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Tunable multidispersive bands of inductive origin in piezoelectric phononic plates

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A variety of multidispersive, localized or extended in frequency, bands, induced by inductance-based external electric circuits in piezoelectric phononic plates, is studied both theoretically and experimentally in this work. Their origin, tightly related to an equivalent LC-circuit behavior is analyzed in detail and their interaction with the Lamb-like guided modes of the plate is also discussed. These bands, easily tuned by the choice of the parameters of the external electric circuitry lead to a non-destructive, real-time control of the dispersion characteristics of these structures. Our device and analysis can find application in improvement of surface acoustic wave (SAW) components, by offering additional degrees of freedom.

1 I. INTRODUCTION

Controlling the dispersion of elastic waves has be-2 ³ come a key issue when dealing with sound mitigation, ⁴ vibration energy harvesting and, more generally, appli-⁵ cations in which elastic waves have to be guided, colli-6 mated or trapped. The use of metamaterials has been 7 an important step towards the feasibility of this con-⁸ trol¹⁻³ by shaping the dispersion relation of these com-⁹ plex media. Several approaches have been proposed, the 10 most common being the periodic structuration of the ¹¹ medium (phononic crystal) leading to folding of its fre-¹² quency bands and opening up of band gaps. To tailor the ¹³ band structure at subwavelength regime, a very promis-¹⁴ ing means has been the use of the locally-resonant mate-¹⁵ rials that produce strong dispersive effects related to neg-¹⁶ ative effective bulk modulus and mass density^{4–6}. These ¹⁷ structures suffer, however, from an intrinsic narrow fre-¹⁸ quency range behavior of the resonant phenomena. To ¹⁹ overcome this limitation, a more sophisticated structur-20 ing has been recently proposed, facilitated by the use ²¹ of new manufacturing techniques, such as 3D printing. ²² The concepts of rainbow metamaterials, gradient index 23 and hierarchical metamaterials allowing to model the dis-²⁴ persion of the waves on a broader frequency range have $_{25}$ emerged⁷⁻⁹.

However all these attractive properties of metamaterials, even in the case of wide-range frequency phenomence and will stay less appealing, especially for application purposes, if the question of frequency tunability in their response is not answered. For a fixed geometrical arrangement of the constituents of the complex medium, the resulting frequency response cannot be adapted in real time in a real device. A simple way to introduce this frequency tunability/agility lies in the use of active components whose control can be achieved with the help

³⁶ of an externally applied field (magnetic^{10,11}, electric¹², ³⁷ thermal^{13,14}, and radiative^{15,16}). The mechanical prop-³⁸ erties (mass density, elastic moduli) of the active compo-³⁹ nent are thus modified, leading to reversible changes in its ⁴⁰ frequency band structure. Among others, piezoelectric-⁴¹ based structures have been shown to be excellent can-⁴² didates for the real-time control of the propagation of ⁴³ elastic waves. The electro-mechanical coupling, natu-⁴⁴ rally present in these materials, offers a versatile tool ⁴⁵ to shape the effective elastic properties of the metamate-⁴⁶ rial, through a variety of electrically controlled external ⁴⁷ conditions applied at the structure^{17–26}.

18 In this paper, we use a piezoelectric material as a sub-⁴⁹ strate (plate) on which metallic strips (electrodes) are $_{50}$ structured. In previous works $^{23-26}$, some of us have ⁵¹ shown that propagation of Lamb-like guided modes in 52 these phononic crystal piezoceramic plates exhibits an 53 interesting tunable character easily controlled by exter-⁵⁴ nal electric circuits through appropriate electric bound-⁵⁵ ary conditions (EBCs) applied at the metallic strips. In 56 particular, the band structure of the plate is significantly 57 modulated by the presence of electric resonant modes ⁵⁸ originating from the external inductance-loads coupled ⁵⁹ to the effective capacitive behavior of the piezoelectric 60 plate. Some basic methodological tools of our analysis ⁶¹ are already presented in Ref. 26. Here, we extend them ⁶² in order to give a synthetic panel that these systems can 63 offer. We review under a new light some of the possi-⁶⁴ bilities to mould the dispersion characteristics of tunable ⁶⁵ localized bands originating from an equivalent *LC*-circuit ⁶⁶ behavior, inherent in piezoelectric phononic plates, when 67 loaded with inductance external circuits. We focus on $_{68}$ three typical representative cases including external L-69 based loads that generate, each of them, a variety of ⁷⁰ multidispersive, tunable bands of electromagnetic (EM) 71 origin, easily controlled via the external circuitry param-⁷² eters (the inductance, in our case). These cases, first 73 given in a qualitative schematic manner, cover, respec-74 tively, low, intermediate and higher frequency regions in ⁷⁵ a typical dispersion plot. Moreover, compared to previ-

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⁸⁶ as an additional degrees of freedom, which could provide ¹³⁸ by X. ⁸⁷ an improvement in the domain of SAW components.

The paper is organized as follows. In Sec. II we present 88 ⁸⁹ the general characteristics of the materials and structures ⁹⁰ considered in this study, and provide all necessary details ⁹¹ concerning the experimental setup employed for our mea-⁹² surements, as well as the methods and techniques used in ⁹³ the analysis of the experimental data and in the numer-⁹⁴ ical calculations, that follow in Sec. III. For the different ⁹⁵ structures under study, the analysis of their dispersion ⁹⁶ properties, strongly influenced by the external loads, in ⁹⁷ terms of underlying physical mechanisms responsible for ⁹⁸ these effects, as well as in comparison with their experi-⁹⁹ mental observation, constitute the main part of Sec. III, ¹⁰⁰ before concluding the paper in Sec. IV.

MATERIALS AND METHODS 101 1.

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Sample structure 103 **A**.

104 ¹⁰⁵ geneous piezoceramic plates whose both surfaces are dec-¹⁶⁰ structure, except otherwise stated. $_{106}$ orated with periodic one-dimensional (1D) arrays (lattice $_{161}$ A 200V-amplitude and 0.1μ s-width pulse signal is ap-108 115 the material.

116

⁷⁶ ous works²³⁻²⁶, an important improvement of our exper-¹²⁸ propriate EBCs at each pair of (up- and down-side) elec- π imental device —especially its electronic part— is made, 129 trodes. The latter is connected in the most general case ⁷⁸ towards a wider range of choices in real-time control and ¹³⁰ to external electric circuits of characteristic impedance ⁷⁹ automatization, combined to a robust and promising sig- $_{131}$ Z^u and Z^d, as schematically depicted in Fig. 1(c). In this $_{120}$ nal processing technique leading to a finer analysis of the $_{122}$ manner, the elementary blocks of length $a_0 = w + s$ may ⁸¹ experimental data. Usually, surface acoustic wave (SAW) ¹³³ have identical $(a = a_0)$ or different $(a = \kappa a_0, \kappa = 2, 3, ...)$ ⁸² devices offer only input and output ports, restricting the ¹³⁴ EBCs throughout the structure, thus tuning at will the ⁸³ analysis exclusively in the frequency domain. Our de- ¹³⁵ real periodicity of the crystal. The 1D Brillouin zone ⁸⁴ vice, allows to probe the surface waves along their path, ¹³⁶ (BZ) of the crystal is accordingly shortened; we will deso thus offering the wavevector projection along this path $_{137}$ note its center $(k_1 a/\pi = 0)$ by Γ and its edge $(k_1 a/\pi = 1)$

