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Clinical and neuropathological diversity of tauopathy in MAPT duplication

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ABSTRACT:

1

2 Microduplications of the 17q21.31 chromosomal region encompassing the MAPT gene, which 3 encodes the Tau protein, were identified in patients with a progressive disorder initially 4 characterized by severe memory impairment with or without behavioral changes that can 5 clinically mimic Alzheimer disease. The unique neuropathological report showed a primary 6 tauopathy, which could not be unanimously classified in a given known subtype, showing both 7 4R- and 3R-tau inclusions, mainly within temporal cortical subregions and basal ganglia, 8 without amyloid deposits. Recently, two subjects harboring the same duplication were reported 9 with an atypical extrapyramidal syndrome and gait disorder. To decipher the phenotypic 10 spectrum associated with MAPT duplications, we studied 10 carriers from 9 families, including 11 2 novel unrelated probands, gathering clinical (n=10), cerebrospinal fluid (N=6), MRI (n=8), 12 dopamine transporter scan (n=4), functional (n=5), amyloid (n=3) and Tau-tracer (n=2) PET 13 imaging data as well as neuropathological examination (n=4). Ages at onset ranged from 37 to 14 57 years, with prominent episodic memory impairment in 8/10 patients, associated with 15 behavioral changes in four, while two patients showed atypical extrapyramidal syndrome with 16 gait disorder at presentation, including one with associated cognitive deficits. Amyloid imaging 17 was negative but Tau imaging showed significant deposits mainly in both mesiotemporal 18 cortex. Dopaminergic denervation was found in 4/4 patients, including three without 19 extrapyramidal symptoms. Neuropathological examination exclusively showed Tau-20 immunoreactive lesions. Distribution, aspect and 4R/3R tau aggregates composition suggested 21 a spectrum from predominantly-3R, mainly cortical deposits well correlating with cognitive and behavioral changes, to predominantly-4R deposits, mainly in the basal ganglia and 22 23 midbrain, in patients with prominent extrapyramidal syndrome. Finally, we performed in vitro 24 seeding experiments in HEK-biosensor cells. Morphological features of aggregates induced by homogenates of three MAPT duplication carriers showed dense/granular ratios graduating 25 26 between those induced by homogenates of a Pick disease and a progressive supranuclear palsy cases. These results suggest that MAPT duplication causes a primary tauopathy associated with 27 28 diverse clinical and neuropathological features.

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Keywords: tau, MAPT duplication, progressive supranuclear palsy, Pick disease, Tau seeding.

INTRODUCTION

1

2 The MAPT gene encodes tau, a microtubule binding protein, which forms pathological cellular 3 aggregates in several neurodegenerative disorders termed tauopathies [14, 20]. In primary 4 tauopathies, tau is the driving pathological factor whereas in secondary tauopathies such as 5 Alzheimer Disease (AD), the pathological process is associated with the interaction of tau with 6 other proteins or peptides, namely the AB peptide in AD. Additionally, tauopathies can be 7 divided into 3R, 4R or mixed subtypes according to the isoforms that accumulate. The MAPT 8 messenger RNA can be alternatively spliced resulting in 6 isoforms of the tau protein. 4R-tau 9 refers to tau isoforms including 4 microtubule-binding repeats whereas 3R-tau includes only 3 repeats due to the splicing out of MAPT exon 10 [14]. 10 11 The 17q21.31 microduplication is a rare recurrent rearrangement involving four genes (CRHR1, 12 MAPT, STH and KANSL1) which causes a recently recognized neurodegenerative disorder with 13 poorly defined phenotypic features [15]. Among the first few cases initially reported, a constant 14 clinical feature was severe memory impairment, associated in some cases with behavioral 15 changes leading either to a clinical diagnosis of typical Alzheimer disease (AD) phenotype or 16 behavioral variant of Alzheimer disease [1, 12, 15, 21]. In a previous report, we found that the 17 cerebrospinal (CSF) biomarkers profile of the 17q21.31 duplication was consistent with an AD 18 diagnosis in 3/4 patients, including tau-related neurodegenerative processes and abnormal 19 amyloid β (A β) levels. However, amyloid positron emission tomography (PET) imaging did 20 not support this conclusion [15]. So far, detailed neuropathological examination has been 21 published only for one patient [1]. This case showed a marked atrophy of the frontal and the 22 temporal lobes, hippocampus, amygdala, and a depigmentation of the substantia nigra. 23 Immunostaining for A β and α -synuclein remained negative in all regions examined. The most 24 prominent neuropathological alterations were 4R-tau inclusions, although 3R-tau positive 25 neurofibrillary tangles were also observed in the hippocampus, entorhinal cortex, striatum, nucleus basalis of Meynert and substantia nigra. Despite its clinical presentation and CSF 26 27 biomarkers profile in some patients, the 17q21.31 duplication thus appears to cause a pure 28 tauopathy. The main pathophysiological mechanism associated with this duplication is a 1.6-29 1.9 fold increase of the MAPT messenger RNA as measured in blood samples of duplication 30 carriers [15], presumably increasing tau protein levels. To further complicate the matter, it has 31 recently been reported that this duplication was present in two subjects clinically diagnosed 32 with a different phenotype, i.e. atypical extra-pyramidal syndrome with gait disorder [4]. 33 However, the in-depth description of neuropathological findings in those last two cases was not 34 reported. In the present article, we describe novel cases, reanalyze the previously published

1 cases with additional imaging and CSF data and report the detailed results of four

2 neuropathological examinations.

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METHODS

5 Cases

- 6 Eight demented cases with an MAPT microduplication collected from year 2009 to year 2019
- by our laboratory were studied. Six cases were previously published [15, 21]. For two of those
- 8 six cases, we add here neuropathological data that were not available at the time and updated
- 9 clinical and paraclinical information. Two additional unpublished cases were identified in the
- meantime and added to this series. Finally, two cases, enrolled in a series of extrapyramidal
- syndromes, were previously published without neuropathological details [4], which we are
- 12 providing here.
- All patients (or legal representatives) gave informed, written consent for genetic analyses. All
- 14 patients underwent a comprehensive clinical examination including personal medical and
- 15 family history, neurological examination, neuropsychological assessment and, for some,
- 16 lumbar puncture with CSF biomarkers analysis. Structural Magnetic Resonance Imaging
- 17 (MRI), ¹²³I-Ioflupane Dopamine Transporter Single Photon Emission Computerized
- 18 Tomography (Datscans) and ¹⁸Fluro-deoxy-glucose (FDG) Positon Emission Tomography
- 19 (PET) or single-photon emission computed tomography (SPECT) with hexa-methyl-propylene-
- amine-oxime (HMPAO) imaging were collected when performed as well as Amyloid and Tau-
- 21 PET cerebral imaging (see details below). We collected clinical and paraclinical information
- 22 from medical charts of all participants. Autopsies for cases were performed with the
- 23 authorization of the patient himself or the next of keen. Cases ALZ 441 005 and
- 24 ALZ 596 006 were obtained through the French national brain bank network, Neuro-CEB. We
- 25 certify that the study was performed in accordance with the ethical standards as laid down in
- the 1964 Declaration of Helsinki and its later amendments.

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Genetic analyses

- 29 Copy Number Variants (CNVs) were identified from whole exome sequencing data and
- 30 confirmed by quantitative multiplex PCR of short fluorescent fragments (QMPSF) as
- 31 previously described [15], except for the two additional cases identified by DNA chips (SNP
- 32 array genotyping) and validated by qPCR [4]. H1/H2 MAPT haplotypes genotyping was
- determined by SNaPshot experiments using the rs1052553 single nucleotide polymorphism as
- a tag. Sub-haplotypes could not be determined because, in most individuals, it was not possible

- to unambiguously phase the polymorphisms used to tag these sub-haplotypes.
- 2 Exon 10 and at least 20 bp of the surrounding splicing regions were re-sequenced with the
- 3 Sanger technique using specific primers (available upon request).

