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# A semi-empirical correction for the Rayleigh-Debye-Gans approximation for fractal aggregates based on phasor analysis: Application to soot particles

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## Abstract

The Rayleigh Debye-Gans approximation for Fractal Aggregates (RDG-FA) is commonly used for the evaluation of the radiative properties of fractal aggregates of nanometer-scale nearly spherical particles as soot particles. The cost of its simplicity, however, is the precision of the aggregate cross sections when the refractive index deviates from unity and when the aggregate's spheres, or monomers, are not sufficiently small compared to the wavelength. While correction factors have been highlighted before, their physical origin is not clear and no universal correction factors are proposed. The present study develops an approach based on phasor analysis of the aggregate's internal electric field rigorously determined by the discrete dipole approximation. Aggregates representative of the Diffusion Limited Cluster Aggregation (DLCA) regime having a fractal dimension of  $D_f = 1.78$  are considered as representative of a soot aggregate. The results reveal that correction factors to the RDG-FA for forward scattering ( $A$ ) and the absorption cross section ( $h$ ) are due to a competition between internal-field hot-spots caused by point contact between the spherical monomers and a decrease of the field amplitude as the field propagates through the aggregate. Both phenomena are neglected in the RDF-FA by definition. The absorption phenomenon explains the aggregate-size dependence of  $A$  and  $h$ . These effects are then studied as the aggregate size varies according to the number of monomers  $N_m$  ranging from 10 to 1000, as the monomer radius varies from  $R_m = 5 \text{ nm} - 30 \text{ nm}$ , and as the wavelength varies from  $\lambda = 266 \text{ nm} -$

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1064 nm. Both constant and wavelength dependent refractive indices for organic, graphitic, and amorphous soot are considered. Finally, a semi-empirical model is proposed intended to correct the RDG-FA theory based on the analysis.

*Keywords:* Soot fractal aggregates, RDG-FA, Internal coupling, DDA, Phasor analysis, Scattering cross sections, Absorption cross sections

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## 1 **Highlights**

- 2     • The internal electric field of fractal aggregates is studied with a phasor approach.
- 3     • Internal coupling and absorption explain the RDG-FA discrepancies for large aggre-  
4       gates.
- 5     • Aggregate size, monomer radius, refractive index, and wavelength dependencies are  
6       investigated.
- 7     • Semi-empirical corrections for the RDG-FA derived forward-scattering and absorption  
8       are proposed.

## 9 1. Introduction

10 Soot, or black carbon (BC), particles are produced by the incomplete combustion of fossil  
11 fuels and biomass. Once emitted in the atmosphere, these aerosols pose a concern for human  
12 health [1, 2], contribute actively to atmospheric radiative forcing of the climate [3], and  
13 affect atmospheric chemistry [4]. For these reasons, climate models need a simple, robust,  
14 and precise description of soot aerosol optical cross sections. Such descriptions could also  
15 serve to enhance the precision of optical diagnostics for *in-situ* characterization of similar  
16 aerosols [5] and the control of their generation for industrial purposes [6].

17 The absorption and scattering cross sections of aggregates can be accurately calculated  
18 by the Generalized Multiparticle Mie (GMM) [7] method and the superposition T-matrix  
19 (STM) [8] method. For soot, however, these methods are limited to aggregates of spherical  
20 particles, i.e., monomers, that are in point contact. More realistic models of soot, with  
21 overlapping monomers, necking between monomers [9], and coating of the monomers [10],  
22 are studied with the Discrete Dipole approximation (DDA) [11–13], which is not limited by  
23 particle geometry. The DDA considers a particle as a set of interacting dipoles on a cubic  
24 lattice with spacing  $d$  and yields accurate results provided that  $|m|kd < 0.5$ , where  $m$  the  
25 complex-valued refractive index and  $k = 2\pi/\lambda$ . Because the DDA involves a numerical cost,  
26 namely the computation time, it is not implemented in current climate models nor is it  
27 frequently used to interpret data from light scattering measurements.

28 For these reasons, light interaction models with simple analytical expressions are pre-  
29 ferred, but one should be aware of their intrinsic limitations [14]. The most used one is  
30 the Rayleigh-Debye-Gans approximation [15]. The essence of this approximation is that  
31 the particle is divided into a number of small volume elements. Each element is treated  
32 as a Rayleigh scatterer (small compared to the wavelength) and the interactions between  
33 these elements are neglected. The RDG approximation can be applied to different type of  
34 aggregates like snowflake aggregates at millimeter wavelength radar [16] or aerosol Fractal  
35 Aggregates (RDG-FA) [17] as long as the criteria  $|m - 1| \ll 1$  and  $x_m|m - 1| \ll 1$  are re-  
36 spected. The performance of the RDG-FA is often studied in terms of the correction factors  
37  $A$  and  $h$ , which are needed to bring the RDG-FA forward scattering and absorption, respec-  
38 tively, in agreement with exact calculations via the DDA. This has been studied for soot

39 particles [18–20] because their optical indices  $m$  do not enable the respect of the aforemen-  
40 tioned criteria for visible light. The present authors have shown in a previous work [21] that  
41 for a bi-sphere and for typical soot materials the corrections depend on the orientation of  
42 the bi-sphere and the values of  $m$  and  $\lambda$ . This was explained by regions of enhanced internal  
43 electric field, i.e., hot spots, occurring at the vicinity of point contact between the monomers.  
44 Hot spots can increase or decrease the RDG-FA correction factor for forward scattering [21].  
45 This finding is related to [20] where “positive deviations” are induced by the increase of  
46  $\text{Re}\{m\}$  and “negative deviations” with increasing  $\text{Im}\{m\}$ . The bi-sphere study [21] also  
47 reveals that the coupling distance for the monomers is relatively short. Specifically, when  
48 the monomers (spheres) center-to-center distance is larger than  $4R_m$ , they can be treated as  
49 independent-scattering. This complicates the understanding of the  $N_m$  dependence of the  $A$   
50 and  $h$  correction factors reported in [19, 20] which remain poorly understood [22]. Moreover,  
51 a law providing the correction factors for the RDG-FA, i.e.,  $A$  and  $h$ , for all practical values  
52 of  $N_m$ ,  $\lambda$ , and  $m$ , does not yet exist.

53 Introduced in [23, 24] and used in the aforementioned bi-sphere study [21], the method of  
54 phasor analysis can link the field within a particle to the external light scattering behavior,  
55 such as, the angular scattering pattern and cross sections. The method is unique in that  
56 it provides this link via both quantitative and graphical means, and thus, can engender  
57 understanding for the light scattering behavior of a given particle, e.g., see [24–26].

58 In the present study, the method is used to examine the dependence of these RDG-FA  
59 correction factors on  $N_m$ ,  $\lambda$ , and  $m$  while fractal dimension and prefactor are considered  
60 constant and representative of soot particles (obtained by Diffusion Limited Cluster Aggre-  
61 gation [27],  $\approx 1.78$  and  $1.4$  respectively). Indeed, more compact aggregates characterized  
62 by larger fractal dimensions or prefactors will certainly have stronger interactions between  
63 monomers than more open structures, which will lead to stronger corrections. Readers inter-  
64 ested in understanding the role played by the prefactor and the fractal dimension can find  
65 information in the work of Liu *et. al.* [28, 29]. Soot particles are very complex and their com-  
66 position is generally unknown. Indeed, in the same flame, we can find nascent soot, which  
67 are mostly made of organic compounds with an amorphous carbon matrix and what is called  
68 mature soot, which are made of carbon and hydrogen atoms essentially with a certain level

69 of graphitization [30]. For this reason, extreme optical index behaviour will be considered  
70 (respectively graphitic, amorphous and organic soot particles) as previously studied in [31].  
71 It may be noted that some of these indices (organic particles at lowest wavelengths) cover  
72 larger imaginary parts than those proposed by Chang and Charalampopoulos. [32]. This can  
73 be explained by the specific composition. Also, it must be noticed that Bescond *et. al.* [31]  
74 considered the fractal aggregates morphology in their inversion process whereas Chang and  
75 Charalampopoulos treated the particles as spheres. In this study, one will see the important  
76 role played by absorption within the monomers, which decreases the internal electric-field  
77 amplitude as light propagates within the aggregate material. An observation of universal  
78 trends in the phasor analysis then ultimately leads to the proposition of a semi-empirical  
79 law  $A$  and  $h$ .

## 80 2. Methodology

### 81 2.1. Fractal aggregates

82 This study will focus on soot aggregates that form under the diffusion limited cluster  
83 aggregation (DLCA) regime. In DLCA, a fractal scaling-law describes the average aggregate-  
84 size as [33]:

$$N_m = k_f \left( \frac{R_g}{R_m} \right)^{D_f} \quad (1)$$

85 where  $k_f$  is a scaling prefactor,  $D_f$  is the fractal dimension, and  $R_g$  is the radius of gyration of  
86 the aggregate [17]. A selection of simulated DLCA aggregates obeying Eq.(1) is considered  
87 here. Three aggregates are taken from [20] with  $N_m = 284$ ,  $N_m = 444$ , and  $N_m = 833$  where  
88  $D_f = 1.78 \pm 0.04$  and  $k_f = 1.35 \pm 0.10$ . To access a larger range of aggregate size, specifically  
89  $N_m \in [10, 1000]$ , a selection of 1000 different aggregates are taken from [34] where  $D_f \approx 1.78$   
90 and  $k_f \approx 1.4$ .