139 B. Experimental setup

Our experimental setup, though simple, is designed in ¹⁴¹ such a general manner to facilitate modification at will of 142 the EBCs applied at each electrode by connecting exter-¹⁴³ nal circuits (resistive, capacitive, and/or inductive). The ¹⁴⁴ current version has substantially improved, as compared ¹⁴⁵ to the one used in Ref. 26. First, the plate is inserted into ¹⁴⁶ a 1 mm-pitch PCI Express[®] edge card reader (stack-¹⁴⁷ able female connector with 98 contacts), allowing con-148 nection of the electrodes of the crystal to different elec-¹⁴⁹ tric impedances, through controlled switches (FST3125). ¹⁵⁰ All connections are now realized in a secure and robust ¹⁵¹ manner avoiding any noise and parasitic effects arising ¹⁵² from soldering. Second, a microcontroller (Teensy 3.6) ¹⁵³ is used to actuate these switches, thus making possible a ¹⁵⁴ real-time control. Apart from the first pair of electrodes ¹⁵⁵ reserved for the excitation of the plate, the remaining 47 ¹⁵⁶ pairs of electrodes will be used to load the plate with ex- $_{157}$ ternal circuits, as shown in Fig. 2(a). Only this part of ¹⁵⁸ the plate (we will refer to it as loaded crystal hereafter) We shall be concerned, in this study, with thin homo-¹⁵⁹ will be utilized to image the frequency response of the

 $_{107}$ constant a_0) of parallel and face-to-face metallic strips of $_{162}$ plied to the first pair of electrodes at the one edge of the width w and negligible thickness. A schematic represen- 163 piezoelectric plate, using a Panametrics 5058PR pulse $_{109}$ tation of the structure is given in Fig. 1(a). The plate $_{164}$ generator, to excite guided waves within the plate. The ¹¹⁰ is made of PZT (Navy VI) and in all theoretical calcu-¹⁶⁵ incident beam penetrates into the loaded crystal and is ¹¹¹ lations presented in this paper it will be considered to ¹⁶⁶ reflected back at the other edge. To visualize the fre-¹¹² be infinite along x_1 - and x_2 -directions that coincide with ¹⁶⁷ quency response of the crystal, we employ an all-electric ¹¹³ the transversely isotropic plane of the piezoceramic ma-¹⁶⁸ experimental technique (more details can be found in $_{14}$ terial; the x_3 -axis coincides with the polarization axis of $_{169}$ Ref. 26). After excitation of the piezoelectric plate, any 170 deformations producing not negligible thickness varia-The sample used in the experiments, is a finite square-¹⁷¹ tions of the plate will be manifested as electric potential $_{117}$ shaped plate (edge length 48 mm and thickness $h = _{172}$ variations on the electrodes through the electromechani-¹¹⁸ 1.6 mm), as can be seen in Fig. 1(b), on both sides of ¹⁷³ cal coupling that takes place within the piezoelectric ma-¹¹⁹ which silver strips (rectangular electrodes) have been de-¹⁷⁴ terial; we exclusively measure these electric potentials 120 posited periodically; their thickness is of the order of 175 along the plate. To this end, a second PCI card reader, $_{121}$ 15 μ m and will be neglected in what follows. In the $_{176}$ identical to the first one used for the loading by exter-122 real structure, the lattice period dictated by the metallic 177 nal circuits, is connected to the remaining free edge of 123 strips (width w = 0.7 mm, separation gap s = 0.3 mm) 178 the plate [Fig. 2(b)]. The electric potential is recorded a_{124} equals $a_0 = s + w = 1$ mm, but as we have already ex- 179 with a 10-bit quantification, at each electrode position, ¹²⁵ plained in previous works²⁴⁻²⁶ the true lattice constant ¹⁸⁰ on the upper or lower side of the plate, with the help of a ¹²⁶ of the crystal *a* can be non-destructively extended to be a ¹⁸¹ digital oscilloscope (LeCroy HRO66ZI WaveRunner), the ¹²⁷ multiple of a_0 , controlled through the application of ap-¹⁸² ground of the pulse generator being taken as reference;



FIG. 1. (a) Schematic representation of the one-dimensional piezoelectric crystal, extended to infinity along x_1 - and x_2 directions coinciding with the transversely isotropic plane of the piezoceramic material, poled across its thickness along the x_3 symmetry axis. (b) An image of the fabricated sample with finite dimensions (48 mm \times 48 mm \times 1.6 mm), used in the experiments; the metallic strips (width w = 0.7 mm and separation gap s = 0.3 mm) aligned along x_2 -direction are connected at the top edge of the plate to a PCI reader. The structure consists of N = 48 elementary blocks of length $a_0 = 1$ mm. In (c) an elementary block of the structure loaded with electric circuits of impedance Z^{u} (upper plate side) and Z^{d} (lower plate side).

TABLE I. Material parameters for PZT (Navy VI), used in the calculations.

Material Property	Symbol	Value ^a
Elastic coefficients c_{pq}^{E} [GPa]	$\begin{array}{c} c_{11}^{E} \\ c_{12}^{E} \\ c_{13}^{E} \\ c_{33}^{E} \\ c_{44}^{E} \\ c_{66}^{E} \end{array}$	$ \begin{array}{r} 114.0 \\ 75.7 \\ 70.0 (72.4) \\ 107.0 (111.0) \\ 20.0 (26.3) \\ 19.2 \end{array} $
Piezoelectric coefficients $e_{ip} [\mathrm{C} \mathrm{m}^{-2}]$	$e_{15} \\ e_{31} \\ e_{33}$	14.2 (16.2) -2.92 20.3 (23.4)
Relative permittivity coefficients ϵ_{pq}^S	$\begin{array}{c} \epsilon^S_{11} \\ \epsilon^S_{33} \end{array}$	2120. 1980.
Mass density $[kg m^{-3}]$	ρ	7510. ^c (7780.)

^a In the parenthesis, we give —if different— the initial values as provided by the manufacturer²⁸. ~^E). $-\frac{1}{c} \tilde{E}$

$$c_{66} = \frac{1}{2}(c_{11} - c_{12})$$

^c Measured.

183 the time window chosen is typically set to 250 μ s, with a 219 axis, in all cases discussed here. The material param-¹⁸⁴ sampling period equal to 5 ns, allowing to observe a few ²²⁰ eters (elastic, piezoelectric and coupling constants) for 185 forward and backward traveling waves after reflections 221 PZT used in the simulations are shown in Table I and 186 at the edges of the plate. Each measured signal is then 222 were determined by adjusting the dispersion relation of ¹⁸⁷ averaged 30 times to improve the signal-to-noise ratio. ²²³ a floating-potential crystal to the corresponding experi-¹⁸⁸ The above settings ensure a sufficiently high Nyquist fre- ²²⁴ mental data. The experimental dispersion relation $\omega(k_1)$, use quency and accurate resolution of the spectra obtained $_{225} \omega = 2\pi f$ being the angular frequency, was obtained, af-¹⁹⁰ through fast Fourier transforms (FFT).

Simulations and signal processing 191 С.