5

CSF biomarker analysis

- 6 CSF biomarker analyses were carried out in 6 different laboratories as part of the diagnostic
- 7 workup using a standardized procedure. CSF samples were obtained using a Sprotte needle and
- 8 collected in polypropylene tubes. They were aliquoted after centrifugation into polypropylene
- 9 Eppendorf tubes, then frozen at -80° C within 1 h. A β_{42} , Tau (total-tau protein), and P-Tau
- 10 (phosphorylated-tau protein in position 181) measurements were performed using an enzyme-
- linked immunosorbent assay (ELISA) (Fujirebio) according to the manufacturer's instructions.
- 12 The analysis of all biomarkers was performed in two runs and averaged for statistical analyses.
- 13 The quality of the results was ensured by the use of validated standard operating procedures
- and internal quality controls (QC) as all centers were already involved in nationwide studies.
- The following cut-offs were used: $A\beta_{42} < 550 \text{ pg/mL}$, Tau > 350 pg/mL, P-Tau < 60 pg/mL. When
- A β_{40} was available, the normal A β_{42} /A β_{40} ratio cut-off was >0.05.

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Cerebral Imaging Data

- 19 When available, MRI scans were assessed using native DICOM format images. The presence
- of a cortical atrophy was evaluated; hippocampal atrophy was bilaterally scored following
- 21 Scheltens visual rating scale [24]. Cortical functional imaging was assessed to identify focal
- 22 hypometabolism using ¹⁸FDG-PET or hypoperfusion using HMPAO-SPECT. Available
- 23 DaTscan interpretations of trained nuclear physicians were used to confirm or identify
- 24 dopaminergic denervation.

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Amyloid and Tau PET imaging

- 27 Amyloid-β PET imaging of three patients was performed using [¹⁸F]-florbetapir (n=1), [¹⁸F]-
- 28 florbetaben (n=1) or [18F]-flutemetamol (n=1) as previously described [15]. Tau-PET
- 29 examination was performed in two patients (and compared to 13 already available control
- subjects, mean age = 68.8 years [64-75]) on a High-Resolution Research Tomograph (HRRT;
- 31 CTI/Siemens Molecular Imaging). The acquisition was performed from 80 to 100 minutes after
- 32 intravenous administration of 382 ± 11.3 MBq of [18 F]-flortaucipir. Dynamic list mode
- acquisitions were binned into successive 5-minute time frames. Parametric images were created
- 34 using BrainVisa software (http://brainvisa.info) by averaging the original 5-min images over

1 80-100 min after administration of flortaucipir. Standard Uptake Value ratio (SUVr) parametric

2 images were obtained by dividing each voxel by the average value of the cerebellar grey matter

region-of-interest with an erosion of 4 mm. Seventy-six cortical volumes of interest (VOI) were

defined based on individual MRI scans and then applied onto the subject's PET space following

coregistration. The VOIs, defined separately for the left and right hemispheres, were pooled

into broader anatomical volumes of interest: we considered three cortical VOIs on the left and

right sides respectively encompassing the temporal (with its parahippocampal, fusiform and

polar sub-regions), parietal and frontal lobes.

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Neuropathological examination

For each autopsy case, one hemisphere was fixed in formalin (fixation times between 8 and 27

months) and the contralateral hemisphere was frozen at -80°C, except for case EXT_2000_001

in which the whole brain was fixed in formalin. Immunostaining was performed with the

14 Benchmark station automated system (Ventana Medical system Inc., Roche) using the

following antibodies: anti-phospho-tau (pS202,pT205): clone AT8 (mouse monoclonal,

16 ThermoFischer, 1/500); anti 3R-Tau (RD3): clone 8E6/C11 (mouse monoclonal, Merck, 1/100);

anti 4R-Tau (RD4): clone1E1/A6 (mouse monoclonal, Merck, 1/50), anti phospho-tau

(pT212,pS214): clone AT100 (mouse monoclonal, ThermoFischer, 1/500), anti p-62: clone

19 3/P62 lck ligand (mouse monoclonal, BD Biosciences, 1/500), anti-Aβ antibody: clone 6F/3D

20 (mouse monoclonal, Agilent, 1/200); anti-TDP43 (rabbit polyclonal, Proteintech, 1/1,000); anti-

21 α-synuclein: clone 5G4 (mouse monoclonal, Millipore, 1/4,000). Except for

immunohistochemistry with the Aβ and p62 antibodies, tissue was pretreated using a buffer at

pH8 at 95°C between 8 minutes and 1 hour before adding the antibodies. The antibodies were

24 incubated at 37°C between 32 minutes and 2 hours. The antigen retrieval used for Aβ was 5

25 minutes in formic acid and incubation of the antibody overnight at room temperature. For p62,

26 the antigen retrieval was done in a buffer at pH 6 for 76 minutes and incubation of the primary

27 antibody for 96 minutes at 37°C. Gallyas and Bielschowsky silver techniques were used to

assess argyrophilic properties of tau aggregates [29, 30].

29 Aβ oligomers were extracted from frontal cortex following Lesné et al. procedures [16] and

analyzed by Western blot using WO2 antibody (Covance, Princeton, NJ).

31 Molecular profiles of tau aggregates were analyzed from sarkosyl-insoluble fraction following

32 the protocol described by Sahara and Kimura [22] for patients ALZ_441_005 and ALZ

33 _596_006 using brain samples extracted from frontal cortex and striatum. A patient with Pick's

34 disease (PiD), an AD patient and a control individual were processed in parallel. Sarkosyl-

insoluble fractions from patient EXT_1998_001 and a subject with progressive supranuclear palsy (PSP) were obtained following the protocol described by Dan et al [6]. Indeed, the protocol from Sahara and Kimura did not enable us to obtain sufficient amounts of sarkosylextractable aggregates for EXT 1998 001. Hence, we observed a slightly different staining for patient EXT 1998 001; notably, the 3R staining revealed several bands from lower molecular weight, which were not observed for the other patients. For the sake of comparison, the AD patient and the control individual were also extracted with this method. Sarkosyl-insoluble fractions were analyzed by Western blot using the following antibodies: anti Phospho-tau (pT181) antibody: AT270 (mouse monoclonal, ThermoFischer, 1/200), anti 3R-Tau (RD3): clone 8E6/C11 (mouse monoclonal, Merck, 1/1,000), anti 4R-Tau (RD4): clone1E1/A6 (mouse monoclonal, Merck, 1/200).

Quantification of the 4R/3R tau ratio from brain mRNA

The mRNA from frontal cortex and striatum of three patients carrying the *MAPT* duplication and 5 normal controls was extracted using RNA extraction kit (Macherey-Nagel). Reverse transcription was performed using verso cDNA kit (ThermoFisher Scientific). Then, cDNAs were PCR-amplified in a limited number of cycles, using a 6-FAM labelled F primer located on exon 9 (5'-CCCAAGTCGCCGTCTTCC-3') and a R primer located on exon 11 (5'-TGGTTTATGATGGATGTTGCCT-3'). This resulted in the amplification of 2 fragments of 207 and 300 bp, depending on the exclusion (3R-Tau) or inclusion (4R-Tau) of exon 10, respectively. After migration on an ABIprism 3500 automated sequencer (Applied Biosystems), the peak heights were quantified using the GeneMapper5 software in order to determine the 4R/3R Tau ratios.

In vitro seeding experiments

Preparation of human brain homogenates. In addition to brain tissues from ALZ_441_005, ALZ_596_006 and EXT_1998_001, three additional *post-mortem* brains, collected in a brain donation project and stored in the French national brain biobank (Bioressource Research Impact Factor number = BRIF BB-0033-00011), were used for this study: one 72-year-old male corresponding to a control case devoid of any Aβ, phospho-tau or α-synuclein accumulation, one 64-year-old female with progressive supranuclear palsy (PSP case) and one 61-year-old male with Pick's disease (PiD case). The following samples were chosen: striatum of the three *MAPT* duplication cases, pons of the PSP case (as example of a 4R tauopathy) and frontal cortex of the PiD case (as example of a 3R tauopathy). The striatum of the control case was also

