $\gamma$ , which represents absorption in the aggregate, and 348 thus, should be function of the extinction cross section  $C^{\text{ext}}$  of the monomers via Eq. (14). 349 Obviously, the more the monomers absorb, the greater the  $C^{\text{ext}}$ , and the more efficient light 350 is removed by the entire aggregate. The effect is not linear and absorption appears significant 351 for  $k^2 C^{\text{ext}} \geq 0.02$ . Note that negative values are shown in (b), in particular for the organic 352 material. This means that the amplitude of the phasor tends to increase leading to  $A \ge 1$ 353 (as already observed in Fig. 13 of [20]). In that case, local "hot-spots" of the internal 354 electric field dominate the absorption. Figure 5(c) shows that the phase shift induced by the 355 aggregate depends on its capacity to extinguish the light. 356

<sup>357</sup> Despite the quasi-universal behaviour in Fig 5, the detailed behavior of the data is not <sup>358</sup> perfect due to dispersion,  $m(\lambda)$ . Dispersion violates the standardization predicted by use of <sup>359</sup> the SIR. Indeed, the reader can observe that the curve corresponding to constant refractive <sup>360</sup> index (black triangles) is perfectly continuous since only the wavelength varies, contrarily to <sup>361</sup> other wavelength-dependent cases.

#### <sup>362</sup> 5. Improved correction of the RDG-FA for forward scattering

Based on the findings above, a semi-empirical model for the correction to the RDG-FA for forward scattering in the vertical-vertical polarization configuration is proposed in Eq. (15). This is a continuous expression of Eq. (11) expressed in terms of amplitude and phase of the siced phasor  $\langle \overline{z_y}(x) \rangle_{\psi}$ .

$$A_{\rm M} = \left| \frac{\int_{0}^{2R_{\rm max}} \Lambda(x) \exp\left[i\Theta(x)\right] \, \mathrm{d}V(x)}{\int_{0}^{2R_{\rm max}} \mathrm{d}V(x)} \right|^{2}.$$
 (15)

Here,  $R_{\text{max}}$  is the radius of a sphere encompassing an aggregate, dV(x) models the volume of material contained in a slice of thickness dx of the sphere in the y-z plane (see Fig. 6) and the subscript "m" stands for model. Note that, since the volume distribution of the encompassing sphere follows the fractal law its distribution differs from the one of a "full" sphere (see Fig. B.11). The volume element is taken as:

$$dV(x) = \alpha \left( R_{\rm m}, D_{\rm f}, \varphi \right) G(x, D_{\rm f}, R_{\rm m}) \, \mathrm{d}x. \tag{16}$$

where  $\alpha$  and G are functions whose development from the fractal scaling law, Eq. (1), are reported in Appendix B. Note that,  $A_{\rm M}$  depends only on G since  $\alpha$  in Eq. (16) is independent of x and thus divides out from the fraction in Eq. (15).

Figure 7 shows  $A_{\rm M}$  as a function of the exact correction A for different numbers of monomers per aggregate. For each case, the model is tested by fitting the sliced phasor results as described above for wavelengths between  $\lambda = 266$  nm and  $\lambda = 1064$  nm. As with Fig. 5, dispersion is taken into account here. Even if the model is tested for a large range of wavelengths and covers very different soot material, the agreement is good as the slope is  $0.997 \pm 0.005$ .

The model is valid only for  $N_{\rm m} \ge 10$  corresponding to the minimum aggregate-size that expresses the fractal properties of an aggregate. In order to extend the validity of the model to smaller aggregates, an extended model  $A_{\rm EM}$  is proposed, which empirically forces the correction to one for  $N_{\rm m} = 1$ :

$$A_{\rm EM} = A_{\rm M} \left(1 - A_{\rm M}\right) \exp\left(-\sqrt{\frac{N_{\rm m} - 1}{N_{\rm c}}}\right),\tag{17}$$

where  $N_c = 9/4$  is related to the critical number of monomers below which the Eq. (15) requires modification.



Figure 6: Depiction of the slicing method for the volume of the encompassing sphere of radius  $R_{\text{max}}$ .



Figure 7: Comparison between the modelled RDG-FA correction for the vertically-vertically polarized forward scattering and the exact one A. The comparison covers the range of wavelengths 266 - 1064 nm and the corresponding refractive index for organic, graphitic and amorphous soot material.  $R_{\rm m} = 15$  nm. A linear regression is displayed as a continuous black line.



Figure 8: Comparison of the proposed model of correction  $A_{\rm EM}$  (dash lines) and the exact correction A (symboles) reported by Yon et al. [19] for Diesel.