All band structure calculations shown in this paper ²³¹ data (tolerance better than 3.2%). 192 ¹⁹³ were obtained by the use of a finite-element commercial ²³² The floating-potential EBCs were used as a bench-¹⁹⁴ package²⁷ (except otherwise stated). Assuming trans- ²³³ mark; we are, however, interested in more complex unit ¹⁹⁵ lational invariance along x_2 -direction, the unit cell is ²³⁴ cells. For the systems under study, the dispersion plot

¹⁹⁶ reduced to a rectangle and the metallic strips to lines ¹⁹⁷ of zero thickness, able to host surface electric charges. ¹⁹⁸ The classical constitutive equations of piezoelectricity ¹⁹⁹ (stresses as functions of strains and electric field) apply 200 at the surface of the rectangle. The boundary condi-²⁰¹ tions are: (i) continuity of all fields between adjacent ²⁰² cells and periodic (Bloch) conditions at the left and right 203 boundaries of the same cell, and (ii) at the upper and ²⁰⁴ lower edges of the cell, free stress conditions, together 205 with discontinuity of the normal component of the elec-²⁰⁶ tric displacement field related to the surface charge den-207 sity across the interface, assuming a uniform distribution ²⁰⁸ of charge along the line representing the metallic strip. 209 Under this last assumption, the whole electrode is seen ²¹⁰ as an electric node, where Ohm's relation involving ex-²¹¹ ternal impedance loads can be applied. We recall that ²¹² a full comparison between an ab-initio analytical model ²¹³ using the above assumptions and this FEM approach was ²¹⁴ given in Ref. 25, leading to practically identical (graphi-²¹⁵ cally indiscernible) results for the band diagrams of these ²¹⁶ systems. We note in passing that only the positive part of ²¹⁷ the BZ will be represented, since the dispersion diagrams ²¹⁸ are symmetric with respect to the vertical (frequency) ²²⁶ ter applying a 2D FFT of the potential signals $V(x_{1,n},t)$ ²²⁷ measured at each electrode position $x_{1,n}$, $n = 2, 3, \ldots, 48$, 228 of the upper and lower side of the corresponding finite ²²⁹ plate, following the procedure described in Ref. 26. The ²³⁰ simulation results agree very well with the experimental

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FIG. 2. The experimental setup used for the study of the phononic plate of Fig. 1: (a) schematic representation, and, (b) a view of the device. Excitation takes place at the left end of the plate and loading by external circuits at its remaining part through controlled EBCs.

235 is more crowded: several eigenmode trajectories coexist 236 in the ω -k₁ space at neighboring points and cannot be 237 easily resolved, after a 2D FFT is performed. To better ²³⁸ resolve these separate contributions, we opt for a different ²³⁹ method of analysis, by adapting an autoregressive model 240 to two dimensions (AR2D), i.e., to both space and time ²⁴¹ directions²⁹. The results obtained in that manner agree ²⁴² with the corresponding picture obtained by a 2D FFT, ²⁴³ without suffering from a wide ω - k_1 peak-character.

244 **RESULTS AND DISCUSSION**

245

246 247 248 crystal, shown schematically in the left panel of Fig. 3; 261 flat and one infinitely extended hyperbola-like frequency



FIG. 3. The three configurations with inductive loads under study. Left panel: the unit cell of each crystal with different electric boundary conditions applied at the metallic strips [(a) grounded-inductance two-atom crystal, (b) floating-potentialinductance two-atom crystal, and (c) intercellular adjacent inductance-loaded crystal]. Right panel: schematic representation of the corresponding dispersion relation of the induced *LC*-origin bands (for $L_1 = L_2$, when applicable).

²⁴⁹ their interest lies on the unusual multidispersive form ²⁵⁰ of localized and/or extended frequency bands of induc-²⁵¹ tive origin that this circuitry may offer. More precisely, 252 the first two cases correspond to two-atom unit cells $_{253}$ $(a = 2a_0)$, constructed by combination of two antipar-²⁵⁴ allel elementary blocks (of width a_0), each of them hav- $_{255}$ ing a *L*-load on the one side of the plate, the other-side $_{256}$ electrode being either shunted [Fig. 3(a)] or in floating $_{257}$ potential [Fig. 3(b)]. Though inspired from Ref. 26, the ²⁵⁸ resulting structures offer new possibilities, since they pos-In what follows, we will focus on three configurations, ²⁵⁹ sess inversion symmetry and exhibit, respectively, a set all of them using inductance loads on the unit cell of the 260 of two flat bands of localized modes and a set of one

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²⁶² bands, as schematically depicted in the right panel of $_{263}$ Fig. 3. A third configuration [see Fig. 3(c)] consists 264 of a L-load connecting adjacent strips, the EBCs con-²⁶⁵ serve, in that case, the spatial periodicity of the metallic $_{266}$ array $(a = a_0)$. The corresponding modes originating 267 from this circuitry exhibit a linear dispersion behavior $_{268}$ with an effective medium slope $c_{\mathrm{eff}} = a\omega_0$ at the long-²⁶⁹ wavelength limit which bends and tends to a zero-group-²⁷⁰ velocity branch at X point. It is worth noting that such ²⁷¹ a configuration is a direct analogue of the unit cell of ²⁷² a transmission line governed by the well-known telegra-²⁷³ pher's equation.

The different characteristic angular frequencies $\omega_0^{\pm}, \omega_0, \omega_0$ 274 ω_{π} , appearing in Fig. 3 to describe qualitatively the dis- $_{276}$ persion of these eigenmodes at Γ and X points of the $_{277}$ BZ, depend of course of the choice of L and of some $_{278}$ characteristic capacitance values C, C_s, C_1 , and C_2 that 279 describe effectively the intrinsic behavior of the piezoelec-280 tric plate in terms of equivalent circuits. More details are ²⁸¹ given in Appendix A. We note that this analysis for the ²⁸² two-atom crystals reveals higher-order interactions of ca-²⁸³ pacitive origin within the plate which takes place between ²⁸⁴ neighbors of adjacent cells and opposite sides, compared $_{285}$ to previous demonstrations²⁶.

Grounded and inductance loaded two-atom unit cell 286 **A**.

To begin with we shall examine the case of up- and 287 288 down-side inductance loads alternated with grounded 289 strips. The unit cell is thus a two-atom molecule ana-²⁹⁰ log with inversion symmetry if $L_1 = L_2$, or with iden-²⁹¹ tity operation symmetry only, if $L_1 \neq L_2$, as shown in $_{292}$ Fig. 3(a). Aiming to analyze its frequency band structure ²⁹³ both theoretically and experimentally, we find it useful to ²⁹⁴ focus first on the frequency response of the correspond-²⁹⁵ ing monatomic crystal of lattice constant $a = a_0$ [sup-²⁹⁶ pose only the left half part of the unit cell of Fig. 3(a)]. 297 In the absence of piezoelectric coupling $(e_{ij} = 0)$, the 317 becomes shorter $(a = 2a_0)$. An additional folding at 315



0

f (MHz)

FIG. 4. (a) Calculated frequency band structure for a monoatomic crystal of lattice constant $a = a_0$ [only the left half part of the unit cell in Fig. 3(a) with $L_1 = 30 \ \mu \text{H}$ is considered] when piezoelectric coupling is switched off. The blue dotted curve spanning the whole BZ indicates the EM resonance band, while the thick red line represents the same band for the diatomic crystal of lattice constant $a = 2a_0$ [unit cell of Fig. 3(a) with $L_1 = L_2 = 30 \ \mu \text{H}$; the gray lines correspond to Lamb eigenmodes of the phononic plate, labelled following standard notation. The full calculation of the band structures for the diatomic crystal of Fig. 3(a) when piezoelectric coupling is taken into account is shown in (b) for $L_1 = L_2 = 30 \ \mu \text{H}$ and (c) for $L_1 = 30 \ \mu \text{H}$ and $L_2 = 33 \ \mu \text{H}$. Yellow-shaded regions denote absolute frequency gaps. Top panel: Potential field plots within a unit cell for the points labelled in (a).