1 studied. Approximately 150 mg of frozen tissue were thawed on ice and mixed with ice-cold 2 phosphate-buffered saline (PBS) containing protease and phosphatase inhibitor cocktail 3 (Thermo Fisher Scientific), to reach a final solution of 20% weight/volume. Tissue was 4 homogenized by 15-20 strokes in a 1 mL glass homogenizer (Fisher Scientific), briefly 5 sonicated on ice with a Misonix Q125 sonicator (Q-sonica) and clarified by centrifugation 6 (3000 g, 5 min, 4°C), as previously described [27]. Supernatants were collected, aliquoted and 7 stored at -80°C. Concentrations of tau proteins were assessed by total tau (Total) Human ELISA 8 Kit (Invitrogen, Thermo Fisher Scientific). 9 Treatment of biosensor cells sensitive to tau seeding. The seeding activity of each homogenate was evaluated on a misfolded tau biosensor (HEK cells expressing tau RD P301S coupled with 10 YFP or CFP fluorochromes, #CRL-3275, ATCC, LGC Standards). Those cells were plated in 11 12 24-well plates and treated in duplicate, following the original protocol adapted at the laboratory [9, 11], with a few adjustments. A transduction mix was prepared with 43.75 µL Opti-MEM 13 14 (Gibco, Thermo Fisher Scientific) and 6.25 µL Lipofectamine 2000 (Invitrogen, Thermo Fisher 15 Scientific), combined with 50 µl of the homogenate diluted in Opti-MEM and normalized by 16 total tau. The transduction mix was briefly spun, incubated for 30 min at room temperature and 17 added to the cells. Cells were left in the incubator during 24h. The experiment was replicated 18 three times. 19 Analysis. Treated cells were re-plated on 13 mm diameter coverslips coated with poly-D-lysine 20 (Sigma-Aldrich) and left 6-7h in the incubator. Cells were fixed in 4% PFA and counterstained 21 with DAPI. The coverslips were mounted with Fluoromount (Sigma-Aldrich). Slides were 22 stored in the dark at 4°C until observation with an inverted confocal Leica TCS SP8 DLS (Leica 23 Microsystems). The YFP/CFP positive cells were manually counted from max intensity 24 projection images (4 microscopic fields per coverslip, 8 per condition). The morphology 25 profiles of YFP/CFP positive aggregates were assessed from stack images (50 ± 10 aggregates 26 per condition). 27

28 RESULTS

In addition to the six 17q21.31 duplication carriers already reported by our group [15, 21], and the two reported in the Chen et al. paper [4], we have identified two unrelated novel cases carrying the same rearrangement, leading to a total of 10 cases from 9 families. In all cases, the size of the duplicated segment was identical and encompassed the entire *MAPT* coding sequence. As displayed in Table 1, these microduplications occurred in different H1/H2 haplotypic backgrounds.

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Clinical features

Clinical phenotypes of all 10 carriers are summarized in Table 1. Mean age of onset was 51.3 3 years (range: [37-57]) with 6/10 being male patients. In 8 cases, an amnestic syndrome was the 4 5 main clinical phenotype. Among them, 4 patients initially showed exclusive memory 6 impairment, 3 presented with memory loss associated with either apathy or other behavioral 7 disturbances and one first exhibited behavioral disorder and then memory impairment. The 8 cognitive follow-up of seven patients including a verbal episodic memory assessment by the 9 Free and Cued Selective Reminding Test, revealed a hippocampal dysfunction defined as an abnormal free recall without normalization of the cued retrieval [23]. On the remaining 10 cognitive domains, behavioral and cognitive dysexecutive syndromes (following criteria 11 12 available at ref. [10]) were identified for 6 patients. Accordingly, the verbal fluency was in 13 abnormal ranges in all of them. None of them presented with visuoperceptual impairment. 14 Neurological examination of these 8 patients was otherwise unremarkable at first visit. In 15 particular, there was not any motor, sensitive, pyramidal tract or extrapyramidal signs. No 16 vertical gaze palsy was noticed. All of them gradually declined towards a severe memory deficit 17 with misorientation and gesture apraxia inducing a progressive impact on instrumental activities 18 of their daily living. 19 Both cases with an initial akinetic-rigid syndrome developed gait disorders with falls associated 20 with dysarthria, resting tremor and genito-urinary dysfunction. Symptoms were considered 21 levodopa moderately sensitive in EXT 2000 001 and levodopa-resistant in EXT 1998 001. 22 No vertical gaze palsy was reported for EXT 1998 001; it was noted only during the last year 23 before death of EXT 2000 001. In this last patient, executive and attention deficits with 24 judgment impairment and perseverative ideas were reported after a time course of five years 25 (one year before death). Unfortunately, no detailed cognitive assessment was available for this patient. In patient EXT 1998 001, a memory impairment was present at first examination and 26 27 progressively worsened, 4 years after onset of motor signs and 3 years before death (MMSE 28 scored 23/30 at year 4 and MMSE scored 17/30 with complete temporal disorientation at year 29 5). Due to these unusual clinical presentations, main clinical hypotheses during the follow-up 30 of both patients were first multiple system atrophy with predominant parkinsonism and then 31 atypical progressive supranuclear palsy (PSP). 32 Among this group of MAPT duplication carriers, at the present time, five patients have died 33 from their disease. Autopsies were available in 4 of them. Mean disease duration was 10.1 years 34 [5-20] when considering the whole group of carriers and 12.0 years when restricting to deceased

1 patients.

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CSF biomarkers

- 4 As can be seen in Table 1, CSF tau and p-tau values were in the abnormal ranges while CSF Aβ
- 5 was normal in the two novel patients (EXT 1593 001 and EXT 1687 001). In one previously
- 6 reported individual (ALZ 596 001) with an $A\beta_{42}$ value below the threshold, a second CSF
- 7 biomarker assessment following a novel lumbar puncture (4 years after the first one) showed
- 8 an A β_{42} value in the normal range (646 pg/mL). Overall, CSF A β_{42} values were considered in
- 9 the normal ranges in 4/6 patients tested.

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Brain imaging patterns (MRI, FDG-PET, DAT-SCAN)

- MRI scans, performed between one and five years after disease onset, were available for eight
- patients. White matter hyperintensities on FLAIR-weighted images were present in 3/8 patients
- but were mild, punctiform and periventricular for ALZ 596 001 and EXT 1114 001 (with a
- 15 medical history of vascular risk factors) or posterior, moderate and periventricular for
- EXT 1593 001 (who presented smoking addiction) (Fig. 1a). Cortical atrophy was present in
- all patients although differentially located. Internal temporal atrophy was found in all cognitive
- patients, associated with anterior temporal atrophy in three patients (ALZ 596 001,
- 19 EXT 1687 001, ALZ 441 005) or parietal and occipital atrophy in another three
- 20 (EXT 1593 001, EXT 1114 001 and ROU 1373 001) (Fig. 1a to 1d). Conversely, no cortical
- 21 atrophy was noticed for patients EXT 1998 001 and EXT 2000 001.
- Functional imaging using FDG-PET or HMPAO-SPECT, showed a pattern consistent with the
- 23 atrophy profiles on MRI. Tracer uptake was decreased in the temporal cortex of all five
- 24 analyzed patients. The uptake was additionally decreased in the parietal regions of three
- 25 patients: ROU 1373 001 (Fig. 1e), EXT 1593 001 and ALZ 441 005. Interestingly, that last
- 26 patient also showed a severe frontal right hypometabolism.
- 27 DaTscans were performed in four patients. Only one of them (EXT_2000_001) presented with
- an extrapyramidal syndrome. The three other patients had no extrapyramidal syndrome, neither
- 29 at first visit, nor at follow up. Strikingly, all four patients showed significantly decreased uptake
- of the tracer, suggesting bilateral nigro-striatal dopaminergic neuronal loss, either symmetrical
- 31 for ROU 1373 001 and EXT 2000 001, or asymmetrical for EXT 1593 001 and
- 32 EXT 1687 001 (left predominance for both).

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Molecular imaging

- Amyloid PET was available in three patients (already reported in [15]) including in patient
- 2 ALZ_596_001 with initially low and then normal CSF Aβ₄₂ values, and in patient
- 3 EXT_1114_001 with abnormal A β_{42} values. Amyloid PET scans were all considered as normal
- 4 (Table 1).
- 5 Tau-PET imaging using [¹⁸F]-flortaucipir was performed in two patients. On visual inspection,
- 6 we found that the tracer binding, eight years after disease onset, was strongly increased in the
- 7 temporal lobes and in the posterior and superior region of the frontal lobes of ALZ 596 001
- 8 (Fig. 1f). The other patient (ROU 1373 001) had less pronounced [18F]-flortaucipir binding on
- 9 the imaging performed nine years after onset. The uptake was restricted to the medial and
- anterior temporal regions. A control group of 13 individuals was used to calculate the mean and
- the standard deviation (SD) of the SUVRs in the regions of interest. The SUVRs of ALZ
- 12 596 001 were above mean plus 1.96 SD of controls (95% confidence interval), in the temporal
- lobes (parahippocampal, fusiform, inferior temporal and temporo-polar regions), as well as in
- the supplementary motor areas. In patient ROU 1373 001, only the parahippocampal gyri and
- right temporal pole were above mean plus 1.96 SD (Fig. 1g).