### 91 2.2. Internal electric field

92 The scattering properties of an aggregate include the total scattering  $C^{\text{sca}}$ , absorption  
93  $C^{\text{abs}}$ , and extinction cross sections  $C^{\text{ext}}$ , in addition to the angular scattered light intensity  
94  $I^{\text{sca}}$ . These properties can all be derived from the scattered electric field  $\mathbf{E}^{\text{sca}}(\mathbf{r})$ , which for  
95  $\mathbf{r}$  in the aggregate's far-field zone are linked to the internal field  $\mathbf{E}^{\text{int}}$  inside the aggregate

96 material via the volume integral equation (VIE). When expressed in discrete form, i.e., for  
 97  $N$  cubic volume elements  $\Delta V$  in the aggregate, the VIE reads [35]:

$$\mathbf{E}^{\text{sca}}(\mathbf{r}) = \frac{k^2 \exp(ikr)}{4\pi r} (m^2 - 1) \left( \overset{\leftrightarrow}{\mathbf{I}} - \hat{\mathbf{r}} \otimes \hat{\mathbf{r}} \right) \cdot \sum_{i=0}^N \mathbf{E}^{\text{int}}(\mathbf{r}_i) \exp(-ik\hat{\mathbf{r}} \cdot \mathbf{r}_i) \Delta V, \quad (2)$$

98 In Eq (2),  $\overset{\leftrightarrow}{\mathbf{I}}$  is the Cartesian identity dyadic,  $\hat{\mathbf{r}} \otimes \hat{\mathbf{r}}$  is the dyadic formed by the direct product  
 99 of  $\hat{\mathbf{r}}$  with itself [35, 36]. Due to the assumed far-field limit for the observation point  $\mathbf{r}$ , it  
 100 is useful to examine the behavior of the scattered field in terms of the scattering amplitude  
 101  $\mathbf{E}_1^{\text{sca}}$  given from Eq. (2)

$$\mathbf{E}^{\text{sca}}(\mathbf{r}) = \frac{\exp(ikr)}{r} \mathbf{E}_1^{\text{sca}}(\hat{\mathbf{r}}). \quad (3)$$

102 Note that  $\mathbf{E}_1^{\text{sca}}$  depends only on direction  $(\theta, \phi)$ , i.e.,  $\hat{\mathbf{r}}$ , and not on the distance  $r$ .

103 The DDA method can be used to find  $\mathbf{E}^{\text{int}}$  accurately within the aggregate. Indeed, the  
 104 DDA can be thought of as a numerical solution of Eq (2) [13]. Here, the code DDSCAT  
 105 developed by [37] is used to numerically determine  $\mathbf{E}^{\text{int}}$ . An aggregate's physical 3D shape  
 106 is discretized on a cubic lattice of volume elements  $\Delta V$  that are  $d \times d \times d$  in size. Each  
 107 element is then assigned an electric dipole moment, which are excited by the incident field  
 108 and couple to each other. Following the numerical solution for value of these dipoles, i.e., the  
 109 solution of Eq (2),  $\mathbf{E}^{\text{int}}$  can be obtained from each dipole [13]. The accuracy of the solution  
 110 is determined by the fineness of this lattice as quantified by the condition  $|m|kd < 0.5$ . To  
 111 satisfy this condition, a number of dipoles per monomer  $N_d/N_m$  is specified, where  $N_d$  is the  
 112 total number of dipoles representing an aggregate and  $N_m$  is the number of monomers. Below,  
 113  $N_d/N_m \approx 110$ , which is sufficient for point-contact monomers in [24] where  $N_d/N_m \approx 34$ .

114 The incident wave is planar, propagating along the  $x$ -axis, with linear polarization where  
 115  $\mathbf{E}^{\text{inc}}$  is along the  $y$ -axis in the aggregate's reference frame. Thus,  $\mathbf{E}^{\text{inc}}(\mathbf{r}) = E_o \exp(ik\hat{\mathbf{x}} \cdot \mathbf{r})\hat{\mathbf{y}}$   
 116 where  $E_o$  is the field magnitude. A wide range of wavelengths are considered,  $\lambda \in [266 \text{ nm} -$   
 117  $1064 \text{ nm}]$ , and values for the refractive index appropriate for soot particles are considered.  
 118 Specifically,  $m = 1.75 + 1.03i$  is used from [38] as representative of mature soot (or Black  
 119 Carbon) as shown by [39] for  $\lambda = 550 \text{ nm}$ . In order to also consider the wavelength depen-  
 120 dence of  $m$  for material other than purely graphitic or mature soot, the spectral dependence  
 121 of  $m$  found in [31] for organic, graphitic, and amorphous soot compositions are also taken  
 122 into account [20].

123 *2.3. Phasor analysis*

124 The dyadic terms in Eq. (2) will simplify if the observation point resides along the prop-  
 125 agation of the incident wave, i.e., the forward-scattering direction, see Appendix C in [21].  
 126 In terms of polarization, if the vertical direction is the  $y$ -axis, the incident wave is vertically  
 127 polarized, which will be denoted by the subscript v. Moreover, if the scattered light is de-  
 128 tected through a linear polarizer oriented in the vertical direction – a common configuration  
 129 – then a detector measures the vertical-vertical (incident-scattered) polarization configura-  
 130 tion, denoted by the subscript vv. The following will consider only this polarization case.  
 131 Equation (2) now simplifies by virtue of Eq. (3) to give the scattering amplitude as:

$$\mathbf{E}_{1,vv}^{\text{sca}}(\hat{\mathbf{x}}) = \frac{3k^2}{4\pi} \frac{m^2 - 1}{m^2 + 2} E_o \sum_{i=1}^{N_d} z_{y,i}(\hat{\mathbf{x}}) \Delta V \hat{\mathbf{y}}, \quad (4)$$

132 where  $z_{y,i}$  is the phasor, or complex-valued number, for the  $i^{\text{th}}$  volume element. As a re-  
 133 minder,  $\hat{\mathbf{x}}$  is the forward-scattering direction and  $\hat{\mathbf{y}}$  is the vertical polarization direction.  
 134 There is no distance,  $r$ , dependence in the scattering amplitude. The phasor for a volume  
 135 element, or equivalently, a dipole, is determined by the internal field at the location of the  
 136 element,  $\mathbf{r}_i$ , and by a phase factor accounting for the position of the element as

$$z_{y,i}(\hat{\mathbf{x}}) = \frac{m^2 + 2}{3E_o} E_y^{\text{int}}(\mathbf{r}_i) \exp(-ikx_i). \quad (5)$$

137 In Eq. (5),  $x_i$  is the  $x$ -component of the volume element positioned at  $\mathbf{r}_i$ .

138 Phasor analysis offers a graphical means to illustrate the contribution of each volume  
 139 element to the forward scattering amplitude. It is a descriptor of the spatial variability of  
 140 the internal electric field accounting for the phase shifts due to the different positions of  
 141 the elements. As demonstrated in [21], the phasors are directly related to the RDG-FA  
 142 correction factors for the forward differential scattering cross section:

$$A_{vv}(\psi) = \frac{\frac{dC_{vv}^{\text{sca}}}{d\Omega}(0^\circ)}{\frac{dC_{\text{RDG},vv}^{\text{sca}}}{d\Omega}(0^\circ)} = |\overline{z_y}|^2. \quad (6)$$

143 In Eq. (6),  $\psi$  denotes the aggregate's orientation with respect to the incident wave,  $\frac{dC_{vv}^{\text{sca}}}{d\Omega}(0^\circ)$   
 144 is the true (from DDA) forward differential scattering cross section and  $\frac{dC_{\text{RDG},vv}^{\text{sca}}}{d\Omega}(0^\circ)$  is the



145 forward differential scattering cross section predicted by the RDG-FA. The horizontal bar  
 146 denotes averaging of all the phasors in the aggregate, i.e.,  $\overline{z_y} = (1/V) \sum_{i=1}^{N_d} z_{y,i} \Delta V$  where  $V$   
 147 represents the total volume of all the monomers. Further explanation for the derivation of  
 148 Eq. (6) is given in Appendix F of [21]. Note that the forward scattering correction factor  
 149  $A$  refers to the average of Eq. (6) over many aggregate orientations, i.e.,  $A = \langle A_{vv}(\psi) \rangle_\psi$ ,  
 150 where the brackets denote orientation averaging. Here, at least 500 isotropically distributed  
 151 orientations of a single aggregate or 1000 different aggregates having the same morphological  
 152 descriptors,  $R_m$ ,  $D_f$ , and  $k_f$ , are considered according to the reciprocity theorem [40].

### 153 3. Results

#### 154 3.1. Phasor plots for a fractal aggregate

155 The first row of Fig. 1, plots (a) and (b), show the phasor distributions in the complex  
 156 plane (horizontal axis:  $\text{Re}\{z_{y,i}\}$ , vertical axis:  $\text{Im}\{z_{y,i}\}$ ) for an aggregate with  $N_m = 284$   
 157 monomers with monomer radius  $R_m = 15$  nm for  $\lambda = 1064$  nm in (a) and for  $\lambda = 266$  nm  
 158 in (b). That is, each point in the plot represents the complex number  $z_{y,i}$  corresponding to  
 159 the  $i^{\text{th}}$  volume element in the aggregate according to Eq. (5). Organic monomer-material is  
 160 chosen because it is known to manifest important corrections to the RDG-FA theory [20].  
 161 This means that we take  $m = 1.83 + 0.26i$  for  $\lambda = 1064$  nm and  $m = 0.94 + 0.96i$  for  
 162  $\lambda = 266$  nm. In both cases,  $|m|$  is significant, which highlights a strong non-uniformity of  
 163 the internal electric field. To see this, the plots show a unit semicircle representing the  
 164 location phasors would have if their magnitude were unity  $|z_{y,i}| = 1$ ; this corresponds to the  
 165 RDG-FA prediction in case of forward scattering (see Eq 6). The average phasor,  $\overline{z_y}$ , is shown  
 166 as the red star and is clearly outside the semicircle for  $\lambda = 1064$  nm in (a) and inside for  
 167  $\lambda = 266$  nm in (b). This indicates, respectively, an underestimation of the RDG-FA,  $A > 1$ ,  
 168 and an overestimation,  $A < 1$ , in accord with the findings in [19, 20]. The magnitude of the  
 169 phasor distribution's spread is greater for  $\lambda = 1064$  nm with a line-like shape similar to the  
 170 behaviour observed for intersecting bi-spheres in [21]. For  $\lambda = 266$  nm, a more pronounced  
 171 spread is seen in the distribution.