 $_{298}$ eigenmodes of the crystal are separated into two indepen- $_{318}$ $k_1a_0/\pi = 0.5$ of the dispersion plot is dictated and, as a 299 dent, non-interacting subspaces: the elastic-displacement 319 result, the EM resonant band is thus split into two sepa-³⁰⁰ Lamb guided modes of the plate (labelled, hereafter, with ³²⁰ rate flat minibands, a more dispersive, $\omega^+(k_1)$, extending $_{301}$ A for antisymmetric and S for symmetric modes, follow- $_{321}$ from 0.839 MHz ($k_1 = 0$) to 0.732 MHz ($k_1 = \pi/a$), and a $_{302}$ ing standard notation used for Lamb modes in plates), $_{322}$ less dispersive, $\omega^{-}(k_1)$, extending from 0.708 MHz ($k_1 =$ $_{303}$ simply folded at the BZ edge $(k_1a_0/\pi = 1)$ and the EM $_{323}$ 0) to 0.732 MHz $(k_1 = \pi/a)$. These bands compare very $_{304}$ modes which originate from an *LC*-equivalent circuit be- $_{324}$ well (relative error better than $\pm 0.9\%$) to a tight-binding ³⁰⁵ havior of the unit cell. The electric resonances of these ³²⁵ description³⁰ with first-neighbour interactions between $_{306}$ individual LC atoms interact between each other and $_{326}$ atomic sites [blue dashed lines in Fig. 4(a)] that leads ³⁰⁷ form a cos-like resonant band. In Fig. 4(a) we show the ³²⁷ to $\omega^{\pm}(k_1) = [\omega_{at}^2 + 2\gamma_1 \cos k_1 a \pm 2\gamma_2 \cos(k_1 a/2)]^{1/2}$, $\gamma_1 = (\omega_{at}^2 + 2\gamma_1 \cos k_1 a \pm 2\gamma_2 \cos(k_1 a/2))^{1/2}$ $_{308}$ calculated —using a finite-element method— frequency $_{328} \gamma(a), \gamma_2 = \gamma(R)$ being the overlap integrals γ depending ³⁰⁹ band structure of such a crystal, the first subspace shown ³²⁹ on the distance $a = 2a_0$ and $R = \sqrt{a_0^2 + h^2} = 1.89a_0$ 310 in gray lines, the second one in blue dotted line, extend- 330 between the centers of the atomic potential functions $_{311}$ ing from 1.294 MHz ($k_1 = 0$) to 0.597 MHz ($k_1 = \pi/a_0$). $_{331}$ and ω_{at} the eigenfrequency of an isolated atom. By a We note in passing that this zero coupling analysis, al- $_{332}$ best fitting procedure we find $\omega_{\rm at}/(2\pi) = 0.753$ MHz, $_{313}$ ready presented in previous works^{24,26}, can be employed $_{333}\sqrt{\gamma_1}/(2\pi) = 0.128$ MHz, and $\sqrt{\gamma_2}/(2\pi) = 0.214$ MHz. $_{314}$ to visualize the bands of EM origin before hybridization. $_{334}$ The $\omega^{\pm}(k_1)$ bands are independently reproduced by the When two antiparallel atoms are brought together to 335 electric-circuit picture [see Eq. (A1) in Appendix A1, $_{316}$ form a two-atom unit cell as shown in Fig. 3(a) the BZ $_{336}$ with C = 0.103 nF, $C_s = 0.552$ nF, $C_1 = 0.0348$ nF, and

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 $_{337}$ $C_2 = 0.116$ nF obtained by a best fitting procedure], also ³³⁸ in accordance with the above tight-binding model.

A more careful look at the potential field functions at Γ $_{340}$ point plotted within the unit cell (see top panel of Fig. 4) ³⁴¹ reveals a bonding $(\Phi_+ = \mathcal{C}_+(\phi_1 + \phi_2))$ and antibonding $_{342}$ ($\Phi_{-} = \mathcal{C}_{-}(\phi_1 - \phi_2)$) character in analogy with a diatomic ³⁴³ molecule picture in quantum physics, ϕ_1 and ϕ_2 being the ³⁴⁴ two atomic potential functions and \mathcal{C}_{\pm} appropriate coef- $_{345}$ ficients. Along ΓX direction the potential fields evolve ³⁴⁶ progressively towards a more weighted one-atom picture ³⁴⁷ with a double-degenerate state at X point (see two right ³⁴⁸ plots of the top panel in Fig. 4), this degeneracy being ³⁴⁹ lifted when inversion symmetry disappears $(L_1 \neq L_2)$.

This picture is further modified when piezoelectric cou-350 ₃₅₁ pling is taken into account $(e_{ij} \neq 0)$, as it should be in 352 a real system. The result of our calculation is shown in ³⁵³ Fig. 4(b). As a first remark, one observes a blue shift ³⁵⁴ for the Lamb guided modes and a red shift for the EM 355 origin resonant bands. And more importantly, the two ³⁵⁶ eigenmode subspaces interact between each other and, in 357 general, one expects the formation of avoided crossings 358 between modes of the same symmetry. The lower in fre-³⁵⁹ quency EM resonant band $\omega^{-}(k_1)$ interacts with both the $_{360}$ S₀ branch and the first folded A_0 branch, and similarly ³⁶¹ the higher in frequency EM band $\omega^+(k_1)$ interacts with $_{362}$ both the A_1 branch and the first folded S_0 branch, thus ³⁶³ leading to narrow selective absolute gaps (yellow-shaded $_{364}$ regions). A slight detuning between L_1 and L_2 lowers ³⁶⁵ further the symmetry of the system (inversion is now in-³⁶⁶ valid) thus resulting in interactions, though very weak, $_{367}$ between all bands [see Fig. 4(c)].

Next, we confirm the above predictions, by their exper-368 ³⁶⁹ imental verification. We measured the potential signal $_{370} V(x_{1,n},t)$ along the $n = 2, 3, \ldots, 48$ electrode positions ³⁷¹ located at the upper side of the plate, and after appli-₃₇₂ cation of the AR2D model, we obtain the experimental $_{373} V(\omega, k_1)$, whose amplitude is shown in Fig. 5(a), and 374 close to it, in Fig. 5(b), the calculated frequency band 395 ment is, however, not straightforward, especially because 376 color-indexed with the value of the potential, averaged on 397 branches than seen experimentally. 377 the area of the upper-side electrodes: the darker the tra-³⁷⁸ jectory, the weaker the electromechanical coupling, that 379 takes place within the plate, is.

The experimental dispersion plot mainly reveals the ³⁹⁹ unit cell 380 S_0 -like branches of positive slope in the positive part 381 $_{382}$ of the 1st and negative part of the 2nd BZ, that com- $_{400}$ If we replace the two grounded ends of the previous 389 390



FIG. 5. Frequency band structure of the diatomic grounded-L piezoelectric phononic crystal (lattice constant $a = 2a_0 =$ 2 mm) with EBCs depicted in Fig. 3(a). (a) Experimental dispersion plot for the finite crystal, consisting of N = 24unit cells, obtained by use of the AR2D model on the electricpotential signal recorded at the upper-side electrode positions. (b) Calculated dispersion plot obtained through finite-element numerical simulations for the corresponding infinite crystal for $L = 30 \ \mu\text{H}$. In (a) the color map is saturated; in (b) the trajectories are color-indexed with the average potential on the upper-side electrodes of the unit cell. Red arrows and hatched areas denote, respectively, frequency bounds of the EM bands at Γ point and absolute gaps.