Figure 8 shows in symbols the correction factor found by [19] for the same wavelength 387 range as considered here, but with a different m appropriate for Diesel soot. These aggregates 388 are also generated by a DLCA code, i.e.,  $D_{\rm f} = 1.78$  and  $k_{\rm f} = 1.44$ , but the monomers feature a 389 Gaussian dispersion in size characterized by a mean radius of  $\overline{R_{\rm m}} = 17.1\,{\rm nm}$  and a standard 390 deviation of  $3.43 \,\mathrm{nm}$ . To compare to this data, Eq. (15) and Eq. (17) are evaluated by 391 computing the sliced phasor behaviour. An ergodicity hypothesis is made to evaluate the 392 phasors no longer on 500 orientations of the same aggregate but rather on 1000 different 393 aggregates each in a random orientation. These aggregates vary in size, from  $N_{\rm m} = 10$  to 394  $N_{\rm m}=$  1000, and have a monomer radius  $R_{\rm m}=$  17 nm, i.e., the polydispersity of [19] is 395 not taken into account. The results for these 1000 aggregates are reported in dashed lines 396 in Fig 8, where comparison to the [19] data (symbols) illustrates the performance of the 397 proposed model, Eq. (17). The deviations seen can be partially explained by the fact that 398 the polydispersity is neglected and that there is a loss of the fractal nature of the aggregate 399 for small  $N_{\rm m}$ . Nevertheless, the new correction factor model, Eq. (17), performs well and 400 is thus useful to extend the range of validity of the RDG-FA to large aggregates for a large 401 range of soot optical properties. 402

#### 403 6. Total scattering and absorption

It is reported in [19] that for fractal aggregates multiple scattering mainly affects forward 404 scattering and does not significantly impact the functionality of the phase function, i.e., the 405 structure of the angular scattered intensity. Thus, the total scattering cross section,  $C^{\rm sca}$ , 406 can be obtained by applying the same correction factor as above as for forward scattering. 407 Furthermore, a linear relationship between the RDG-FA correction factor for forward scat-408 tering and the absorption cross section is empirically observed for soot without coating in 409 [9, 19, 20, 45]. We have recently explained this linearity by showing the absorption cross 410 section correction factor, h, can be formulated in terms of the averaged phasors as 411

$$h_{\mathbf{v}}(\psi) = \overline{|z_x|^2} + \overline{|z_y|^2} + \overline{|z_z|^2},\tag{18}$$

where x, y, and z denote the components of the internal electric field used in the phasors, see 412 Appendix F. in [21]. However, as seen in [21], the components  $\overline{|z_x|^2}$  and  $\overline{|z_z|^2}$  are practically 413 negligible compared to  $\overline{|z_y|^2}$  for a bisphere. This seems to be also true for aggregates. Indeed, 414 the contribution of  $\overline{|z_x|^2}$  and  $\overline{|z_z|^2}$  to the total correction h is evaluated for the aggregate 415 reported in Fig. 1 to be respectively 4% and 3.5% for  $\lambda = 266 \text{ nm}$  and 1.6% and 1.6% for 416  $\lambda = 1064 \,\mathrm{nm}$ . This explains the observed linear relationship between h and A which is 417 proportionnal to  $\overline{|z_y|^2}$ . Consequently,  $A_{\rm EM}$  is linearly connected to h, which can be shown 418 rigorously from the ratio between the DDA absorption cross section and that given by the 419 RDG-FA. This relationship is demonstrated in Fig. 9 for different aggregate sizes for the 420 same range of  $\lambda$  and m as in Fig. 7. The linearity is evident and the slope is similar to that 421 in [19]. Thus, the h correction is simply a multiplication of  $A_{\rm M}$  by  $1.071 \pm 0.007$ , the slope 422 observed in Fig. 9. For aggregates with small  $N_{\rm m}$ , a similar correction to Eq. (17) could be 423 applied. 424

#### 425 7. Conclusion

The RDG-FA is a simple theory that is extensively used for the modeling of soot radiative properties and for the interpretation of signals delivered by laser diagnostics. Nevertheless, soot particles and other absorbing aggregates of nanoparticles generally do not fulfill the requirements of the approximation,  $x_{\rm m} \ll 1$  and  $|m(\lambda) - 1| \ll 1$ , rendering it use in such



Figure 9: Correlation between the absorption correction factor h and our own model  $A_{\rm M}$ . A linear regression is displayed in a continuous black line.

cases approximate. Indeed, errors up to 60% have been reported. Although deviations between the RDG-FA cross sections and the exact values have been observed in previous works, no model has been proposed to correct them. Our study provides such a correction model valid over a large range of wavelength and for refractive indices relevant to black carbon, organic carbon, graphitic, amorphous, and diesel-like soot materials. The model is made possible by the analysis of the internal electric field using phasors.