172 Referring again to Fig. 1(a)- 1(b), one can see that the phasor dots are colored. The  
 173 meaning of these colors is to express the  $x_i$  coordinate in Eq. (5) as indicated by the color

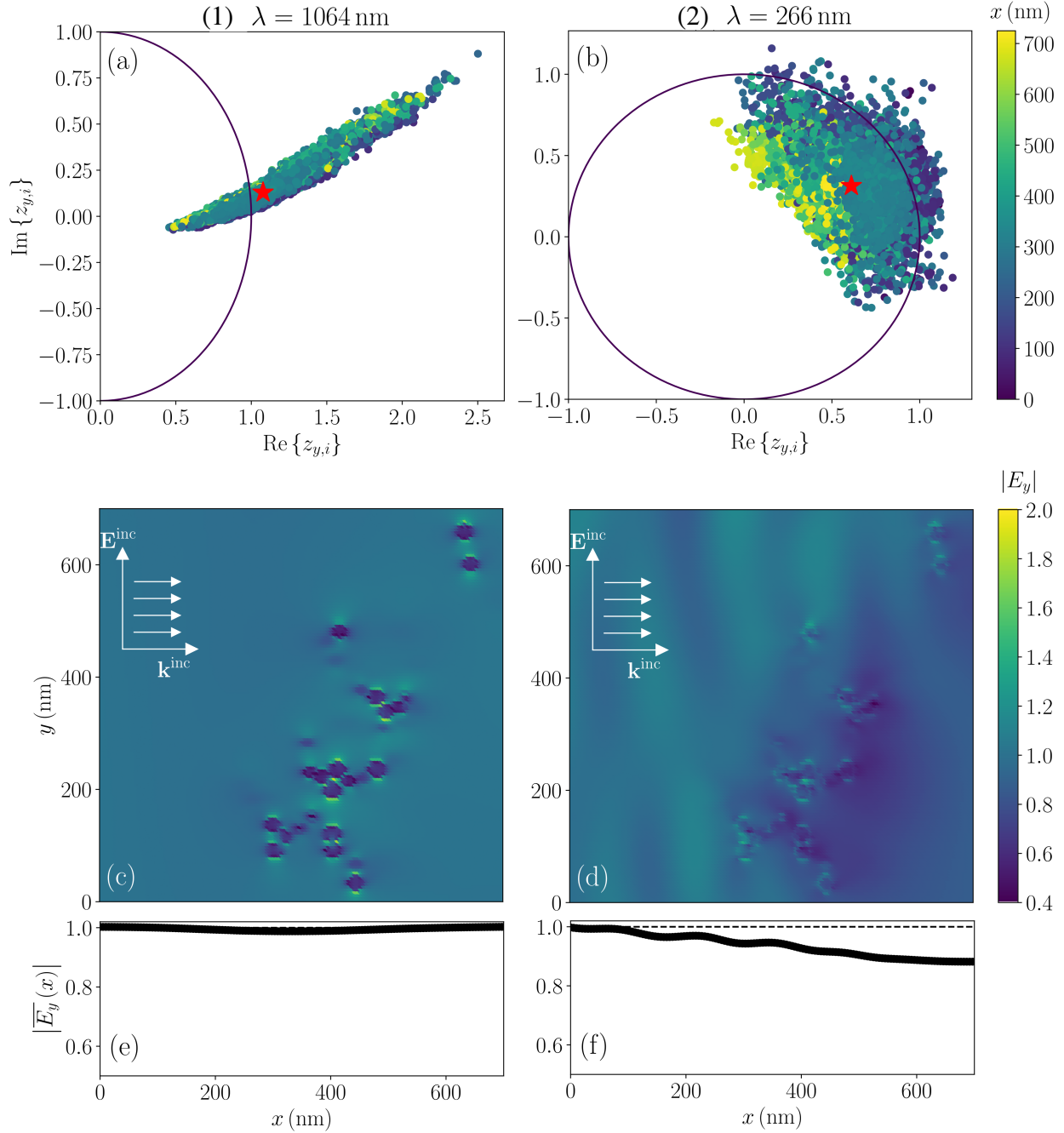


Figure 1: Phasor distributions and internal electric-field analysis of an aggregate composed of  $N_m = 284$  monomers with monomer radius  $R_m = 15$  nm for organic monomer material. The left column corresponds to  $\lambda = 1064$  nm with  $m = 1.83 + i0.26$  whereas the right column corresponds to  $\lambda = 266$  nm with  $m = 0.94 + i0.96$ . Distributions of the phasors  $z_{y,i}$  in the complex plane are shown in (a) and (b) where the semi-circle (or circle) represents unit phasor-magnitude. Each phasor is displayed as a point. The star symbol in each plot represents the average of all the phasors shown, i.e.,  $\overline{z_y}$ . Plots (c) and (d) show the magnitude of the near field and internal field in the  $x$ - $y$  plane through the origin. Finally, plots (e) and (f) show the average of the near field taken in the  $y$ - $z$  plane for a given  $x$ .

174 scale appearing with the phasor plots. In other words, these colors tag the location of the  
 175 phasor according to the location's influence (in phase) on the value of  $z_{y,i}$ . Recall that the  
 176  $x$ -axis is also the propagation axis for the incident wave (direction of the incident wave vector  
 177  $\mathbf{k}^{\text{inc}}$ ). One observes that for  $\lambda = 266$  nm, Fig. 1(b), there is an overall decrease of the phasor  
 178 magnitude with increasing  $x_i$ . This demonstrates an overall decrease of the internal field  
 179 magnitude  $|E_y^{\text{int}}|$  along the  $x$ -axis, i.e., in the direction that the incident light propagates  
 180 through the aggregate. For  $\lambda = 1064$  nm, the same effect is not observed as the full range of  
 181 phasor-dot colors can be seen throughout the distribution.

182 Following the resolution of the Maxwell equations inside and outside the scattering object  
 183 the total electric field everywhere in space is mathematically

$$\mathbf{E}(\mathbf{r}) = \mathbf{E}^{\text{inc}}(\mathbf{r}) + \mathbf{E}^{\text{sca}}(\mathbf{r}), \quad (7)$$

184 where the scattered electric near-field in discrete form is expressed in terms of the total field  
 185 inside the scattering object as follows: (see Section 2.1 in [41])

$$\mathbf{E}^{\text{sca}}(\mathbf{r}) = \frac{k^2}{4\pi} (m^2 - 1) \left( \overset{\leftrightarrow}{\mathbf{I}} + \frac{1}{k^2} \nabla \otimes \nabla \right) \cdot \sum_{i=0}^N \mathbf{E}^{\text{int}}(\mathbf{r}_i) \frac{e^{ik|\mathbf{r}-\mathbf{r}_i|}}{|\mathbf{r}-\mathbf{r}_i|} \Delta V, \quad (8)$$

186 with  $\otimes$  representing the dyadic product. Note that, the expression of the VIE in Eq. (2)  
 187 is different from this one due to the far-field zone assumption. Another way to study the  
 188 overall variation of field magnitude is presented in Figs. 1(c)- 1(d). Here, the magnitude of  
 189 the  $y$ -component of the total electric field,  $|E_y| = |\mathbf{E}(\mathbf{r}) \cdot \hat{\mathbf{y}}|$ , in the  $x$ - $y$  plane through the  
 190 aggregate is shown. For points not residing in a monomer, the field plotted corresponds to  
 191 the total near-field, i.e.,  $|E_y| = |E_y^{\text{inc}} + E_y^{\text{sca}}|$  whereas  $|E_y^{\text{int}}|$  is plotted for points residing in  
 192 a monomer. In Fig. 1(c) where  $\lambda = 1064$  nm, there is little departure from the magnitude  
 193 of the incident field,  $|E_y^{\text{inc}}| = 1$  except very close to the monomers, i.e., hot spots. However,  
 194 in Fig. 1(d) where  $\lambda = 266$  nm, there is structure to this near field extending beyond the  
 195 monomer length scale.

196 To better test whether there is an overall trend in near field across the aggregate,  
 197 Figs. 1(e)-1(f) plot the average of  $|E_y|$  within the  $y$ - $z$  plane located at  $x$ . The result re-  
 198 veals trends of the field magnitude along the  $x$ -axis, i.e., along the propagation direction  
 199 of the incident wave. In Fig. 1(e), the average field is almost invariant from one, which

200 reveals that the field is close to the magnitude of the incident field across the aggregate.  
 201 Fig. 1(f), however, shows a clear decay of the field magnitude along  $x$ . The decay can be  
 202 explained by absorption of the incident field; an effect that is neglected by the RDG-FA. In-  
 203 deed,  $\text{Im}\{m\} = 0.96$  for Fig. 1(f), where  $\lambda = 266$  nm, which is larger than the corresponding  
 204 value for  $\lambda = 1064$  nm, i.e.,  $\text{Im}\{m\} = 0.26$ , Fig. 1(e).

205 To summarize the findings thus far, at large wavelength, the hypothesis of a uniform  
 206 near-field appears valid. Point contact between the monomers induce local “hot-spots” of  
 207 the internal electric field (internal coupling), which causes  $A > 1$  as revealed by the bi-  
 208 sphere analysis [21] and the large spread of phasors in Fig. 1(a). At smaller wavelength, the  
 209 absorption dominates causing  $A < 1$  with an effect becoming more marked as the aggregate  
 210 increases in size. Thus, we qualitatively explain the observations reported in [19, 20].