Neuropathological findings

- Neuropathological findings are summarized in Fig. 2, Fig. 3, Table 2, supplementary Fig. 1,
- supplementary Fig. 2, supplementary Fig. 3, Table 2 and supplementary Table 1.

21 **ALZ 441 005**

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- At autopsy, the brain was extremely atrophic, the left hemisphere weighted 347 g after formalin
- 23 fixation. Macroscopic examination of the left hemisphere revealed a severe atrophy of the
- 24 frontal, temporal and parietal lobes, mostly affecting the association cortices. The striatum was
- also atrophic, in particular the caudate nucleus. The hippocampus and amygdala were barely
- identifiable (Supplementary Fig. 1a, b). The brainstem also showed a generalized atrophy. There
- 27 was a loss of pigment of the substantia nigra and the locus cœruleus was not identifiable.
- Finally, in the cerebellum, in addition to a chronic cavitary infarction of the left hemisphere,
- there was an atrophy of the dentate nucleus.
- 30 Histology revealed massive neuronal loss, severe astrogliosis and marked spongiosis in the
- 31 regions where atrophy was seen at macroscopic examination. These neurodegenerative changes
- were associated with severe degeneration of the adjacent subcortical white matter.
- 33 Immunohistochemistry using the AT8 anti-tau antibody revealed a great number of
- 34 hyperphosphorylated tau deposits in neurons, astrocytes and less frequently in oligodendrocytes

1 as well as in the neuropil. Tau positive aggregates were numerous and seen predominantly in 2 the neocortex, striatum, pallidum, limbic regions, including the hippocampus and entorhinal 3 cortex (Fig. 2a, b, Fig. 3) and the cerebellum. Neocortical pathology involved the frontal, temporal and parietal lobes with very little tau pathology in the occipital cortex. Tau pathology 4 5 predominated in the grey matter and was scant to moderate in the white matter. In the 6 brainstem, AT8-positive aggregates were numerous in the midbrain and the pons but not in the medulla oblongata. In neurons, the majority of the deposits were spherical inclusions 7 8 reminiscent of Pick bodies (Fig. 2a). Although the aggregates were mainly 3R-tau, a significant number of inclusions were also 4R-tau immunoreactive. They did not show argyrophilic 9 properties with the Bielschowsky silver staining, except for a few neurons in the dentate gyrus 10 and CA1 regions of the hippocampus, but were positive for Gallyas silver staining (suppl table 11 12 1). Neurons with diffuse cytoplasmic neuronal immunoreactivity or globose neurofibrillary 13 tangles (NFTs) were also observed but in a much lesser number. In the dentate nucleus of the 14 cerebellum, coarse grain intracytoplasmic neuronal inclusions predominated (Supplementary 15 Fig. 2). The astrocytic deposits reminded of ramified astrocytes as in Pick's disease (Fig. 2a). 16 They showed tau positivity close to the nucleus and lacked fine prolongations in the astrocytic 17 processes. Of note was the predominance of neuronal intracytoplasmic spherical inclusions and neuropil threads (NTs) with very few glial lesions seen in the striatum (Fig. 2b). Aggregates in 18 19 oligodendrocytes were not numerous and they were predominant in the white matter of affected 20 regions. Small round spherical inclusions, smaller than the nuclei, similar to those seen in Pick 21 disease, were more abundant than coiled bodies (Supplementary Fig. 2 and 3). 22 Tau aggregates in neurons and astrocytes were labeled with 3R-tau and 4R-tau whereas 23 oligodendrocytes were labelled with 3R-tau and less frequently with 4R-tau. As can be seen in 24 Table 2 summarizing the presence of 3R and 4R-tau deposits among nine brain regions in each 25 available autopsy case, there was a predominance of 3R-tau isoforms over 4R isoforms in 26 neurons and astrocytes of all examined brain regions. It is noteworthy that the striatal neuronal 27 deposits were strictly labeled by 3R but not 4R-tau (Fig. 2b). Neuronal, astroglial and 28 oligodendrocytic aggregates were immunoreactive for p62 and AT100. Argentophilic properties were variable depending on the region. A few neuronal globular deposits showed argentophilia 29 30 with Bielschowsky staining in the dentate gyrus of the hippocampus while they were negative 31 for Gallyas, as seen in Pick disease (Supplementary Fig. 3c). However, in the frontal cortex and 32 striatum they were positive for Gallyas and negative for Bielschowsky silver staining. Small 33 globular oligodendroglial deposits in the white matter showed argyrophilic properties both with 34 the Gallyas and Bielschowsky stainings (Supplementary Fig. 3b).

- 1 Sarkosyl-insoluble fractions from the frontal cortex and striatum blotted with phospho-tau
- 2 antibody revealed two main bands at 55 and 64 kDa, similar to the pattern observed in a PiD
- 3 case processed in parallel. Consistently, when blotted with a 3R-tau antibody, these western
- 4 blots showed intense immunoreactivity whereas no immunoreactivity was detected with the
- 5 4R-tau specific antibody (Fig. 4a).
- 6 Overall, the morphology of tau aggregates was reminiscent of that observed in PiD. However,
- 7 immunohistochemical and argyrophilic properties of the aggregates showed some differences
- 8 and there was abundant pathology in the dentate nucleus of the cerebellum, which is not usually
- 9 observed in PiD. Furthermore, white matter pathology was scant and the globular
- 10 oligodendroglial aggregates were of small size compared to the ones seen in globular glial
- 11 tauopathy.

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ALZ 596 006

- 14 The fixed left hemisphere weighted 587 g. Macroscopic examination revealed a moderate
- atrophy of the medial region of the temporal lobe associated to a moderate ventricular dilatation
- 16 (Supplementary Fig. 1c).
- 17 Histology showed marked neuronal loss associated with reactive gliosis in the amygdala, the
- 18 hippocampus and the entorhinal cortex. A mild to moderate neuronal loss was seen in the
- 19 nucleus basalis of Meynert, the substantia nigra and the locus cœruleus. The cerebellum
- appeared intact at hematoxylin and eosin (H&E) examination.
- 21 Immunohistochemistry revealed marked tau pathology in the neocortex where there was a
- predominance of tau positive astrocytes, with moderate number of NFTs and NTs (Fig. 2a). Tau
- 23 deposits in the astrocytes had various morphologies: some accumulated tau near the nucleus.
- 24 others had fine deposits in the distal part of the processes and in others the deposits were seen
- along the length of the processes. In the striatum, the number of tau aggregates was moderate
- and involved the neurons with the presence of many NFTs and NTs (Fig. 2b). Very few tau
- 27 positive astrocytes were seen in the striatum (Fig. 3). Tau pathology in the pallidum was rare
- 28 (Supplementary Fig. 2). In the brainstem, tau pathology was abundant in the *substantia nigra*,
- 29 which showed many globose NFTs and NTs (Supplementary Fig. 2). In the pons, tau deposits
- were observed mainly in the tegmentum, including the *locus cœruleus*. Both neuronal and
- 31 astrocytic deposits were seen. In the medulla oblongata, tau pathology predominated in the
- 32 reticular formation. The cerebellum had very few aggregates in the dentate nucleus
- 33 (Supplementary Fig. 2). Oligodendrocytes were only observed in the reticular formation of the
- medulla and the amygdala. Subependymal, perivascular and subpial thorny astrocytes were

1 seen in the medial region of the temporal lobe and striatum suggesting the presence of 2 associated aging-related tau astrogliopathy (ARTAG) (Supplementary figure 3). A few clusters 3 of astrocytes in the white matter of the frontal, parietal and occipital lobe were also seen. Tau pathology in the medial temporal lobe with numerous NFTs and NTs in the hippocampus, the 4 5 entorhinal cortex and the amygdala were also observed (Supplementary figure 3). Occasional 6 diffuse AB plaques were seen in the cortex. All of the deposits were positive for p62 and AT100. 7 Neuronal aggregates were immunoreactive for 3R-tau and 4R-tau. In contrast, astrocytes where only 4R-tau positive. Silver staining was variable. Neurons and astrocytes where positive for 8 9 Gallyas silver staining and only occasional neuronal aggregates and rarely astrocytes where argyrophilic with Bielschowsky (suppl figure 3). As can be seen in Table 2 and Fig. 2, when 10 11 analyzed for tau isoforms, neuronal aggregates were 3R- and 4R-tau positive with a predominant 3R labelling in almost all structures examined. As in case ALZ 441 005 the 12 striatum was only immunoreactive for 3R-tau. Western blot of insoluble fractions from frontal 13 14 cortex and striatum, labeled with AT270 antibody showed three bands similar to those seen in 15 AD. The two lower bands were intensively labelled by 3R-tau while the upper and middle bands 16 were labelled by 4R-tau (Fig. 4b). 17 Overall, this case shows a tauopathy (3R>4R) that mainly involves neurons and astrocytes, in 18 cortical and subcortical regions, in which tau aggregates adopt diverse morphologies.