375 structure shown in Fig. 4(b). The different branches are 396 the finite-element simulations predict much more active

398 B. Floating potential and inductance loaded two-atom

³⁸³ pare well to the corresponding theoretical curve with ef-⁴⁰¹ structure by free floating potential (FP) ends, we obtain $_{384}$ fective medium slope of 3013 m s⁻¹ at the long wave- $_{402}$ the unit cell shown in Fig. 3(b). A similar methodol-385 length limit. In addition, one observes some bright spots 403 ogy can be developed as that given in Sec. III A in order ₃₈₆ spanning the frequency region between 0.6 and 0.7 MHz, ₄₀₄ to follow the formation of the resonant bands; the main 387 that could correspond to the localized modes of EM 405 difference lies in the specific form of this band before hy-₃₈₈ origin in Fig. 5(b) at about 0.58 MHz for $\omega^{-}(k_1)$ and ₄₀₆ bridization. As it can be easily shown³¹ this branch is at about 0.67 MHz for $\omega^+(k_1)$. At higher frequencies 407 hyperbolic for the corresponding monatomic crystal [conbut below 1 MHz, two more trajectories are experimen- 408 sider only the left half part of the unit cell of Fig. 3(b)] $_{391}$ tally observed, belonging probably to A_1 -like and S_0 -like $_{409}$ and when constructing the diatomic unit cell, the fold-³⁹² branches, visible in the positive part of the 1st and 2nd 410 ing results in two branches, one hyperbola-like, $\omega^+(k_1)$, ³⁹³ BZ, respectively (but also in the negative part of the 2nd 411 extended in frequency, the other, $\omega^{-}(k_1)$, relatively flat. $_{394}$ and 1^{st} BZ). The comparison between theory and experi- $_{412}$ When $L_1 = L_2$ these two distinct bands degenerate to This is the author's peer reviewed, accepted manuscript. However, the online version of record will be different from this version once it has been copyedited and typeset

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FIG. 6. Frequency band structure of the floating-potential-L piezoelectric phononic crystal (lattice constant $a = 2a_0 =$ 2 mm) with EBCs depicted in Fig. 3(b). (a) Experimental dispersion plot for the finite crystal, consisting of N = 24unit cells, obtained by use of the AR2D model on the electricpotential signal recorded at the upper-side electrode positions. (b) Calculated dispersion plot obtained through finite-element numerical simulations for the corresponding infinite crystal for $L = 30 \ \mu\text{H}$. In (a) the color map is saturated; in (b) the trajectories are color-indexed with the average potential on the upper-side electrodes of the unit cell. Red arrow and hatched area denote, respectively, frequency bounds of the EM band at Γ point and absolute gap.

 $_{415}$ cell, is lifted if $L_1 \neq L_2$. The finite frequency point $_{473}$ of Figs. 5(b) and 6(b), indicated here by red arrows as $_{416} \omega_0^- = \omega^-(k_1 = 0)$ can be tuned through the choice of L. $_{474}$ guides to the eye] and improves the comparison between 417 Analytic expressions are derived in terms of an equiva- 475 experimental data and theoretical simulations. The EM 418 lent periodic transmission-line picture, as detailed in Ap- 476 modes manifest themselves as two flat bands lying in the 419 pendix A 2.

420 $_{430}$ oretically, and this was also the case for the grounded $_{488}$ a hyperbolic branch above 0.82 MHz. 431 crystal (Fig. 5). Next, for frequencies above 0.6 MHz 489 We note in passing the appearance of narrow, selective, ⁴³² and below 1.0 MHz some bright spots are observed but a ⁴⁹⁰ absolute frequency gaps for both systems [see hatched ar-⁴³³ one-to-one comparison to the theoretical picture becomes ⁴⁹¹ eas in Figs. 7(b), (d)] originating from avoided-crossing

438 In both cases examined up to now, we remark that the $_{439}$ experimental dispersion plots [Figs. 5(a) and 6(a)] do not 440 reveal all branches predicted theoretically, and, more im-⁴⁴¹ portantly, symmetry operations such as translation sym-442 metry by a reciprocal lattice vector, $\omega(k_1) = \omega(k_1 + \frac{2\pi}{a}),$ 443 and/or mirror symmetry, $\omega(k_1) = \omega(-k_1)$, are not ob-⁴⁴⁴ served for all trajectories. This could be explained by 445 finite size effects and excitation that favors some of the ⁴⁴⁶ branches with respect to others. To facilitate compari-⁴⁴⁷ son to the theoretical picture, we artificially restore the ⁴⁴⁸ above said lost symmetries, by taking the average on the 449 positive- k_1 and negative- k_1 part after, i) horizontal flip- $_{450}$ ping, and, ii) horizontal translation by 2 (in reduced k_{1} -⁴⁵¹ axis units) of the latter. These two operations lead to ⁴⁵² a picture with mirror symmetry with respect to vertical $_{453}$ (frequency) axis at both Γ and X points, thus the ΓX ⁴⁵⁴ direction is sufficient for any analysis. The results are 455 shown in Fig. 7, for the grounded crystal [left panel, plot ⁴⁵⁶ (a)] and for the floating-potential crystal [right panel, ⁴⁵⁷ plot (c)]. Their comparison to the corresponding theo- $_{458}$ retical predictions [Figs. 5(b) and 6(b)] reveals a red shift 459 of the simulated EM modes. Obviously, the reality, far 460 away from the numerous simplifications assumed in the ⁴⁶¹ simulations, includes finite size effects, different elastic ⁴⁶² boundary conditions at the edges of the plate due to the $_{\tt 463}$ use of the PCI cards, and, real-electronics effects, present ⁴⁶⁴ in the circuitry, that were neglected in the previous analy-⁴⁶⁵ sis. In fact, a Z_e impedance load (see Appendix B) will be 466 considered instead of the idealized $Z^{\mathrm{u}} = Z^{\mathrm{d}} = Z_L = \mathrm{i}L\omega$ $_{467}$ generated by a pure *L*-component. In plots (b) and (d) 468 of Fig. 7 we show the calculated band structures that $_{469}$ correspond to those of Figs. 5(b) and 6(b), but for the ⁴⁷⁰ real load Z_e [Eq. (B1)] instead of the pure inductive load ⁴¹³ a common frequency ω_{π} at X point; again this degener- ⁴⁷¹ with $L = 30 \ \mu\text{H}$. The use of Z_e blue-shifts the EM ⁴¹⁴ acy originating from the inversion symmetry of the unit ⁴⁷² modes [by about ~ 10% for ω_0^{\pm} with respect to those 477 frequency range from 0.6 to 0.75 MHz for the grounded-The experimental frequency band structure of our 478 L crystal [Fig. 7(b)] in rather good agreement with the ⁴²¹ finite-size sample is obtained following the same pro-⁴⁷⁹ experimental picture [bright spots extending from 0.63 422 cedure, as for the previous case of grounded crystal 480 to 0.71 MHz in Fig. 7(a)]. For the floating-potential-L 423 (Sec. III A). The results are shown in Fig. 6(a), and next 481 crystal [Fig. 7(d)] the EM modes manifest themselves, on 424 to it [Fig. 6(b)] the calculated frequency band structure 482 the one hand, as a flat band centered at 0.7 MHz and, on ⁴²⁵ for the corresponding infinite crystal, assuming again a ⁴⁸³ the other hand, as a hyperbolic branch which interacts $_{426}$ pure L-component ($L = 30 \mu$ H). In the experimental $_{484}$ strongly with the A_1 -like branch and spans the whole 427 picture, three frequency regions are distinguished: be- 485 frequency range above 0.9 MHz; these compare well to $_{428}$ low 0.6 MHz the \hat{S}_0 -like and A_0 -like branches are clearly $_{486}$ the experimental picture [Fig. 7(c)] that reveals, respec-429 identified, in good agreement with those predicted the-



FIG. 7. Experimental frequency band structure of the diatomic (a) grounded-L and (c) floating-potential-L piezoelectric phononic crystals, after reduction along ΓX direction (see text) of their initial map plots, shown respectively in Figs. 5(a) and 6(a). In (b) and (d) the corresponding calculated dispersion plots for the infinite crystals, assuming real loads Z_e instead of the pure inductive ones Z_L ($L = 30 \ \mu$ H). Red arrows denote the frequency positions of the EM bands at Γ point as predicted for the case of $Z_{\rm L}$ instead of Z_{e} [see Figs. 5(b) and 6(b), respectively]; hatched areas indicate, as usually, absolute gaps.

 $_{499}$ [e.g., negative capacitors²⁵].