### 211 3.2. Sliced phasors

212 The qualitative difference between the spread of points in Fig. 1(a) and Fig. 1(b) suggests  
 213 that the phasors may be helpful to understand the effect of absorption on the RDG-FA  
 214 correction factors. The effects of absorption are expected to be most noticeable by analyzing  
 215 the phasors as groups in a way that isolates the absorption-based decay in magnitude of the  
 216 internal field. Returning to the VIE of Eq. (2), from which the phasors are eventually derived  
 217 in Eq. (5), one sees that  $\mathbf{E}^{\text{int}}$  is accompanied by the term  $\exp(-ik\hat{\mathbf{r}} \cdot \mathbf{r}_i)$ . When evaluated  
 218 in the forward-scattering direction,  $\hat{\mathbf{r}} = \hat{\mathbf{x}}$ , the phase of this term becomes  $-ikx_i$ , which is a  
 219 constant across the  $y$ - $z$  plane for the given value of  $x_i$ ; this is why the  $y$ - $z$  plane is specifically  
 220 considered in Fig. 1(e) and Fig. 1(f). The variation in the phasor *magnitudes* corresponding  
 221 to volume elements in the plane are then only due to the internal field component,  $E_y^{\text{int}}$ .

222 With this understanding, let  $\Pi(x)$  be the  $y$ - $z$  plane at  $x$  and define a “sliced phasor” as

$$\overline{z_y(x)} = \frac{1}{N_d(x)} \sum_{\substack{i=1 \\ i \in \Pi(x)}}^{N_d(x)} z_{y,i}(\hat{\mathbf{x}}), \quad (9)$$

223 where  $N_d(x)$  is the number of dipoles (volume elements) of the aggregate that reside in the  
 224 plane  $\Pi(x)$ . Equation (9) represents the average of the phasors in the  $\Pi(x)$  plane, which  
 225 means that all of these phasors will have the same phase factor discussed above. Then, sum

226 all of the sliced phasors for the complete aggregate:

$$z_y(\psi) = \frac{1}{N_d} \sum_x \overline{z_y(x)} N_d(x), \quad (10)$$

227 where  $\psi$  expresses the (implicit) aggregate orientation. With this definition,  $|z_y(\psi)|^2 =$   
 228  $A_{\text{vv}}(\psi)$  because of the weighting factor  $N_d(x)$  included in Eq. (10), i.e.,  $\sum_x N_d(x) = N_d$ .

229 In order to obtain the RDG-FA correction factor for forward scattering,  $A$ , the sliced-  
 230 phasors must be averaged over aggregate orientation. To do this, the orientation average of  
 231 a sliced phasor,  $\langle \overline{z_y(x)} \rangle_\psi$ , is calculated in addition to the orientation average of the number  
 232 of dipoles  $\langle N_d(x) \rangle_\psi$  in the associated plane  $\Pi(x)$ . Then, the orientation averaged correction  
 233 factor is taken as

$$A_{\text{vv}}^{\text{slice}} = \left| \frac{1}{N_d} \sum_x \langle \overline{z_y(x)} \rangle_\psi \langle N_d(x) \rangle_\psi \right|^2, \quad (11)$$

234 The fact that squaring is done before or after the orientation averaging makes  $A_{\text{vv}}^{\text{slice}}$  not  
 235 strictly identical to  $\langle A_{\text{vv}}(\psi) \rangle$  and thus to  $A$ . Nevertheless, due to the weak effect of the  
 236 orientation on the sliced phasors, this approximation is shown to be acceptable as confirmed  
 237 by Fig. 2 that reports the correlation between the exact forward scattering correction factor  
 238  $A$  and the newly introduced one based on the orientation averaged sliced phasor  $A_{\text{vv}}^{\text{slice}}$ . The  
 239 correlation is shown for 4 different DLCA aggregates with different numbers of primary  
 240 particles ( $N_m = 10$ ,  $N_m = 100$ ,  $N_m = 284$ ,  $N_m = 444$  with a monomer radius  $R_m = 15$  nm)  
 241 by varying the wavelength and the refractive index considering organic, amorphous and  
 242 graphitic material in respect of the Table 1 in [31]. As can be seen in the figure both  
 243 quantities are linearly correlated (slope coefficient of  $0.994 \pm 0.002$  with a Pearson's linear  
 244 coefficient of determination 0.997). This result supports the idea that correction  $A$  is only  
 245 driven by the  $x$  dependence of the phasor.

### 246 3.3. Effect of monomer number

247 In this section, the effect of the number of monomers,  $N_m$ , on the RDG-FA forward-  
 248 scattering correction factor is studied in terms of the sliced phasors of Eq. (9). To do this,  
 249 express a given orientation-averaged slice phasor as:

$$\langle \overline{z_y(x)} \rangle_\psi = \Lambda(x) \exp[i\Theta(x)], \quad (12)$$

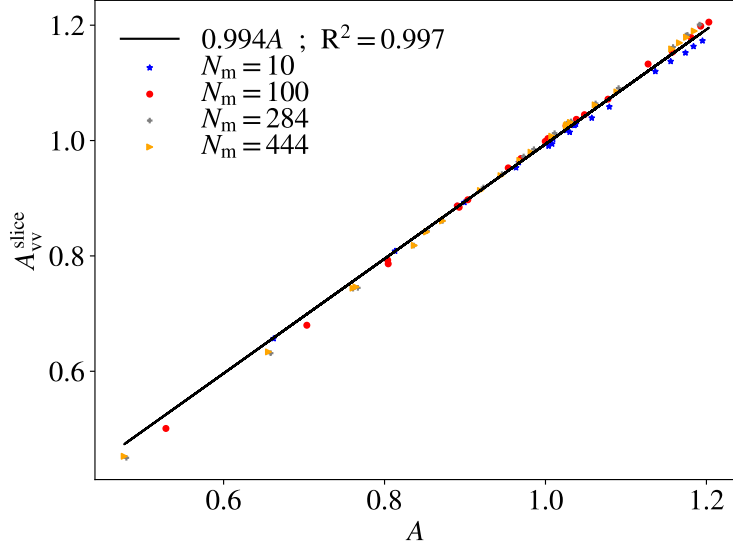


Figure 2: Correlation between the exact forward scattering correction factor  $A$  and the newly introduced one based on orientation averaged sliced phasor  $A_{\text{VV}}^{\text{slice}}$ . A linear regression is displayed in a continuous black line.

250 where  $\Lambda$  represents the magnitude of the phasor  $|\langle \overline{z_y(x)} \rangle_\psi|$  and  $\Theta$  represents its phase angle  
251  $\tan^{-1} \left[ \text{Im} \left\{ \langle \overline{z_y(x)} \rangle_\psi \right\} / \text{Re} \left\{ \langle \overline{z_y(x)} \rangle_\psi \right\} \right]$ . Figure 3 shows the  $\Lambda$  in plot (a) and the phase  $\Theta$   
252 in plot (b) as a function of the coordinate  $x$  for the  $\Pi(x)$  plane. Here, the  $x$  coordinate is  
253 normalized by the monomer radius  $R_m$  rather than the gyration radius  $R_g$  (see [Appendix](#)  
254 [A](#)), i.e.,  $x/R_m$  where  $R_m = 15$  nm. Here, six different DLCA aggregates are considered  
255 with different  $N_m$  as indicated. For the illustration, the wavelength and refractive index are,  
256 respectively,  $\lambda = 266$  nm and  $m = 1.75 + 1.03i$ . The chosen optical index has been reported  
257 recently as a good approximation for mature soot at 550nm [39]. In the following, this optical  
258 index will be considered constant at any wavelength when dealing with the parametric study  
259 of the wavelength even if the optical index should vary with  $\lambda$ . This dependence to the  
260 wavelength will be considered later for graphitic, organic and amorphous materials. In the  
261 present case, the shorter wavelength is considered without loss of generality for illustration  
262 since RDG-FA is less accurate. As  $N_m$  increases the larger the aggregate is, and the longer  
263 the curve extends along the  $x/R_m$  axis. One will notice that the curves for  $\Lambda$  show a similar  
264 trend overall that appears independent of the aggregate size. The magnitude,  $\Lambda$  in (a),  
265 decays with  $x$  whereas the phase shift,  $\Theta$  in (b), grows with  $x$ . Recall that the incident wave

266 propagates along the  $x$ -axis, and thus, this decay of  $\Lambda$  is unambiguously due to absorption  
 267 in the aggregate because of the direct dependence of the sliced phasors on the internal field.  
 268 The reason that the trends in Fig. 3(a) or Fig. 3(b) are similar is thought to be because the  
 269 aggregates share similar morphological parameters,  $D_f$ ,  $k_f$  and  $R_m$ . In Fig. 3(c) is plotted  
 270 the average number of dipoles  $\langle N_d(x) \rangle$  in the  $\Pi$  plane at  $x$ . The curves grow from zero to a  
 271 maximum with  $x$  and decrease back to zero because  $\Pi$  encompasses more dipoles as it scans  
 272 from outside the aggregate up to the aggregate's center. The comparison between aggregates  
 273 and spheres of equivalent volume (equivalent volume radius:  $R_v = N_m^{1/3} R_m$ ) is presented in  
 274 graphs (a) and (b). The  $x$  coordinates of the spheres are normalized by the monomer radius  
 275 of the aggregates ( $R_m = 15$  nm) in order to keep the same scale on the  $x$ -axis. Spheres  
 276 of equivalent volumes show more pronounced trends than aggregates. This is because the  
 277 volume density of a sphere is higher than that of an aggregate due to the fractal dimension  
 278  $D_f$ . The denser the material, the more pronounced the absorption and phase shift along the  
 279 volume.