Here, to study the behaviour of the internal field as light passes through an aggregate, 436 phasors are averaged in slices through the aggregate, orthogonal to light propagation direc-437 tion. We see that corrections to the RDG-FA have to take into account two phenomena. 438 First, the internal coupling between monomers is generally a source of field hot-spots in the 439 vicinity of the contact between monomers. Second, absorption decreases the amplitude of 440 the internal field and increases the phase shift as the light propagates through the aggregate. 441 The first phenomenon violates the RDG-FA hypothesis of a uniform internal field and is 442 characterized by a short spatial range. It can explain the underestimation of the RDG-FA 443 cross sections,  $A \geq 1$ . The second phenomenon violates the hypothesis that each monomer 444 is exposed to the same incident field and explains why the RDG-FA overestimation is en-445 hanced when the number of monomers increases (a shielding effect). Both phenomena and 446

their dependence on location in the aggregate are analysed through the dependence of three parameters for different wavelengths and refractive indices. Due to the direct relationship between the phasors and the RDG-FA correction factors, this work allows the evaluation of the correction factors for scattering and absorption. The corrections are compared to exact calculations showing good agreement for a wide range of wavelength, aggregate size, and soot material.

Beyond improving our understanding, this work advances the range of validity of the RDG-FA in applications involving soot and BC particles, both in modeling and diagnostics contexts, while also being easy to apply. The reader can download a python script for calculating the corrected RDG-FA based on the current work by using the link https: //gitlab.coria-cfd.fr/c-rdgfa/C-RDGFA.

As a pursuit of this work, the current methodology will be applied to more realistic aggregates by considering, for example, monomer polydispersity, necking, overlap and different fractal dimension and prefactor. It could be also adapted to larger range of refractive indices in order to be applicable to other aggregate materials.

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# 470 Appendix A. Size normalization

Figure A.10 reports the amplitude of the sliced phasor at the position x by comparing the normalization of this positioning parameter. The left plot normalizes the position x by the gyration radius  $R_{\rm g}$  whereas the right plot considers the monomer radius  $R_{\rm m}$ . As it can be seen the normalization by  $R_{\rm m}$  produced a better unification of the results. The reason of this better agreement is that  $R_{\rm m}$  normalization is representative of the amount of material crossed by the incoming light source whatever the aggregate's size.



Figure A.10: Impact of the normalization of x by  $R_{\rm g}$  or  $R_{\rm m}$  on the sliced phasor amplitude  $\Lambda(x)$ .

# 477 Appendix B. Volume distribution

In order to compute A, the phasor approach in slices implies to use the number of dipoles, i.e., the volume in each disk of thickness dx in Fig. 6 as a weighting factor in Eq. (15). We can express the number of monomers of an aggregate of radius  $R_{\text{max}}$ , contained in a sphere of radius r whose center corresponds to the aggregate center of mass, with  $r \in [0, R_{\text{max}}]$  [46]:

$$N_{\rm m}(r) = \varphi \left(\frac{r}{R_{\rm m}}\right)^{D_{\rm f}}.\tag{B.1}$$

In this equation,  $\varphi$  is the packing fraction which represents a local compaction in the aggregate. It is different from the fractal prefactor  $k_{\rm f}$  used in Eq. (1) since r is a variable which not corresponds to the gyration radius of the aggregate [34]. From this equation, one can express the probability to find material at a distance r from the aggregate's center of mass:

$$\rho(r) = \frac{1}{4\pi r^2} \frac{\mathrm{d}N_m(r)}{\mathrm{d}r} V_\mathrm{m} = \frac{\varphi D_\mathrm{f}}{3} \left(\frac{r}{R_\mathrm{m}}\right)^{D_\mathrm{f}-3},\tag{B.2}$$

with  $(V_{\rm m} = 4\pi R_{\rm m}^3/3)$ , the volume of a monomer. Therefore, the elementary volume of aggregate in the plane II corresponding to the green dash circle in Fig. 6, having a radius y 489 becomes:

$$dV(x,y) = 2\pi\rho(r)ydydx.$$
(B.3)

By integrating this expression in the plane  $\Pi$ , the elementary volume of material contained in a slice of thickness dx positioned at a distance  $x \ge R_{\rm m}$  from the left part of the aggregate is:

$$dV(x) = \underbrace{\frac{2\pi\varphi D_{\rm f}dx}{3R_{\rm m}^{D_{\rm f}-3}}}_{\alpha(R_{\rm m},D_{\rm f},\varphi)} \underbrace{\int_{0}^{\sqrt{r^2 - (x - 2R_{\rm max})^2}} y \left[ (x - R_{\rm max})^2 + y^2 \right]^{\frac{D_{\rm f}-3}{2}} dy}_{G(x,D_{\rm f},R_{\rm m})}.$$
 (B.4)

By symmetry from the aggregate center of mass, for  $x \leq R_{\rm m}$ , the expression is identical excepted the upper bound of the integral that becomes  $\sqrt{r^2 - x^2}$ .

Fig. B.11 shows the normalized volume distribution  $\int_0^{2R_{\text{max}}} dV(x)/V$  along the normalized 496  $x/2R_{\text{max}}$  abscissa for the porous encompassing sphere which models a DLCA aggregate 497  $(D_{\text{f}} = 1.78)$  averaged over orientations and the one from a full sphere (dimension  $D_{\text{f}} = 3$ ).



Figure B.11: Normalized volume distribution of the encompassing sphere.

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