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EXT 1998 001

known tauopathies.

23 The right hemisphere fixed in formalin weighted 391g (brainstem and cerebellum not included).

Distribution and biochemical properties of the lesions did not fulfill the criteria for either of the

- 24 Gross examination of the coronal sections did not show atrophy of the brain parenchyma
- 25 (Supplementary Fig. 1d). The study of the mesencephalon was limited, as the brainstem had
- been previously separated from the hemisphere through this region. However, the rest of the
- 27 brainstem appeared to have a normal size at macroscopic examination. The *locus cœruleus* was
- 28 not identified.
- 29 Histology showed, in addition to widespread anoxic lesions, a tauopathy involving the
- brainstem, pallidum, striatum, thalamus and dentate nucleus of the cerebellum. In the brainstem,
- 31 the pallidum and the dentate nucleus, the most prominent AT8 positive tau inclusions were
- 32 globular bodies, diffuse neuronal intracytoplasmic granular immunoreactivity and NTs
- 33 (Supplementary Fig. 2). Coiled bodies were seen in the pallidum. Tufted astrocytes were the
- only tau pathology seen in the striatum (Fig. 2b). In the frontal cortex, the pathology was limited

1 but the extensive laminar necrosis and massive neuronal loss could have explained the scarcity 2 of tau deposits which were predominantly found in tufted astrocytes (Fig. 2a). A few NTs and 3 coiled bodies in the subjacent white matter were seen. In the medial and lateral regions of the 4 temporal lobe, tau pathology which included NFTs, granular intracytoplasmic aggregates, NTs 5 and ghost tangles was severe. Immunohistochemistry for tau antibodies in the frontal cortex 6 and striatum revealed that tau pathology was only of the 4R isoforms, while 3R-tau 7 immunohistochemistry was negative (Fig. 2). Neuronal and astrocytic aggregates were 8 immunoreactive for p62 and AT100. Oligodendrocytes showed frequent immunoreactivity for 9 AT100 but it was rare for p62. Silver staining showed positivity for Gallyas while Bielschowsky was negative (Supplementary Table 1). In medial temporal brain regions, the deposits, 10 consistent with Braak stage II-III tau pathology, were labeled with both 3R and 4R-tau (Table 11 12 2). 13 Sarkosyl-insoluble fraction from the frontal cortex and striatum blotted with AT270 antibody 14 showed a two-band profile reminiscent of PSP. When blotted with a 3R-tau antibody, samples 15 from frontal cortex did not show any immunoreactivity, while samples extracted from the 16 striatum showed a faint, 2-band pattern, corresponding to 55 and 64kDa Tau bands. Both

samples were labeled by the 4R-tau antibody, with a similar pattern to that seen with the AT270

antibody, confirming that tau aggregated, in these regions, was mainly 4R (Fig. 4c).

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EXT 2000 001

21 The formalin fixed whole brain weighted 1200 g. At gross examination, the substantia nigra 22 was pale and the subthalamic nucleus, atrophic. There was a moderate dilation of the 3rd 23 ventricle (Supplementary Fig. 1e). Histologic examination with hematoxylin-eosin (H-E) 24 evidenced, in accordance with the macroscopic observations, a massive neuronal loss in the 25 substantia nigra. The neuronal loss was severe in the subthalamic nucleus. The pallidum was 26 gliotic. The neocortex and the hippocampus appeared normal. 27 Tau positive aggregates were mainly detected in subcortical regions (especially in the basal 28 ganglia and in the brainstem) and were minimal in the frontal cortex and hippocampus (Fig. 2a, 29 b; Supplementary Fig. 1). The predominant lesions were NFTs, sometimes globose, NTs and 30 astrocytic deposits. In the astrocytes, AT8 tau positive aggregates were atypical, close to the 31 nuclei with little ramifications. In the caudate nucleus, however, the morphology of the 32 astrocytic aggregates was similar to that of tufted astrocytes (Fig. 2a, b). Coiled bodies in the 33 oligodendrocytes were infrequent. In the striatum, aggregates were seen in the caudate nucleus 34 while they were scarce in the putamen. The internal capsule was the only region that showed

1 many coiled bodies and NTs were also frequent. Tau pathology was marked in the pallidum 2 (Supplementary Fig. 2). While the thalamus was moderately involved the subthalamic nucleus 3 had massive pathology with a predominance of neuronal deposits (NFTs and NTs). Mainly globose NFTs were seen in the nucleus basalis of Meynert. In the brainstem there was a large 4 5 number of deposits in the *substantia nigra* and the periaqueductal grey of the mesencephalon. 6 In the pons, tau aggregates were numerous in the tegmentum while they were rare in the ventral 7 pons (Supplementary Fig. 2). In the medulla oblongata, the aggregates predominated in the 8 reticular formation, in the cranial nerve nuclei and in the inferior olive, where mainly NFTs 9 were seen. In the cerebellum the presence of many coarsed granular neuronal intracytoplasmic aggregates and NTs in the dentate nucleus was surprising compared to its normal appearance 10 11 after H-E staining. All of the tau deposits seen were positive for the 4R isoforms and negative 12 for the 3R isoforms (Table 2, Fig. 2). In the entorhinal cortex tau aggregates expressed both 3R 13 and 4R isoforms, consistent with Braak stage II tau pathology. As with the previous case, all of 14 the tau aggregates were positive for AT100. P62 immunoreactivity was also seen in all types 15 of aggregates, however it was scant compared to the amount of tau pathology seen. Similarly 16 to the previous case, tau aggregates were positive for Gallyas and negative for Bielschowsky 17 silver staining. No Western blot analysis was undertaken due to the lack of frozen tissue. Overall, the distribution and characteristics of tau pathology in cases EXT 1998 001 and 18 19 EXT 2000 001 was reminsicent of that observed in progressive supranuclear palsy (PSP) 20 cases. However, compared to sporadic PSP, in our two cases, oligodendrocytes with tau 21 pathology (coiled bodies) were scant and tau aggregates in the pontine basis were rare.

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No evidence for associated proteinopathies

There was no associated α -synuclein or TDP-43 proteinopathies in any of the cases (Supplementary Fig. 7). No A β deposits were observed except in case ALZ_596_006 where rare diffuse A β plaques were seen in the cortex of the middle frontal gyrus, the subcallosal area and the inferior temporal gyrus. No A β oligomers were detected by western blot analysis of the frontal cortex of the three cases with available frozen tissue (Supplementary Fig. 4)

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Analysis of exon 10 splicing and determination of 4R/3R tau mRNA ratios

The alternative splicing of exon 10 in mature *MAPT* mRNA naturally leads to either 3R-tau (in case of exon 10 skipping) or 4R-tau (in case of exon 10 inclusion). In an attempt to identify genetic variants influencing alternative splicing of exon 10, we sequenced exon 10 boundaries in the nine cases with available DNA. No single nucleotide variant, short insertion or deletion

- 1 putatively influencing splicing was found.
- 2 MAPT 4R/3R mRNA ratios were analyzed in two cerebral regions (frontal cortex and striatum)
- 3 for the three patients for whom frozen tissue was available (EXT_1998_001, ALZ_596_006
- 4 and ALZ_441_005) and for five normal controls. In controls, the 4R/3R mean ratio was $0.69 \pm$
- 5 0.10 (SEM) in the frontal cortex and 0.97 ± 0.16 (SEM) in the striatum. For each control, the
- 6 4R/3R ratio was systematically increased in the frontal cortex compared to striatum, but this
- 7 increase was collectively not significant (p=0.125, Wilcoxon signed ranked test). In the frontal
- 8 cortex of the patient with 3R-tau aggregates resembling Pick bodies (ALZ 441 005), we noted
- 9 a 243% increase of the 4R/3R mRNA ratio as compared to the mean value in controls, which
- is in the same range as published data in patients with Pick disease [13]. In the striatum, 4R/3R
- mRNA ratios were higher in both patients with mainly 3R-tau aggregates (ALZ 441 005 and
- ALZ 596 006) (136% and 139 % increase, respectively) and lower (74%) for the patient with
- mainly 4R-tau aggregates (EXT 1998 001) as compared with the controls mean value
- 14 (Supplementary Fig. 5).