A careful global evaluation of the picture obtained by 500 501 both models used for the simulations, i.e., Z_L and Z_e , 502 for the two crystals under study, suggests that none of ⁵⁰³ these can perfectly describe the experimental picture in ⁵⁰⁴ terms of frequency position of the EM modes (they are in ⁵⁰⁵ general slightly shifted, but the hyperbolic branch clearly $_{506}$ blue-shifted in the simulations) and in terms of degree of $_{532}$ C. Intercellular inductance loaded unit cell ⁵⁰⁷ interaction that takes place in the avoided-crossing re-508 gions when EM and Lamb-like modes cross each other 533 As a last case, we examine a crystal plate with inter- $_{519}$ tally identified load Z_e is not sufficient either. A possible $_{544}$ addition to the traditionally expected S_0 -like and A_0 -like

⁴⁹² between bands of the same symmetry. Therefore, one ex- ⁵²⁰ reason could be the modification of this individual-atom $_{493}$ pects that even structures with a small number of units $_{521}$ load Z_e (we recall that its identification has been real-⁴⁹⁴ will exhibit such type of gaps³². Their frequency po- ⁵²² ized under isolated conditions, outside the crystal) when $_{495}$ sition is indirectly controlled through ω_0 values scaling $_{523}$ it is introduced in our device including the plate itself 496 as ~ $L^{-1/2}$ [see Appendix A]. Their width is narrow, 524 and the system of two PCI cards. On the other hand, 497 but possible enlargement could be achieved with elec- 525 simulations still constitute idealized models, since they 498 tronic components facilitating the degree of interaction 526 neglect any finite size effects and leakage of elastic and 527 EM modes in the surrounding medium (air). For the ⁵²⁸ above reasons, comparison between theory and experi-529 ments remains, however, very satisfactory and provides 530 a good verification of the main features of the dispersion ⁵³¹ properties of these crystals.

⁵⁰⁹ [weak avoiding is experimentally observed, while a strong ⁵³⁴ cellular connection of adjacent strips located at the same ⁵¹⁰ one is predicted, e.g. at point A in Figs. 7(a), (b)]. ⁵³⁵ side of the plate (say the upper one) via an inductance $_{511}$ Deviations are also observed between some dark branch $_{536}$ load L, the other-side strips being grounded, as depicted $_{512}$ segments (vanishing potential value along them), while $_{537}$ in Fig. 3(c). These EBCs conserve the periodicity of the ⁵¹³ predicted highlighted in theory, e.g., the A₁-like branch ⁵³⁸ metallic array. The numerically predicted band struc-⁵¹⁴ which is theoretically predicted with a cut-off frequency ⁵³⁹ ture of that crystal (lattice constant $a = a_0$) is shown in $_{515}$ close to 0.5 MHz is not experimentally discernible. We $_{540}$ Fig. 8 for two distinct inductance values: $L = 150 \ \mu \text{H}$ $_{516}$ deduce that even if a pure L-component is too simple $_{541}$ [plot (a)] and $L = 30 \ \mu H$ [plot (b)]. The most striking ⁵¹⁷ to reproduce exactly the experimental picture of the dis-⁵¹⁸ persion plot of these crystals, the use of the experimen- ⁵⁴³ linear-dispersion branch at the long-wavelength limit, in

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Calculated frequency band structure for a FIG. 8. monoatomic crystal of lattice constant $a = a_0$ whose unit cell is depicted in Fig. 3(c) with (a) $L = 150 \ \mu H$ and (b) $L = 30 \ \mu \text{H}$. The dotted red lines represent an estimate of the unhybridized L-induced band, applying Eq. (A3) for appropriate values of the parameters C, C_s (see text), with starting parameters $\omega_{\pi}/(2\pi)$ (indicated by red arrows) and the longof the slope of the two linear branches at $\omega \to 0$ for several values of the inductance load L. Horizontal and oblique dashed lines represent, respectively, the effective medium slope of the S_0 branch of the corresponding grounded crystal and the Linduced branch from Eq. (A3) calculated for C, C_s determined for $L = 10 \ \mu\text{H}$. Points A and B correspond to the L-induced bands (red dotted curves) of plots (a) and (b), respectively.

⁵⁴⁵ branches emerging at the same frequency range. The gen- $_{\rm 546}$ eral form of that *L*-induced branch when the piezoelectric $_{\rm 604}$ 547 coupling is switched off $(e_{ij} = 0)$ follows the schematic 605 experimental results. As previously, the Z_e load will 548 representation given in the right plot of Fig. 3(c) and 606 be considered instead of the idealized Z_L in the cal-549 is described by the relation (A3) for some appropriate 607 culations. We measured the potential signal along the values C, C_s in an equivalent periodic transmission line 608 $n = 2, 3, \ldots, 48$ electrode positions located at the up-⁵⁵¹ picture. The slope, c_{eff} , of this L-branch is tuned via ⁶⁰⁹ per side of the plate, and after application of the AR2D 552 the choice of L, and covers a wide range of effective 510 model, we obtain the experimental $V(\omega, k_1)$ whose am-⁵⁵³ medium velocities not easily encountered in ordinary ma-⁶¹¹ plitude is shown in Fig. 9(a) that compares well with the ⁵⁵⁴ terials. The equivalent transmission line model presented ⁶¹² calculated frequency band structure including the real ⁵⁵⁵ in Appendix A predicts a scaling ~ $L^{-1/2}$ for given (L- ⁶¹³ load Z_e , in Fig. 9(b). $_{556}$ independent) C and C_s values (we find C = 0.645 nF and $_{614}$ The linear-slope, effective-medium branch of EM ori-

⁵⁵⁸ coupling is switched on $(e_{ij} \neq 0)$, as it should be in a real ⁵⁵⁹ system, the *L*-induced band interacts with the same sym-⁵⁶⁰ metry bands of Lamb eigenmodes and generates avoided-⁵⁶¹ crossing effects. To facilitate its visualization before hy- $_{562}$ bridization, we apply the same relation [Eq. (A3), with $_{563}$ different values of C, C_s , reflecting all coupling effects] to ⁵⁶⁴ model its unhybridized form, represented in Fig. 8(a) and 565 (b) by red dotted lines: after a careful reading of these ⁵⁶⁶ dispersion plots, we identify the two starting parameters, 567 ω_{π} (indicated by a red arrow), and, $c_{\rm eff}$, the effective-⁵⁶⁸ medium slope of this branch at the long-wavelength limit, ⁵⁶⁹ used to deduce the internal model capacitance parame-570 ters (C = 0.737 nF and $C_s = 0.868$ nF, for $L = 30 \ \mu$ H; $_{571}$ C = 1.413 nF and C_s = 0.900 nF, for L = 150 μ H).