280 A general conclusion from Fig. 3 is that whatever the size of the aggregate, the overall  
 281 behavior of a sliced phasor depends mainly on its distance  $x$  into the aggregate. Recalling  
 282 that the incident wave propagates along  $x$ , this behavior is similar to the Beer-Lambert law.  
 283 Thus, adding more monomers to an aggregate while keeping its  $D_f$ ,  $k_f$  and  $R_m$  constant will  
 284 further decrease the phasor magnitude and increase the phase shift for the largest values of  
 285  $x$ . In other words, there is a shielding effect that is driven primarily by absorption and not  
 286 by multiple scattering. This is consistent with findings in [21, 42] where coupling effects are  
 287 limited several monomer radii for DLCA aggregates. The exception to this behavior is seen  
 288 when  $x$  is small and where  $\Lambda$  exceeds one. Given that  $\lambda = 266$  nm and  $R_m = 15$  nm, the  
 289 monomer size-parameter is  $x_m = kR_m = 0.35$ , which from [21] favors the appearance of "hot  
 290 spots" in the vicinity of point-contact between neighboring monomers.

291 Nevertheless, at short  $x$ , where the quantity of material interacting with the light is  
 292 reduced and phase shift quite null (b), the amplitude of the phasor can be larger than  
 293 1 (notice the amplitude of the incident light  $E_0$  in Eq. (4) is 1). This is explained by the  
 294 internal coupling enhanced by the contact between spheres. This phenomenon is predominant  
 295 compared to the self-absorption phenomena only for small  $x$ .

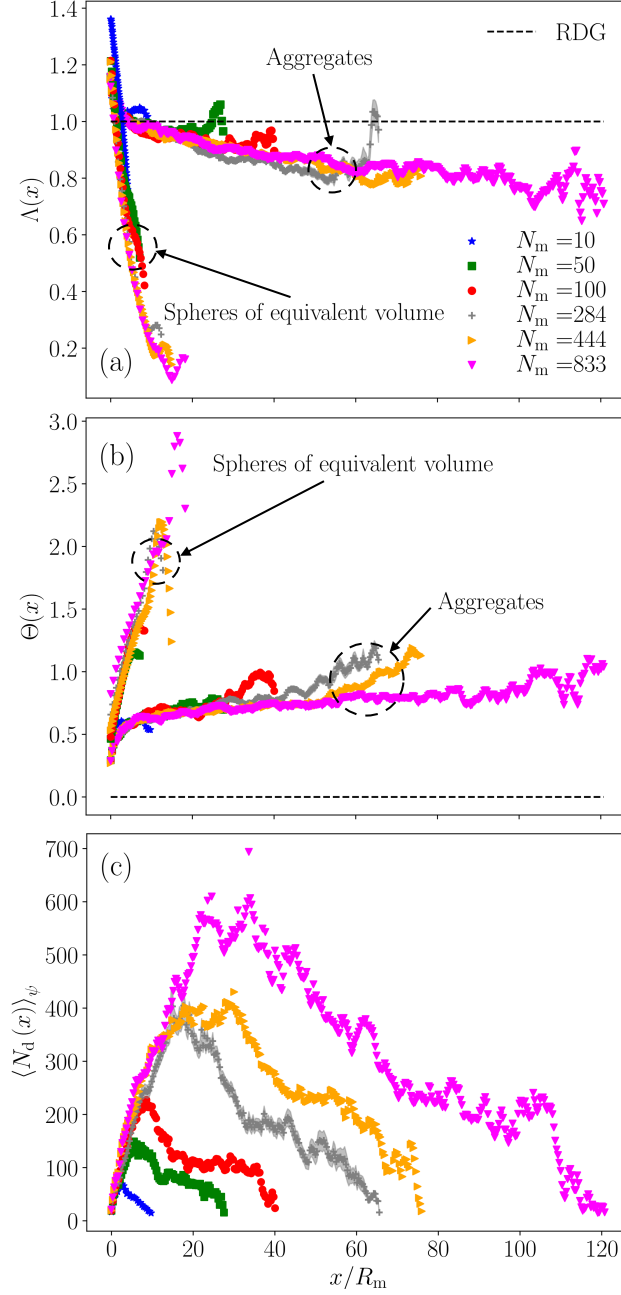


Figure 3: Impact of the number of monomers on the sliced phasor dependence on the position in the aggregates or the spheres of equivalent volume. Plot (a) reports the amplitude  $\Lambda(x)$ , plot (b) the phase  $\Theta(x)$  and plot (c), the averaged number of dipoles per slice. The radius of the monomers of the DLCA aggregates is kept constant ( $R_m = 15$  nm) as well as the wavelength ( $\lambda = 266$  nm) and refractive index ( $m = 1.75 + i1.03$ , [38]). The continuous grey interval surrounding the  $N_m = 284$  curve (symbols : plus sign in grey) corresponds to a confidence interval at 95%.



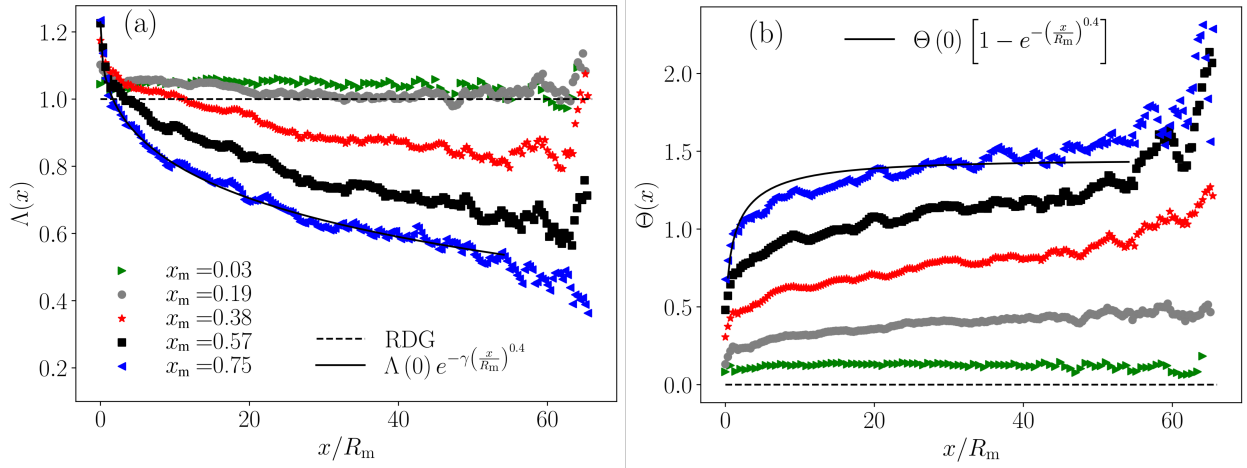


Figure 4: Plots of the amplitude  $\Lambda(x)$  (plot (a)) and phase  $\Theta(x)$  (plot (b)) versus the normalized axis of the light propagation  $x/R_m$  of an DLCA aggregate composed of 284 monomers with a monomer radius  $R_m = 15$  nm and a refractive index  $m = 1.75 + i1.03$  for different size parameters  $x_m$ . The continuous black lines correspond of an empirical fit of the phasor amplitude by  $\Lambda(x) = \Lambda(0)e^{-\gamma(\frac{x}{R_m})^{0.4}}$  (a) and its phase by  $\Theta(x) = \Theta(0) \left[ 1 - e^{-\left(\frac{x}{R_m}\right)^{0.4}} \right]$  (b).

297 To study the effect of monomer size on the sliced phasor behavior, the monomer size  
 298 parameter  $x_m = kR_m$  is varied in Fig. 4 for the same aggregate with  $N_m = 284$  for  $\lambda = 266$  nm  
 299 and  $m = 1.75 + 1.03i$ . This consists of a dilation of the objects which then retain their  
 300 morphology ( $N_m$ ,  $D_f$  and  $k_f$ ). Increasing  $R_m$  will change the internal (dipole-dipole) coupling  
 301 within the monomers, generally resulting in greater departure of the internal field from the  
 302 RDG-FA prediction. Increasing  $R_m$  will also mean absorption will further decrease the  
 303 phasor magnitudes  $\Lambda$  while increasing their phase  $\Theta$ . For small  $x_m < 0.20$ , the impact of  
 304 absorption is negligible and  $\Lambda \sim 1$  (slightly greater than 1 due to the hot spots) and the  
 305 phase is small,  $\Theta \ll 1$ . Thus,  $\langle \overline{z_y(x)} \rangle_\psi \approx 1$  and  $A \approx 1$ , meaning that the RDG-FA is valid.  
 306 As  $R_m$  increases, absorption occurs over a larger volume explaining the progressive deviation  
 307 of  $\langle \overline{z_y(x)} \rangle_\psi$  and of  $A$  from one, revealing the inaccuracy of the RDG-FA theory. Indeed, [43]  
 308 shows that the RDG-FA is a reasonable approximation to within 10% error if  $x_m < 0.3$ .

309 **4. Unification**

310 From Figs. 1-4, one can see the competition between the effects of internal-field enhance-  
 311 ments (hot spots), which increase the phasor magnitudes, and absorption, which decreases  
 312 the phasors magnitudes and increases their phase angle. Absorption explains the aggregate-  
 313 size dependence of the forward-scattering correction factor for the RDG-FA. Yet both effects  
 314 are affected by  $x_m$  and  $m$ . As illustrated in Fig. 4, the  $x$  dependence of the sliced phasor  
 315 magnitudes (a) and phasor phase (b) can be fit empirically by

$$\Lambda(x) = \Lambda(0)e^{-\gamma\left(\frac{x}{R_m}\right)^{0.4}} \quad \text{and} \quad \Theta(x) = \Theta(0) \left[1 - e^{-\left(\frac{x}{R_m}\right)^{0.4}}\right]. \quad (13)$$

316 The functions in Eq. (13) are shown in Fig. 4 by continuous black lines. Note that the fit  
 317 to  $\Lambda(x)$  is an exponential decay, which, again, is reminiscent of the Beer-Lambert for the  
 318 attenuation of intensity through the aggregate due to absorption.