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In vitro seeding experiments

- 17 All homogenates derived from samples containing misfolded tau induced the aggregation of
- the endogenous tau RD P301S proteins expressed by the biosensor (Fig. 5; Supplementary Fig.
- 19 6). The intracellular aggregates of tau proteins observed in biosensor cells was of different
- 20 types: some aggregates were granular (types 1 and 2), while others appeared larger and denser
- 21 (types 3 and 4; Fig. 5a). Morphological features of induced aggregates of three MAPT
- 22 duplication carriers (ALZ 441 005, ALZ 596 006 and EXT 1998 001) showed
- dense/granular ratios between those induced by homogenates of a PiD and a PSP control (Fig.
- 5b), at both extremes. Indeed, the seeding-competent homogenates derived from ALZ_441_005
- and PiD cases led to a majority of granular aggregates of tau proteins, that represented
- 26 respectively 66.44% [95%CI: 58.86;74.02] and 67.35% [95%CI: 59.77;74.93] of total
- aggregates (Z-test comparison to the null hypothesis of equal proportions: p < 0.0001 for ALZ
- 441 005 and PiD cases, as shown in Fig. 5b). Interestingly, dense/granular ratios did not differ
- significantly between ALZ 441 005 and the PiD case (Fig 5c). Conversely, samples obtained
- from EXT 1998 001 and PSP cases both induced a majority of dense aggregates, reaching a
- 31 proportion of 63.83% [95%CI: 55.90;71.76] and 76.97% [95%CI: 70.28;83.67], Z-test
- 32 comparison to the null hypothesis of equal proportions: p=0.0014 for EXT 1998 001 case and
- p < 0.0001 for PSP case, as shown in Fig. 5b). Finally, the samples obtained from ALZ_596_006

1 showed an intermediate proportion of dense/granular aggregates (proportion of dense

aggregates: 56.21% [95%CI: 48.73;63.69]; Z-test comparison to the null hypothesis of equal

proportions: p = 0.1239).

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DISCUSSION

6 The biological, imaging and neuropathological data presented here definitely settle one issue:

7 the 17q21.31 duplication causes a primary tauopathy showing various combinations of well-

characterized primary tauopathies, and not an atypical form of AD. Previous CSF biomarker

data suggesting an A_β pathology in 3/4 MAPT duplication carriers were not supported by a

reassessment in one patient and more recent data obtained in two additional patients in whom

Aβ levels were in the normal range. Furthermore, all three amyloid PET scans were negative;

there were no senile plaques at neuropathological examination and minimal Aß staining was

present in only one case. We further ensured that no Aβ oligomers were detected, ruling out any

involvement of this peptide in the pathology. Of note, the case reported by Alexander et al. [1]

showed asymmetric TDP-43 deposits. We hypothesize that it could be co-incidental in this case,

as none of our patients showed such immuno-reactivity, even though only one hemisphere was

17 examined in all four cases.

18 Clinically, we identified eight patients presenting with episodic memory impairment following

a profile observed in typical AD [8] with or without behavioral symptoms upon presentation,

on one side, and one patient with an isolated atypical extrapyramidal presentation resembling

the one observed in progressive supranuclear palsy (PSP), on the other side. These clinical

presentations appear as two extremes, belonging to a spectrum. That hypothesis is supported

by (i) the association, in the remaining case with an atypical extrapyramidal syndrome upon

presentation, of an early memory impairment that worsened during follow up and (ii) the fact

that three cases with memory impairment upon presentation also exhibited significant

dopaminergic nigrostriatal neuronal depletion as observed on DaTscan imaging despite normal

physical examination, thus suggesting partial alteration of dopaminergic pathways however

insufficient to induce the emergence of extrapyramidal signs as described in premotor

Parkinson's disease stages [25]. Interestingly, in the family described by Alexander et al.[1],

30 both the proband and his sister presented memory and behavioral impairment, with

neuropsychological assessments and MRI consistent with a diagnosis of probable AD, but also

32 exhibited mild to moderate extrapyramidal symptoms upon examination.

33 Neuropathological examination combining immuno-histochemical and biochemical analyses

supports such a continuum by showing that different tau isoforms formed aggregates among

1 the four examined brains. In one case with a cognitive and behavioral disorder presentation 2 (ALZ 441 005), we observed widespread tau deposits in the hippocampus and neocortex, 3 which were mainly composed of 3R-tau as in Pick disease although globular inclusions were 4 Gallyas positive and Bielschowsky negative in the striatum and frontal cortex. Thus, this case 5 harbors a novel variety of Pick body-like inclusions in addition to those already associated with 6 some MAPT pathogenic variants [2, 19, 26]. In contrast, in the case presenting with isolated 7 atypical extrapyramidal syndrome (EXT 2000 001), the picture was reversed: we observed tau 8 aggregates mainly located in subcortical regions, which were exclusively composed of 4R-tau 9 protein isoforms. Those two cases may be seen as the extremes of the spectrum: between those two extremes, patient EXT 1998 001, who exhibited an akinetic-rigid syndrome associated 10 with a severe memory deficit, showed a mixed 3R/4R tauopathy, albeit with 4R-tau 11 12 predominant deposits in the striatum and frontal cortex. The clinical picture, the 4R-tau 13 predominance, the presence of 3R-tau accumulation in the subcortical nuclei is reminiscent of 14 the case published by Alexander et al. [1]. In the last case (ALZ 596 006), closer to the 15 dementia side, a mixed 3R/4R tauopathy similar to that seen in AD was found with severe 16 involvement of the medial region of the temporal lobe. 17 Overall, MAPT duplication can thus lead to a spectrum of primary tauopathies with 3R- or 4Rtau predominant aggregates. Our observations suggest that 4R-tau deposits involve together 18 19 basal nuclei, brainstem and basal ganglia, and are associated with motor symptoms. On the 20 other hand, 3R-tau predominant cortical inclusions were associated with Pick-like inclusions. 21 Those conclusions, based on the analysis of a small number of cases, obviously await 22 confirmation by further studies. 23 Of note, in vivo tau PET imaging could reflect neuropathological heterogeneity of the tau 24 pathology. Postmortem studies showed that the affinity of the tau tracer for non-AD tau lesions may be lower than that for AD tauopathy, with low or absent binding of [18F]-Flortaucipir to 25 26 tau straight filaments [17]. The distinct tau PET binding profiles between ALZ 596 006, and ROU 1373 001 could reflect a qualitative rather than a quantitative difference of the tau 27 pathology, with a possible different mixed 3R/4R tauopathy in ALZ 596 001, whose tau tracer 28 29 uptake was clearly increased in the temporal lobes. The tau tracer binding was far less 30 pronounced in ROU 1373 001, who had nevertheless a similar clinical presentation, disease 31 duration, and FDG-PET pattern. This could reflect a different type of tau lesions for which the 32 tracer has less affinity. 33 We sought to identify a putative correlation between the preferential accumulation of 4R- or

3R-tau and the relative expression of tau transcripts. Focusing on the striatum where either 4R