For the case of $L = 150 \ \mu \text{H}$ [Fig. 8(a)], the L-572 $_{573}$ band with $c_{\text{eff}} = 2560 \text{ m s}^{-1}$ interacts weakly with the $_{574}$ A_0 -like branch, and a small avoided-crossing occurs at $_{575}$ about 0.375 MHz; the other linear, S_0 -like, branch has $_{576}$ $c_{\text{eff}} = 3850 \text{ m s}^{-1}$, which is higher than the correspond-⁵⁷⁷ ing value in a grounded crystal, $c_{\rm S_0} = 3020 \text{ m s}^{-1}$. For ⁵⁷⁸ the case of $L = 30 \ \mu\text{H}$ [Fig. 8(b)], the *L*-band with ⁵⁷⁹ $c_{\rm eff} = 7400 \text{ m s}^{-1}$ interacts weakly with the A_1 -like 580 branch as well as with the first folded A_0 -like branch, ⁵⁸¹ giving rise to relatively larger avoided crossings, occur-⁵⁸² ring, respectively, at about 0.50 MHz and 0.95 MHz; ⁵⁸³ the other linear, S_0 -like, branch has $c_{\text{eff}} = 2970 \text{ m s}^{-1}$. $_{\rm 584}$ which is lower than $c_{\rm S_0}.$ The above suggest a possible 585 interaction with the S_0 -like branch when the two modes are close enough. In Fig. 8(c) we plot the evolution of 587 the slope at the long-wavelength limit, for several val-⁵⁸⁸ ues of the inductance covering a large range spanning 589 over two orders of magnitude, of both linear branches $_{590}$ of a typical dispersion plot as those shown in Fig. 8(a) ⁵⁹¹ and (b). One clearly observes a hybridization between ⁵⁹² two distinct modes: the S_0 -like mode, represented by the wavelength slope, $c_{\rm eff}$, of this frequency branch. (c) Evolution 593 horizontal dashed line, and the L-induced mode, repre-⁵⁹⁴ sented by the oblique dashed line that corresponds to ⁵⁹⁵ the $\sim L^{-1/2}$ scaling rule calculated at $L = 10 \ \mu \text{H}$ (for $_{\rm 596}~C/\left[1+C/(4C_s)\right]=0.618~{\rm nF}),$ far away from the crossing ⁵⁹⁷ point that occurs in the vicinity of $L = 150 \ \mu\text{H}$. For this ⁵⁹⁸ value of L, a high-degree hybridization takes place, both ⁵⁹⁹ linear-dispersion modes carry both the S_0 and L-induced 600 character, the point A corresponding to the L-induced 601 band. On the contrary, for $L = 30 \ \mu H$ point B, that 602 corresponds to the *L*-induced band, conserves mainly its $_{603}$ L-induced character.

We turn now our attention to the comparison with

 $_{557}$ $C_s = 0.593$ nF, for the crystal under study). When this $_{615}$ gin, clearly observed in the experiments, is perfectly re-

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FIG. 9. (a) Experimental and (b) calculated frequency band structure for the monoatomic crystal of lattice constant $a = a_0$ whose unit cell is depicted in Fig. 3(c), loaded with impedance Z_e [Eq. (B1)]. In (b) the dispersion lines are colorindexed with the projection of the potential value averaged in the upper-side electrode of the unit cell.

616 produced in the calculations. The same holds for the ⁶¹⁷ bright part of the A_1 -like branch [see point A in Fig. 9(a)], ⁶¹⁸ though the latter is not discernible experimentally close $_{619}$ to its cut-off frequency at ~ 0.5 MHz (this was also 620 the case for the crystals presented in Sec. III A and $_{621}$ III B). The S_0 -like branch is also observed experimen-622 tally, though with lower amplitude than predicted in the 623 theoretical calculation. We note that the latter fails to ₆₂₄ capture the degree of repulsion of the trajectories in the 625 vicinity of point A and for frequencies above it (this 626 avoiding is predicted to be larger than experimentally 627 observed). We close this part by a general remark con- $_{628}$ cerning the A_0 -like branch which is not observed (apart ⁶²⁹ some slightly visible parts close to X point), since the po-⁶³⁰ tential takes very low values along it, in all cases studied 631 here, in perfect agreement with our numerical calcula-632 tions.

CONCLUSIONS 633 IV.

634

635 636 638 and loaded with inductive circuits. These structures, 639 studied experimentally and theoretically, exhibit a va-640 riety of localized and/or extended in frequency modes 641 that originate from an electric circuit behavior related to 642 the inductive loads and span the low, intermediate, or ⁶⁴³ high frequency range in a typical dispersion plot. This 644 low-pass, band-pass, and high-pass behavior that mani-⁶⁴⁵ fests itself as an important potential variation along these ⁶⁴⁶ trajectories, combined to the automatized, controllable 647 character of our experimental device, constitute a power-⁶⁴⁸ ful tool for applications targeting real-time manipulation ⁶⁴⁹ of elastic waves via EM waves and vice-versa. We demon-⁶⁵⁰ strate the appearance of unusual, high-valued, positive or ⁶⁵¹ negative group-velocity branches, not encountered in typical Lamb-like dispersion plots of phononic plates, easily 652 ⁶⁵³ tuned via the external inductive loads.

Our experimental results, enhanced by non-ordinary, 654 ⁶⁵⁵ high-resolution signal processing techniques, are in ac-⁶⁵⁶ cordance with the theoretical predictions —despite some ⁶⁵⁷ isolated discrepancies; they confirm the basic underlying ⁶⁵⁸ mechanisms analyzed in this paper and the main phe-⁶⁵⁹ nomonogical aspects that interest us for applications, our aim being among others the realization of viable, simple 661 devices that can operate under real conditions and pro-⁶⁶² duce the desired effects in the modulation of the disper-⁶⁶³ sion properties of these crystals. Nevertheless, although ⁶⁶⁴ its very application-oriented aspect at a first sight, this ⁶⁶⁵ study councils *per se* an important physical insight.

666 DATA AVAILABILITY STATEMENT

The data that support the findings of this study are 667 ⁶⁶⁸ available from the corresponding author upon reasonable 669 request.

670 Appendix A: Equivalent electric circuits

A piezoceramic plate with metallized surfaces can be 672 effectively described by an equivalent capacitor. When 673 metallic strips are structured periodically on its both sur-674 faces, we can use an equivalent picture of a periodic trans-675 mission line whose unit cell coincides with the unit cell of 676 the real structure. We give in what follows the electric-677 circuit models that correspond to such a description for 678 the cases studied in this paper.

679 **1**. Two-atom grounded-L crystal

The equivalent circuit that models the two electric res-680 $_{681}$ onators shown in Fig. 3(a) when the piezoelectric cou- $_{682}$ pling is switched off is depicted in Fig. 10(a). We define 683 all voltages in the input, V_{A_i} , and output, $V_{B_i} = \mathcal{P}V_{A_i}$, In conclusion, we have presented a thorough analy- 684 of the unit cell with respect to a common ground refersis of the dispersion properties of piezoelectric phononic 685 ence, where j = 1, 2, and $\mathcal{P} = e^{-ik_1 a}$ is the Bloch phase $_{637}$ plates, structured with metallic arrays on their surfaces $_{666}$ factor (we assume an $e^{+i\omega t}$ time dependance in all fields);

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The unit cell of a periodic transmission line FIG. 10. describing equivalently (a) the two-atom grounded-L crystal [Fig. 3(a)], (b) the two-atom floating-potential-L crystal [Fig. 3(b)], and (c) the intercellular-L crystal [Fig. 3(c)], when electromechanical coupling is switched off.

687 the incoming and outgoing electric currents are respec-688 tively I_j and $\mathcal{P}I_j$. Application of Kirchhoff's current and ⁶⁸⁹ voltage laws leads to a secular equation depending only 690 on \mathcal{P} and the set of unit-cell impedances. We thus ob-

$$\omega^{\pm}(k_1) = \left[LC \left(1 + 2\frac{C_s + C_1 + C_2}{C} \right) \right]$$

$$-2\frac{C_1}{C}\cos k_1 a \mp 2\frac{C_2}{C}\cos \frac{k_1 a}{2}\right]^{-1/2}.$$

 $_{693}$ At Γ point (center of the BZ) the two distinct eigen-⁶⁹⁴ frequencies are $\omega_0^+ = \left[LC\left(1+2\frac{C_s}{C}\right)\right]^{-1/2}$ and $\omega_0^- = \left[LC\left(1+2\frac{C_s+2C_2}{C}\right)\right]^{-1/2}$, corresponding to a *bonding* and 696 an antibonding mode; at X point (edges of the BZ) a ⁶⁹⁷ double degenerate eigenfrequency is found to be $\omega_{\pi} = \frac{1}{698} \left[LC \left(1 + 2 \frac{C_s + 2C_1 + C_2}{C} \right) \right]^{-1/2}$. The width $\Delta \omega^{\pm} = |\omega_0^{\pm} - \omega_0^{\pm}|$ of these cos-like resonant bands is finite, and con- $_{700}$ trolled by L and the internal effective parameters of the ⁷⁰¹ model C, C_s, C_1 and C_2 . We note that these capacitors 702 do not correspond to real electronic elements in our de- $_{703}$ vice, but they describe its equivalent behavior. C and C_s 704 account for a capacitive effect between electrodes located $_{705}$ at the two opposite sides (i.e., along x_3 -direction) and at ⁷⁰⁶ the same side (i.e., along x_1 -direction) of the plate, re-⁷⁰⁷ spectively. C_1 and C_2 describe the capacitive interaction To between electrodes of the same side at distance $a = 2a_0$ ⁷⁰⁹ and between electrodes at the opposite sides at distance 710 $R = \sqrt{a_0^2 + h^2}$, respectively, in one-to-one analogy with ⁷¹¹ the overlapping coefficients γ_1 , γ_2 of the tight-binding 712 model.