319 The phasor-magnitude behaviour is driven by two parameters, namely  $\Lambda(0)$  and  $\gamma$ . The  
 320 former is insensitive to absorption, and thus, only depends on the strength of coupling  
 321 between monomers and internal coupling within the monomers themselves, i.e., hot spots.  
 322 The other parameter,  $\gamma$ , is determined by the absorption decay; the greater  $\gamma$  is, the more  
 323 absorption will be important for the correction factor. With regard to the phase fit in  
 324 Eq. (13), a single parameter is involved,  $\Theta(0)$ . This parameter corresponds to the phase  
 325 shift due to the first plane  $\Pi$  to intersect the aggregate along the  $x$ -axis in the direction of  
 326 propagation of the incident wave. In other words, this part of the aggregate is the first to  
 327 “see” the wave. Initially the power 0.4 was also considered as a variable parameter but we  
 328 observed that fixing it at 0.4 had a reduced impact.

329 Figure 5 reveals a universal behavior of the three governing parameters  $\Lambda(0)$ ,  $\gamma$  and  
 330  $\Theta(0)$  by varying the wavelength from  $\lambda = 266$  nm to  $\lambda = 1064$  nm. Due to dispersion,  
 331  $m$  will change with  $\lambda$  and this wavelength dependence is known for graphitic, amorphous,  
 332 and organic soot material [31]. However, the wavelength dependence also complicates an  
 333 understanding for how the wavelength affects the fit parameters of Eq. (13). Thus, Fig. 5  
 334 considers two cases: The data shown in black triangles shows the fit parameters as  $\lambda$  varies  
 335 for a *constant* refractive index  $m = 1.75 + 1.03i$  in order to isolate the effect of the refractive  
 336 index. The other data points show the parameters when the wavelength dependence of the

337 optical index is taken into account.

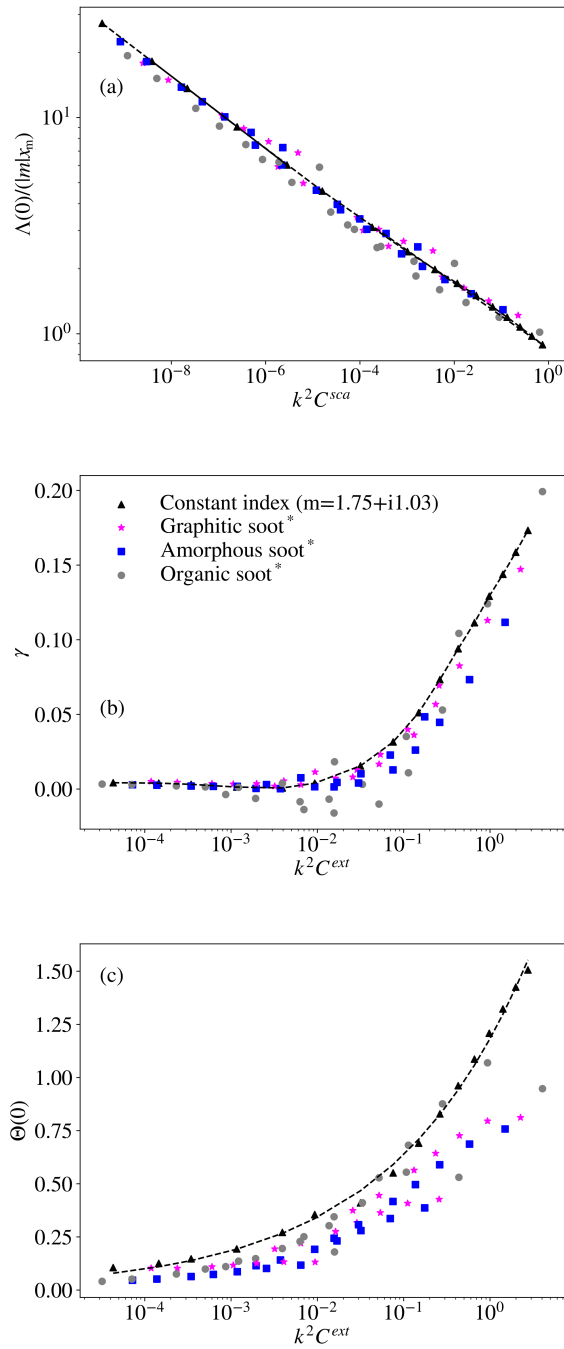


Figure 5: Parameters of the modelled amplitude of the phasor ( $\Lambda_i(0)$  and  $\gamma$ ) and phase ( $\Theta_i(0)$ ) of the phasor as it evolves by crossing the aggregates. The symbol \* in the legend refers to the optical indices provided by Bescond et al.[31] which vary with wavelength.

338 Recall that  $\Lambda(0)$  is driven by the internal coupling between neighboring monomers. Thus,

339 it seems plausible that this coupling is related to the scattering efficiency of each monomer.  
 340 This is confirmed by Fig 5(a), where  $\Lambda(0)$  is shown normalized by the factor  $|m|x_m$  (found  
 341 empirically) as a function of the dimensionless product  $k^2C^{\text{sca}}$  where  $C^{\text{sca}}$  is the total scat-  
 342 tering cross section of an isolated monomer in the RDG-FA:

$$C^{\text{sca}} = \frac{8\pi}{3}k^4R_m^6F(m), \quad C^{\text{abs}} = 4\pi kR_m^3E(m), \quad C^{\text{ext}} = C^{\text{sca}} + C^{\text{abs}}, \quad (14)$$

343 where  $F(m)$  is the norm squared and  $E(m)$  the imaginary part of the Lorentz-Lorenz factor  
 344  $(m^2 - 1)/(m^2 + 2)$ . The product of  $k^2$  with the optical cross sections ensures that the scale  
 345 invariance rule (SIR) [44] is obeyed for the case that  $m$  is invariant with  $\lambda$ , i.e., in our  
 346 case  $m = 1.75 + i1.03$ . Note that the linear dependence observed in the log-log plot (a) is  
 347 dominated by the  $\lambda$  dependence for fixed  $m$  and  $R_m$ .

348 Fig 5(b) shows the behaviour of  $\gamma$ , which represents absorption in the aggregate, and  
 349 thus, should be function of the extinction cross section  $C^{\text{ext}}$  of the monomers via Eq. (14).  
 350 Obviously, the more the monomers absorb, the greater the  $C^{\text{ext}}$ , and the more efficient light  
 351 is removed by the entire aggregate. The effect is not linear and absorption appears significant  
 352 for  $k^2C^{\text{ext}} \geq 0.02$ . Note that negative values are shown in (b), in particular for the organic  
 353 material. This means that the amplitude of the phasor tends to increase leading to  $A \geq 1$   
 354 (as already observed in Fig. 13 of [20] ). In that case, local “hot-spots” of the internal  
 355 electric field dominate the absorption. Figure 5(c) shows that the phase shift induced by the  
 356 aggregate depends on its capacity to extinguish the light.

357 Despite the quasi-universal behaviour in Fig 5, the detailed behavior of the data is not  
 358 perfect due to dispersion,  $m(\lambda)$ . Dispersion violates the standardization predicted by use of  
 359 the SIR. Indeed, the reader can observe that the curve corresponding to constant refractive  
 360 index (black triangles) is perfectly continuous since only the wavelength varies, contrarily to  
 361 other wavelength-dependent cases.

## 362 5. Improved correction of the RDG-FA for forward scattering

363 Based on the findings above, a semi-empirical model for the correction to the RDG-FA for  
 364 forward scattering in the vertical-vertical polarization configuration is proposed in Eq. (15).  
 365 This is a continuous expression of Eq. (11) expressed in terms of amplitude and phase of the

366 sliced phasor  $\langle \overline{z_y(x)} \rangle_\psi$ .

$$A_M = \left| \frac{\int_0^{2R_{\max}} \Lambda(x) \exp [i\Theta(x)] dV(x)}{\int_0^{2R_{\max}} dV(x)} \right|^2. \quad (15)$$

367 Here,  $R_{\max}$  is the radius of a sphere encompassing an aggregate,  $dV(x)$  models the volume  
 368 of material contained in a slice of thickness  $dx$  of the sphere in the  $y$ - $z$  plane (see Fig. 6)  
 369 and the subscript “m” stands for model. Note that, since the volume distribution of the  
 370 encompassing sphere follows the fractal law its distribution differs from the one of a “full”  
 371 sphere (see Fig. B.11). The volume element is taken as:

$$dV(x) = \alpha (R_m, D_f, \varphi) G(x, D_f, R_m) dx. \quad (16)$$

372 where  $\alpha$  and  $G$  are functions whose development from the fractal scaling law, Eq. (1), are  
 373 reported in Appendix B. Note that,  $A_M$  depends only on  $G$  since  $\alpha$  in Eq. (16) is independent  
 374 of  $x$  and thus divides out from the fraction in Eq. (15).

375 Figure 7 shows  $A_M$  as a function of the exact correction  $A$  for different numbers of  
 376 monomers per aggregate. For each case, the model is tested by fitting the sliced phasor  
 377 results as described above for wavelengths between  $\lambda = 266$  nm and  $\lambda = 1064$  nm. As with  
 378 Fig. 5, dispersion is taken into account here. Even if the model is tested for a large range  
 379 of wavelengths and covers very different soot material, the agreement is good as the slope is  
 380  $0.997 \pm 0.005$ .