1 or 3R-predominant tau aggregates were present in patients, we failed to find a positive 2 correlation with 4R/3R mRNA ratios. Rather, the relative proportion of 4R-containing 3 transcripts was moderately increased in patients ALZ 441 005 and ALZ 596 006 with 3R-tau aggregates whereas the converse was observed in patient EXT 1998 001 with 4R deposits. 4 5 Consistent with previous findings in PSP and PiD patients [13], the preferential accumulation 6 of 4R- or 3R- tau aggregates is neither driven by the corresponding preferential expression of 7 the 4R or 3R-encoding transcripts, nor by the MAPT haplotype associated with the duplication. 8 It has been suggested, although this claim remains controversial [28], that the MAPT H1 9 haplotype [3] or sub-haplotypes [18], is associated with increased expression of 4R tauencoding transcripts. Accumulation of 3R-tau in ALZ 441 005 occurred in the 2H1-1H2 10 background whereas accumulation of 4R-tau in EXT 1998 001 occurred in the 1H1-2H2 11 12 background: only one H1 haplotype was thus present in a case with 4R-tau predominant 13 accumulation while they were two in a case in which 3R-tau was the preferential isoform, in 14 contradiction with the hypothetical role of the H1 haplotype. Finally, the search of rare or 15 common variants in exon 10 and surrounding splicing regions, which could have influenced the 16 3R/4R tau mRNA ratios remained negative. We conclude that the shift toward 3R or 4R-tau 17 predominant pathology and the topography of lesions do not appear to be directly related to a change in the balance between the corresponding transcripts. 18 19 Local factors influencing availability or aggregation properties of different tau isoforms might 20 explain why, in the general context of tau overexpression, aggregates are preferentially formed 21 of 3R- or 4R-tau in discrete brain regions of a same individual. The striking inter-individual 22 differences in the composition of aggregates observed in identical brain regions suggest, 23 however, that this process could also be driven by the formation of different pathogenic seeds: 24 peculiar sets of 3R or 4R misfolded tau proteins could aggregate in specific parts of the brain 25 and subsequently spread in other cerebral regions along anatomically connected networks [5]. 26 Tau pathology located in the striatum of ALZ 441 005, ALZ 596 006 and EXT 1998 001 cases induced different types of tau aggregates in a biosensor cell line expressing tau RD P301S 27 (4R-tau): the striatum of ALZ 441 005 containing 3R-tau inclusions mainly led to granular 28 aggregates (similarly to a PiD homogenate), whereas the striatum of EXT 1998 001 presenting 29 30 4R-tau inclusions mostly resulted in dense aggregates (as observed with a PSP homogenate). 31 The striatum of ALZ 596 006, containing a mixture of 3R- and 4R-tau lesions, seeded the 32 formation of granular and dense aggregates in similar proportions. Those morphological 33 features were independent of the sample seeding potential, as tau seeding activity appeared higher in ALZ 441 005 and PSP homogenates than in EXT 1998 001 and PiD samples, after 34

1 a total tau normalization (Supplementary Fig. 6). Our results thus support the hypothesis of 2 different seeds among MAPT duplication cases. In the context of moderate MAPT 3 overexpression related to the duplication, tau monomers could adopt different abnormal 4 conformations leading to several seed competent species. Depending on the "strains", mainly 3R or 4R-tau could be preferentially incorporated, leading to various protein assemblies, 5 6 resulting ultimately in different pathologies characterized by fibril morphology, cellular type specificity and anatomical spreading patterns. Also supporting this hypothesis, it has recently 7 8 been shown that stochastic misfolding of tau conformers occurs in brain tissues of patients 9 carrying the same P301L MAPT mutation, followed by templated conversion of native monomers [7]. 10 In summary, we have identified different types of MAPT duplication carriers exhibiting, at least 11 12 in early stages of their diseases, different cognitive or motor symptoms related either to cortical or subcortical dysfunctions and characterized by the accumulation of different tau isoforms. 13 14 Given the diversity of clinical and imaging presentations and the simplicity of the genetic test, 15 we suggest searching MAPT duplications in all cases with early-onset idiopathic 16 neurodegenerative dementia or early-onset idiopathic atypical extrapyramidal syndromes. They 17 should also be sought when neuropathologically-identified primary tauopathies are not related to MAPT mutations, particularly when the unusual constellation of tau pathology cannot be 18 19 clearly put in one of the boxes of currently described primary tauopathies.

1 FIGURE LEGENDS

- 2 Figure 1. Structural, functional and Tau PET Cerebral imaging of MAPT duplication
- 3 carriers

- 4 a. FLAIR-weighted axial MRI scan of EXT 1593 001 showing parietal cortical atrophy and
- 5 mild white matter hyperintensities
- 6 b. T1-weighted coronal MRI scan of EXT 1114 001
- 7 c. T1-weighted coronal MRI scan of ROU 1373 001
- 8 d. FLAIR-weighted sequence coronal MRI scan of EXT 1687 001
- 9 e. FDG-PET scan of ROU 1373 001 showing parietal bilateral hypometabolism (arrows)
- 10 f. Tau-PET imaging of ALZ_596_001 with color scale corresponding to the SUVR scale
- 11 g. Tau-PET imaging of ROU_1373_001 with color scale corresponding to the SUVR scale
- 13 Figure 2. Tau pathology of the four cases carrying an MAPT duplication in the frontal
- 14 cerebral cortex (A) and the caudate nucleus (B).
- a. Diversity of tau pathology and 3R- and 4R-tau isoform expression in the frontal cortex.
- 16 In ALZ 441 005, pathology was abundant with a predominance of neuronal deposits with
- many globular Pick-like bodies in the neurons. Astrocytic deposits were also numerous and
- reminded of tufted astrocytes with deposits close to the nucleus and without the ramifications.
- 19 In ALZ 596 006, there was a predominance of astrocytic pathology with many tufted
- 20 astrocytes and some neurofibrillary tangles (NFTs) in neurons. Tau pathology in
- 21 EXT 1998 001 and EXT 2000 001 was scant and only a few deposits in the form of tufted
- 22 astrocytes and a few NFTs in neurons were seen. Tau aggregates in ALZ 441 005 and
- 23 ALZ 596 006 included the 3R- and 4R-tau isoforms. EXT 1998 001 and EXT 2000 001 tau
- 24 aggregates expressed solely 4R-tau. Frontal cortex. Immunohistochemistry: AT8, 3R-tau, 4R-
- 25 tau. Scale bar in first row 100μm, Scale bar in four last rows 25μm.
- 26 b. Diversity of tau pathology and 3R- and 4R-tau isoform expression in the caudate
- 27 **nucleus.** In ALZ_441_005, pathology was abundant with a predominance of neuronal
- 28 pathology with many globular Pick-like bodies in neuronal soma and abundant neuropil threads.
- 29 In ALZ 596 006 neuropil threads were many, however neuronal neurofibrillary tangles (NFT)
- 30 were moderate in number. In EXT 1998 001 and EXT 2000 001, pathology was
- 31 predominantly astroglial with astrocytic deposits resembling tuffted astrocytes. ALZ 441 005
- and ALZ 596 006 expressed only 3R-tau isoforms and EXT 1998 001 and EXT 2000 001
- 33 were positive only for 4R-tau immmunohistochemistry. Caudate nucleus.
- 34 Immunohistochemistry: AT8, 3R-tau, 4R-tau. Scale bar in first row 100µm, Scale bar in last

1 three rows 25μm.

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- 3 Figure 3. Distribution and intensity of tau pathology in the brain of MAPT duplication
- 4 carriers.
- 5 Color-coded table following a quantitative evaluation of tau pathology by regions of the brain.
- 6 Semiquantification of total tau pathology (total) in neurons, astrocytes, oligodendrocytes and
- 7 neuropil (neuropil threads) in relevant brain regions. Blue=0; Green=rare or scant;
- 8 Orange=moderate; Red=abundant. NA: not available. (a) Tau pathology is seen in the anterior
- 9 part of the putamen in case ALZ 596 006 and EXT 1998 001 (b) Tau pathology only involves
- the external pallidum in case ALZ_441_005. ctx: cortex; wm: white matter; CA: cornu
- Ammonis; DG: dentate gyrus; Sub: subiculum; Subth: subthalamic nucleus; SN substantia
- 12 nigra; PGM: periventricular gray matter; RN: red nucleus; PT: pontine tegmentum; BP: basis
- pontis; RT reticular formation; inf olive: inferior olive; dentate: dentate nucleus

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Figure 4. Western blots of sarkosyl-insoluble 4R and 3R-Tau in patients and controls.

- a. Analysis of patient ALZ 441 005 (ALZ 441); b. Analysis of patient ALZ 596 006. c.
- 17 Analysis of patient EXT 1998 001. For all patients, western blotting was performed on brain
- samples extracted from frontal cortex (left panels) or striatum (right panels). Blots were
- revealed with antibodies against Tau phosphorylated on Thr181 (AT270 tracks), 3R-Tau (RD3
- tracks) or 4R-Tau (RD4 tracks). Tau profiles obtained from the MAPT duplication carriers were
- compared to those of patients showing Alzheimer's disease (AD), Pick's disease (PiD) or PSP
- 22 neuropathology, and to a control individual (Ctrl).
- Note that western blots are presented here as a composite image from different times of
- 24 exposure of a unique blot for each panel (all samples from each panel migrated on a same blot)
- 25 to better compare Tau profiles between each antibody despite varying staining intensity.
- Note that RD4 staining requires longer exposure than using RD3 antibody, so that minor 4R
- aggregates as observed in IHC may be hardly detectable in WB performed in similar regions.