Two-atom floating-potential-L crystal 713 2.

For the case of the two-atom crystal with an alterna-714 ⁷¹⁵ tion of floating-potential EBCs and inductance loads — ⁷¹⁶ its unit cell is given in Fig. 3(b)— we proceed in a similar manner to construct its equivalent circuit [see Fig. 10(b)] ⁷¹⁸ that models the two electric resonators. Using the same 719 steps as for the previous case, we obtain, after a rela-720 tively lengthy but straightforward algebra, the following 721 dispersion equation for the two electric bands

$$\omega^{\pm}(k_{1}) = \left\{ 2LC \left[\frac{C_{1} + 2C_{2}}{C} + \frac{C_{s}}{C} \frac{2C + C_{s}}{C + 2C_{s}} \right] - \left(\frac{C_{1}}{C} + \frac{C_{s}}{C} \frac{C_{s}}{C + 2C_{s}} \right) \cos k_{1} a \right\}$$
$$= 2 \left(\frac{C_{2}}{C} + \frac{C_{s}}{C + 2C_{s}} \right) \cos \frac{k_{1} a}{2} \right\}^{-1/2}.$$

 $_{722}$ At Γ point two distinct eigensolutions are found, one fi-723 nite $\omega_0^- = \left[8LC_2\left(1 + \frac{C}{C_2}\frac{C_s}{2C_s + C}\right)\right]^{-1/2}$ and one diverg- $_{724}$ ing as $\omega_0^+ \sim \left[LC_1 \left(1 + \frac{C_s}{C_1} \frac{C_s}{2C_s + C} \right) \right]^{-1/2} (k_1 a)^{-1}$. The ⁷²⁵ higher frequency branch $\omega^+(k_1)$ behaves as an hyper-⁷²⁶ bolic function for $k_1 a \ll 1$, while the lower frequency ⁷²⁷ branch $\omega^{-}(k_1)$ corresponds to a cos-like resonant band ⁶⁶⁰ on \mathcal{P} and the set of unit-ten impedances. We thus ob-⁶⁹¹ tain the following dispersion equation for the two electric ⁶⁹² resonant bands ⁷²⁸ of finite width $\Delta \omega^- = |\omega_0^- - \omega_\pi|$, where ω_π is the com-⁷²⁹ mon, double degenerate eigenfrequency at X point, found To be $\omega_{\pi} = \left[4L\left(C_1 + C_2 + C_s \frac{C+C_s}{C+2C_s}\right)\right]^{-1/2}$. The form To these two dispersive modes is still controlled by L and To the internal effective parameters of the model C, C_s, C_1 (A1)

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FIG. 11. Real (a) and imaginary (b) part of the impedance load Z_e used in the external circuits of Fig. 3. Solid and dotted lines represent the real (measured) and modeled [Eq. (B1)] function, respectively; in (b) the impedance of a pure inductance $L = 30 \ \mu\text{H}$ is also shown (broken line) for comparison.

 $_{733}$ and C_2 , whose physical meaning is the same as that for $_{734}$ the grounded-L crysral.

735 **3.** Intercellular-*L* crystal

The unit cell of Fig. 3(c) is modeled by the periodic Tarasmission line whose unit cell is shown in Fig. 10(c). Tage We easily obtain the following dispersion relation

$$\omega(k_1) = \omega_0 \left[\frac{C}{4C_s} + \left(2\sin\frac{k_1a}{2} \right)^{-2} \right]^{-1/2},$$
$$\omega_0 = \left[\frac{1}{LC} \left(1 + \frac{C}{4C_s} \right) \right]^{1/2}$$
(A3)

⁷³⁹ from which one can immediately deduce the angular fre-⁷⁴⁰ quency at X point, $\omega_{\pi} = \frac{1}{\sqrt{LC_s}}$, and the effective medium ⁷⁶⁶ ⁷⁴¹ velocity at the long wavelength limit $c_{\text{eff}} = \lim_{k_1 \to 0} \frac{\omega}{k_1} = \frac{^{767}}{^{768}}$ ⁷⁴² $a\omega_0$. In this simplified but still quite accurate model, ⁷⁶⁹ ⁷⁴³ knowledge of two external parameters, e.g. ω_{π} and ω_0 ⁷⁷⁰ ⁷⁴⁴ (or, equivalently, c_{eff}), is sufficient to determine the in-⁷⁴⁵ ternal model parameters, C and C_s .

746 Appendix B: Impedance-load function

⁷⁵⁵ representative one, extracted from the crystal— is plot-⁷⁵⁶ ted in Fig. 11 against frequency, f, and both its real and ⁷⁵⁷ imaginary parts [plots (a) and (b), respectively] show a ⁷⁵⁸ resonant behavior at about 1.71 MHz. Within the fre-⁷⁵⁹ quency range that interest us here (up to 2 MHz) this ⁷⁶⁰ function can be in a very good approximation described ⁷⁶¹ by the following rational expression

$$Z_{\rm e} = \frac{\alpha_4 f^4 + \alpha_3 f^3 + \alpha_2 f^2 + \alpha_1 f + \alpha_0}{f^2 + \beta_1 f + \beta_0}$$
(B1)
= $\gamma_0 + \gamma_1 f + \gamma_2 f^2 + \frac{\delta_+}{f - f_+} + \frac{\delta_-}{f - f_-}$

⁷⁶² where f is expressed in MHz in the above expressions, ⁷⁶³ and α_n and β_n are appropriate complex coefficients ob-⁷⁶⁴ tained by a fitting procedure

$$\begin{aligned} \alpha_4 &= -5.15566 - i 5.18013 \times 10^{-14}, \quad (B2) \\ \alpha_3 &= -6.96706 \times 10^{-14} + i 22.0970, \\ \alpha_2 &= 52.4644 + i 4.78721 \times 10^{-13}, \\ \alpha_1 &= 4.56112 \times 10^{-13} - i 452.779, \\ \alpha_0 &= 56.4767 - i 7.41831 \times 10^{-13}, \\ \beta_1 &= -7.61040 \times 10^{-17} - i 0.0790166, \\ \beta_0 &= -2.94215 + i 8.96296 \times 10^{-18}, \end{aligned}$$

765 and

777

$$\gamma_{0} = \alpha_{2} - \alpha_{3}\beta_{1} + \alpha_{4}(\beta_{1}^{-} - \beta_{0})$$
(B3)
= 35.5818 + i 3.22798 × 10⁻¹³,
$$\gamma_{1} = \alpha_{3} - \alpha_{4}\beta_{1}$$

= -6.59699 × 10⁻¹⁴ + i 21.6896,
$$\gamma_{2} = \alpha_{4},$$

$$\delta_{\pm} = \pm 51.4400 - i 193.077,$$

$$f_{\pm} = \frac{1}{2} \left(-\beta_{1} \pm \sqrt{\beta_{1}^{2} - 4\beta_{0}} \right)$$

= ±1.71481 + i 0.0395083.

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