381 The model is valid only for  $N_m \geq 10$  corresponding to the minimum aggregate-size that  
 382 expresses the fractal properties of an aggregate. In order to extend the validity of the model  
 383 to smaller aggregates, an extended model  $A_{EM}$  is proposed, which empirically forces the  
 384 correction to one for  $N_m = 1$ :

$$A_{EM} = A_M (1 - A_M) \exp \left( -\sqrt{\frac{N_m - 1}{N_c}} \right), \quad (17)$$

385 where  $N_c = 9/4$  is related to the critical number of monomers below which the Eq. (15)  
 386 requires modification.

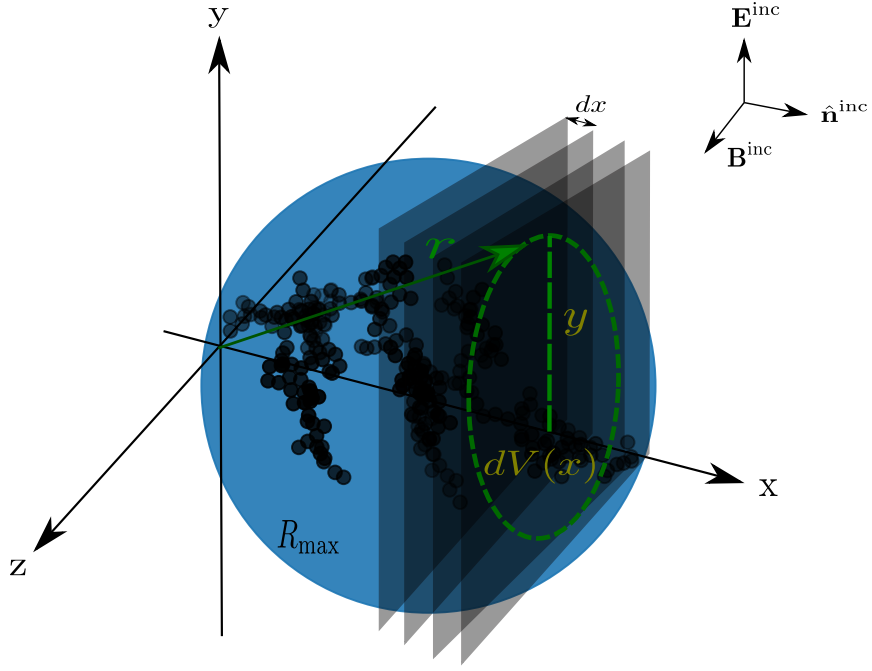


Figure 6: Depiction of the slicing method for the volume of the encompassing sphere of radius  $R_{\max}$ .

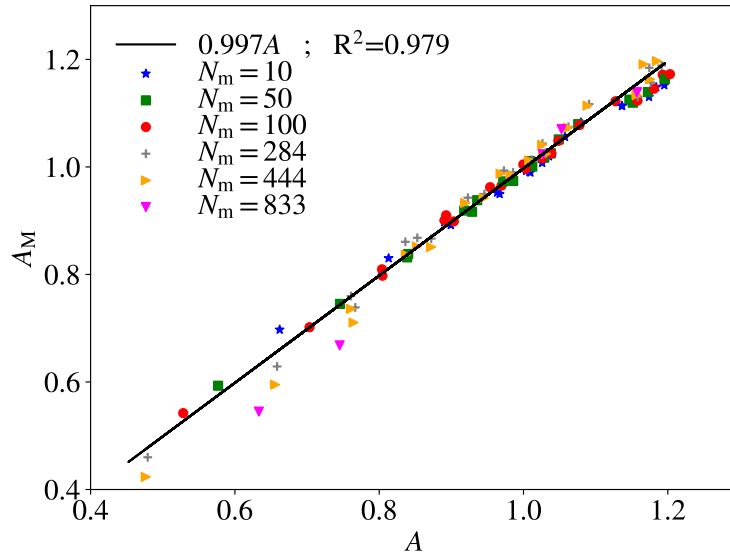


Figure 7: Comparison between the modelled RDG-FA correction for the vertically-vertically polarized forward scattering and the exact one  $A$ . The comparison covers the range of wavelengths 266–1064 nm and the corresponding refractive index for organic, graphitic and amorphous soot material.  $R_m = 15$  nm. A linear regression is displayed as a continuous black line.

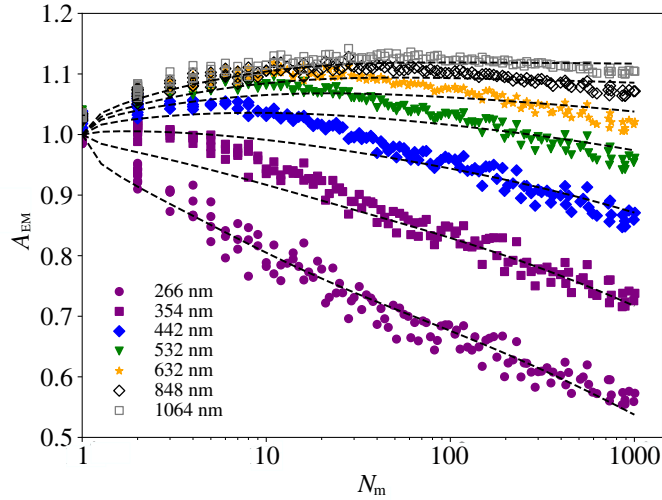


Figure 8: Comparison of the proposed model of correction  $A_{EM}$  (dash lines) and the exact correction  $A$  (symbols) reported by Yon et al. [19] for Diesel.

387 Figure 8 shows in symbols the correction factor found by [19] for the same wavelength  
388 range as considered here, but with a different  $m$  appropriate for Diesel soot. These aggregates  
389 are also generated by a DLCA code, i.e.,  $D_f = 1.78$  and  $k_f = 1.44$ , but the monomers feature a  
390 Gaussian dispersion in size characterized by a mean radius of  $\overline{R_m} = 17.1$  nm and a standard  
391 deviation of 3.43 nm. To compare to this data, Eq. (15) and Eq. (17) are evaluated by  
392 computing the sliced phasor behaviour. An ergodicity hypothesis is made to evaluate the  
393 phasors no longer on 500 orientations of the same aggregate but rather on 1000 different  
394 aggregates each in a random orientation. These aggregates vary in size, from  $N_m = 10$  to  
395  $N_m = 1000$ , and have a monomer radius  $R_m = 17$  nm, i.e., the polydispersity of [19] is  
396 not taken into account. The results for these 1000 aggregates are reported in dashed lines  
397 in Fig 8, where comparison to the [19] data (symbols) illustrates the performance of the  
398 proposed model, Eq. (17). The deviations seen can be partially explained by the fact that  
399 the polydispersity is neglected and that there is a loss of the fractal nature of the aggregate  
400 for small  $N_m$ . Nevertheless, the new correction factor model, Eq. (17), performs well and  
401 is thus useful to extend the range of validity of the RDG-FA to large aggregates for a large  
402 range of soot optical properties.

## 403 6. Total scattering and absorption

404 It is reported in [19] that for fractal aggregates multiple scattering mainly affects forward  
405 scattering and does not significantly impact the functionality of the phase function, i.e, the  
406 structure of the angular scattered intensity. Thus, the total scattering cross section,  $C^{\text{sca}}$ ,  
407 can be obtained by applying the same correction factor as above as for forward scattering.  
408 Furthermore, a linear relationship between the RDG-FA correction factor for forward scat-  
409 tering and the absorption cross section is empirically observed for soot without coating in  
410 [9, 19, 20, 45]. We have recently explained this linearity by showing the absorption cross  
411 section correction factor,  $h$ , can be formulated in terms of the averaged phasors as

$$h_v(\psi) = \overline{|z_x|^2} + \overline{|z_y|^2} + \overline{|z_z|^2}, \quad (18)$$

412 where  $x$ ,  $y$ , and  $z$  denote the components of the internal electric field used in the phasors, see  
413 Appendix F. in [21]. However, as seen in [21], the components  $\overline{|z_x|^2}$  and  $\overline{|z_z|^2}$  are practically  
414 negligible compared to  $\overline{|z_y|^2}$  for a bisphere. This seems to be also true for aggregates. Indeed,  
415 the contribution of  $\overline{|z_x|^2}$  and  $\overline{|z_z|^2}$  to the total correction  $h$  is evaluated for the aggregate  
416 reported in Fig. 1 to be respectively 4% and 3.5% for  $\lambda = 266$  nm and 1.6% and 1.6% for  
417  $\lambda = 1064$  nm. This explains the observed linear relationship between  $h$  and  $A$  which is  
418 proportionnal to  $\overline{|z_y|^2}$ . Consequently,  $A_{\text{EM}}$  is linearly connected to  $h$ , which can be shown  
419 rigorously from the ratio between the DDA absorption cross section and that given by the  
420 RDG-FA. This relationship is demonstrated in Fig. 9 for different aggregate sizes for the  
421 same range of  $\lambda$  and  $m$  as in Fig. 7. The linearity is evident and the slope is similar to that  
422 in [19]. Thus, the  $h$  correction is simply a multiplication of  $A_{\text{M}}$  by  $1.071 \pm 0.007$ , the slope  
423 observed in Fig. 9. For aggregates with small  $N_{\text{m}}$ , a similar correction to Eq. (17) could be  
424 applied.

## 425 7. Conclusion

426 The RDG-FA is a simple theory that is extensively used for the modeling of soot radiative  
427 properties and for the interpretation of signals delivered by laser diagnostics. Nevertheless,  
428 soot particles and other absorbing aggregates of nanoparticles generally do not fulfill the  
429 requirements of the approximation,  $x_{\text{m}} \ll 1$  and  $|m(\lambda) - 1| \ll 1$ , rendering it use in such



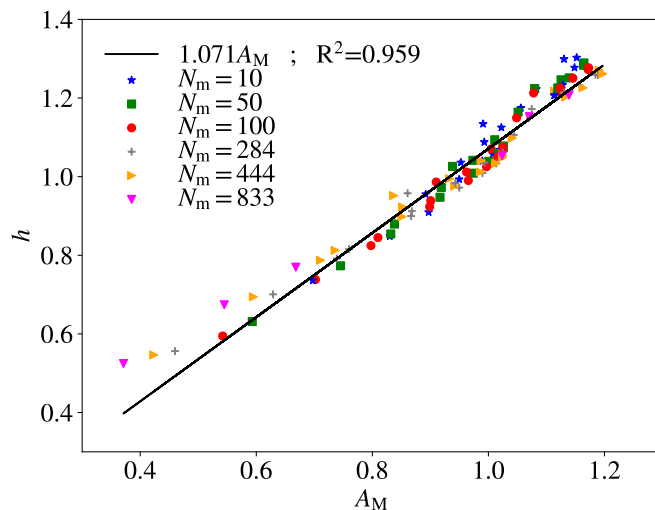


Figure 9: Correlation between the absorption correction factor  $h$  and our own model  $A_M$ . A linear regression is displayed in a continuous black line.

430 cases approximate. Indeed, errors up to 60% have been reported. Although deviations  
 431 between the RDG-FA cross sections and the exact values have been observed in previous  
 432 works, no model has been proposed to correct them. Our study provides such a correction  
 433 model valid over a large range of wavelength and for refractive indices relevant to black  
 434 carbon, organic carbon, graphitic, amorphous, and diesel-like soot materials. The model is  
 435 made possible by the analysis of the internal electric field using phasors.

436 Here, to study the behaviour of the internal field as light passes through an aggregate,  
 437 phasors are averaged in slices through the aggregate, orthogonal to light propagation direc-  
 438 tion. We see that corrections to the RDG-FA have to take into account two phenomena.  
 439 First, the internal coupling between monomers is generally a source of field hot-spots in the  
 440 vicinity of the contact between monomers. Second, absorption decreases the amplitude of  
 441 the internal field and increases the phase shift as the light propagates through the aggregate.  
 442 The first phenomenon violates the RDG-FA hypothesis of a uniform internal field and is  
 443 characterized by a short spatial range. It can explain the underestimation of the RDG-FA  
 444 cross sections,  $A \geq 1$ . The second phenomenon violates the hypothesis that each monomer  
 445 is exposed to the same incident field and explains why the RDG-FA overestimation is en-  
 446 hanced when the number of monomers increases (a shielding effect). Both phenomena and

447 their dependence on location in the aggregate are analysed through the dependence of three  
448 parameters for different wavelengths and refractive indices. Due to the direct relationship  
449 between the phasors and the RDG-FA correction factors, this work allows the evaluation of  
450 the correction factors for scattering and absorption. The corrections are compared to exact  
451 calculations showing good agreement for a wide range of wavelength, aggregate size, and  
452 soot material.

453 Beyond improving our understanding, this work advances the range of validity of the  
454 RDG-FA in applications involving soot and BC particles, both in modeling and diagnostics  
455 contexts, while also being easy to apply. The reader can download a python script for  
456 calculating the corrected RDG-FA based on the current work by using the link <https://gitlab.coria-cfd.fr/c-rdgfa/C-RDGFA>.  
457

458 As a pursuit of this work, the current methodology will be applied to more realistic ag-  
459 gregates by considering, for example, monomer polydispersity, necking, overlap and different  
460 fractal dimension and prefactor. It could be also adapted to larger range of refractive indices  
461 in order to be applicable to other aggregate materials.

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## 470 **Appendix A. Size normalization**

471 Figure A.10 reports the amplitude of the sliced phasor at the position  $x$  by comparing  
472 the normalization of this positioning parameter. The left plot normalizes the position  $x$  by  
473 the gyration radius  $R_g$  whereas the right plot considers the monomer radius  $R_m$ . As it can  
474 be seen the normalization by  $R_m$  produced a better unification of the results. The reason of

475 this better agreement is that  $R_m$  normalization is representative of the amount of material  
 476 crossed by the incoming light source whatever the aggregate's size.

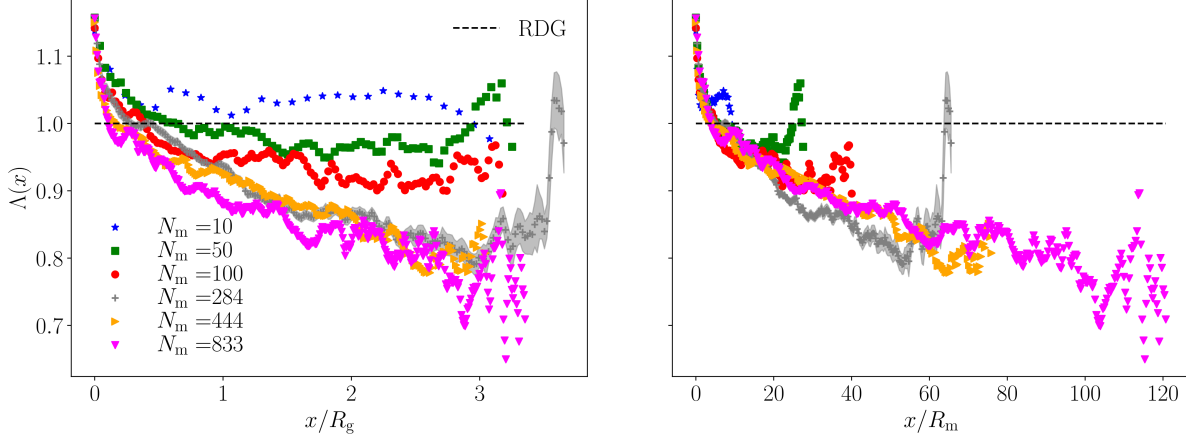


Figure A.10: Impact of the normalization of  $x$  by  $R_g$  or  $R_m$  on the sliced phasor amplitude  $\Lambda(x)$ .

## 477 Appendix B. Volume distribution

478 In order to compute  $A$ , the phasor approach in slices implies to use the number of dipoles,  
 479 i.e., the volume in each disk of thickness  $dx$  in Fig. 6 as a weighting factor in Eq. (15). We  
 480 can express the number of monomers of an aggregate of radius  $R_{\max}$ , contained in a sphere  
 481 of radius  $r$  whose center corresponds to the aggregate center of mass, with  $r \in [0, R_{\max}]$  [46]:

$$482 \quad N_m(r) = \varphi \left( \frac{r}{R_m} \right)^{D_f}. \quad (\text{B.1})$$

483 In this equation,  $\varphi$  is the packing fraction which represents a local compaction in the aggregate.  
 484 It is different from the fractal prefactor  $k_f$  used in Eq. (1) since  $r$  is a variable which  
 485 not corresponds to the gyration radius of the aggregate [34]. From this equation, one can  
 486 express the probability to find material at a distance  $r$  from the aggregate's center of mass:

$$\rho(r) = \frac{1}{4\pi r^2} \frac{dN_m(r)}{dr} V_m = \frac{\varphi D_f}{3} \left( \frac{r}{R_m} \right)^{D_f-3}, \quad (\text{B.2})$$

487 with  $(V_m = 4\pi R_m^3/3)$ , the volume of a monomer. Therefore, the elementary volume of  
 488 aggregate in the plane  $\Pi$  corresponding to the green dash circle in Fig. 6, having a radius  $y$

489 becomes:

$$dV(x, y) = 2\pi\rho(r)ydydx. \quad (\text{B.3})$$

490 By integrating this expression in the plane II, the elementary volume of material contained  
 491 in a slice of thickness  $dx$  positioned at a distance  $x \geq R_m$  from the left part of the aggregate  
 492 is:

$$dV(x) = \underbrace{\frac{2\pi\varphi D_f dx}{3R_m^{D_f-3}}}_{\alpha(R_m, D_f, \varphi)} \underbrace{\int_0^{\sqrt{r^2 - (x-2R_{\max})^2}} y [(x - R_{\max})^2 + y^2]^{\frac{D_f-3}{2}} dy}_{G(x, D_f, R_m)}. \quad (\text{B.4})$$

493 By symmetry from the aggregate center of mass, for  $x \leq R_m$ , the expression is identical  
 494 excepted the upper bound of the integral that becomes  $\sqrt{r^2 - x^2}$ .

495 Fig. B.11 shows the normalized volume distribution  $\int_0^{2R_{\max}} dV(x)/V$  along the normalized  
 496  $x/2R_{\max}$  abscissa for the porous encompassing sphere which models a DLCA aggregate  
 497 ( $D_f = 1.78$ ) averaged over orientations and the one from a full sphere (dimension  $D_f = 3$ ).

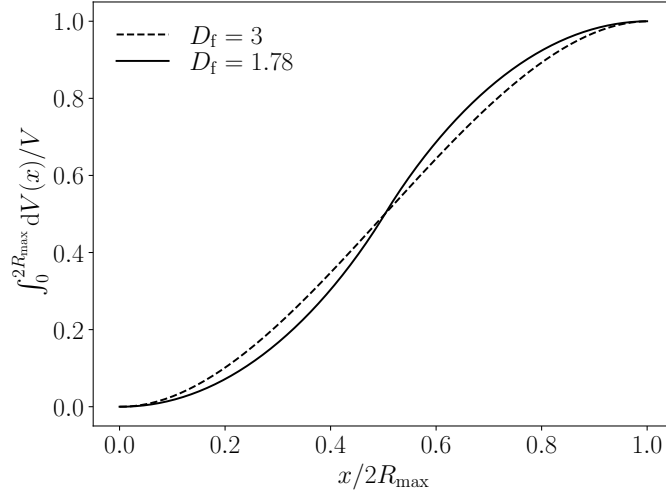


Figure B.11: Normalized volume distribution of the encompassing sphere.

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