- 29 Figure 5. In vitro characterization of tau seeding activity in the striatum of MAPT
- 30 duplication cases in a cell biosensor.
- 31 HEK cells expressing tau RD P301S coupled with fluorochromes (YFP or CFP) were exposed
- 32 to brain homogenates normalized for total tau proteins, obtained from the striatum of a control
- case and of three MAPT duplication cases, pons of a PSP case and frontal cortex of a PiD case.
- a. Illustration of the four types of tau aggregates. Type 1: unique and small grain (~ 10% of cell

volume or less); Type 2: multiple granules of homogeneous size; Type 3: multiple granules associated with a larger dense core; Type 4: large and dense aggregate (\sim 30% of cell volume or more). Representative confocal images with max YFP (yellow), CFP (cyan) and DAPI (blue) intensity projections from a stack covering all the cells contained in the field (scale bar: $10~\mu m$); no aggregates: example of cells devoid of any aggregates in HEK cells exposed to control brain homogenate, showing diffuse YFP/CFP positive immunofluorescent staining due to non-aggregated tau-RD b. Mean percentages of each type of tau aggregates observed for each case, obtained from three independent experiments in which a total of $50 \pm 10~\text{YFP/CFP}$ positive cells were analyzed per condition. Proportions of "granular" (type 1 + type 2) and "dense" types of aggregates (type 3 + type 4) were compared to the null hypothesis of equal proportion using a Z-test for proportions. c. Proportions.

- 1 Supplementary Figures
- 2 Supplementary Fig. 1. Morphological variability at macroscopic examination between the
- 3 four postmortem studied cases: ALZ_441_005 (A and B), ALZ_595_006 (C),
- 4 EXT 1198 001 (D), EXT 2001 001 (E).
- 5 a. Marked atrophy of the frontal, parietal and temporal association cortices. b. Coronal sections
- at two levels, the head of the caudate and the mamillary bodies, showed marked dilatation of
- 7 the lateral ventricle, severe atrophy of the striatum (white arrow) and of the temporal lobe (black
- 8 arrow). The hippocampus and amygdala are barely identifiable. c.) Moderate atrophy of the
- 9 medial temporal lobe (red arrow) associated to a moderate dilatation of the temporal horn of
- the lateral ventricle. d and e) Absence of brain atrophy. Moderate dilatation of the 3rd ventricle
- in EXT 2000 001 (e). (a and b) left hemisphere; (c) right hemisphere.

13

- Supplementary Fig. 2. Diversity of tau pathology in different regions of the brain. In the
- pallidum tau pathology was abundant in all cases except in ALZ 596 006. In the substantia
- 15 nigra tau aggregates where numerous in all cases seen where tissue was available. Globular
- tau aggregates in neuronal cell body and neuropil threads were the predominating deposits. In
- the pons, the *basis pontis* showed a great number of tau positive aggregates which were globular
- in ALZ_441_005. Tau deposits were scant in EXT_2000_001 and were absent in ALZ_596_006
- 19 and EXT 1998 001. The dentate nucleus showed abundant coarse granular neuronal
- 20 intracytoplasmic aggregates in ALZ 441 005, deposits were moderate in EXT 2000 001 and
- scant in the other two cases. The white matter showed little pathology in ALZ_596_006 and
- 22 EXT 2000 001 with few neuropil threads. In ALZ 441 005 a moderate number of
- oligodendrocytic aggregates were seen and were predominantly globular. In EXT 1998 001
- 24 the few oligodendroglial pathology seen adopted a more typical coiled body shape.
- 25 Immunohistochemical staining with AT8. Scale bar in rows 1-4: 50μm, Scale bar in last row:
- $26 25 \mu m$.

- Supplementary Fig. 3. a. Immunohistochemical and histochemical characteristics of tau
- 29 **aggregates.** Tau aggregates seen in neurons and astrocytes in the four histological studied cases
- 30 (ALZ 441 005, ALZ 596 006, EXT 1998 001, EXT 2000 001) were positive for
- 31 phosphorylation dependent AT100 antibody, p62 and Gallyas silver staining. Occasional
- 32 neurons and rarely astrocytes in the frontal cortex of case ALZ 596 006 showed argyrophilic
- 33 properties with the Bielschowsky silver staining. Frontal cortex (ALZ 441 005 and
- 34 ALZ 596 006) and striatum (EXT 1998 001 and EXT 2000 001). Immunohistochemistry:

- 1 AT100 and p62. Silver staining: Gallyas and Bielschowsky. Scale bar 50µm except for
- 2 Bielschowsy staining of case ALZ 596 006 which is 25μm.
- 3 b. White matter pathology is scant to moderate in ALZ 441 005. Tau aggregates are seen
- 4 in some of the oligodendrocytes. There is a predominance of small round aggregates (black
- 5 arrow) but some coiled bodies are also seen (white arrow head). Tau aggregates express the 3R-
- 6 tau and 4R-tau isoforms, are AT100 and p62 positive and are argyrophilic with both Gallyas
- 7 and Bielschowsky silver stains. *Immunohistochemistry: AT8, 3R-tau, 4R-tau, AT100 and p62.*
- 8 Silver staining: Gallyas and Bielschowsky. Scale bar for top left image 100µm, for top right
- 9 image 50μm and for inferior row 25μm.
- 10 c. Only rare neurons in the dentate gyrus and CA2 region of the hippocampus are positive
- 11 **for Bielschowsky in ALZ 441 005.** *Bielschowsky silver stain. Scale bar: 25μm.*
- d. Round neuronal intracytoplasmic tau aggregates were observed in the dentate gyrus in
- 13 **ALZ 596 006.** The aggregates were immunoreactive for both 3R-tau and 4R-tau antibodies
- and were positive for Gallyas and Bielschowsky silver staining. *Immunohistochemistry: 3R-tau*
- 15 and 4R-tau. Silver stains: Gallyas and Bielschowsky. Scale bar: 25μm.
- 16 e. Perivascular thorny astrocytes reminiscent of aging-related tau astrogliopathy
- 17 (ARTAG) were seen in the periamygdaloid region. Additionally, there were occasional
- 18 astrocytes containing tau aggregates in the subcortical white matter (parietal lobe).
- 19 Immunohistochemistry: AT8. Scale bar: 50μm.
- 20 f. In case ALZ 596 006, 3R tau and 4R tau neurofibrillary tangles and neuropil threads
- in the hippocampus-CA1. *Immunohistochemistry: 3R-tau, 4R-tau. Silver staining: Gallyas*
- 22 and Bielschowsky. Scale bar: 50 µm.

24 Supplementary Fig. 4. Western blot using WO2 antibody from the frontal cortex of three

- 25 MAPT duplication carriers, a subject with Alzheimer disease due to an APP duplication a one
- 26 normal control.

23

27

32

- 28 Supplementary Fig. 5. Analysis of the 4R/3R ratio of MAPT mRNA. Extracted from frontal
- cortex or striatum. The control group (ctrls) consists in the mean of 5 normal individuals. Error
- 30 bars represent SEM.
- 31 ALZ 441: ALZ 441 005; ALZ 596: ALZ 596 006, EXT 1998: EXT 1998 001

Supplementary Fig. 6. Quantitative analysis of tau seeding activity in a cell biosensor. 1 2 Proportion of HEK cells containing endogenous tau intracellular aggregates for each tested 3 sample, obtained after 2 x 4 repeated observations in three independent experiments. Patients 4 were compared into a linear mixed effect model for repeated data using Tukey correction for multiple comparisons (Mean of observed proportions \pm SEM: CTRL: 0.22% \pm 0.11%, PiD 5 6 cortex: $2.90\% \pm 0.51\%$; ALZ 441 005 striatum: 12.22 ± 0.81 ; ALZ 596 006: $4.48 \pm 0.61\%$; EXT 1998 001 striatum: $5.95\% \pm 0.83\%$; PSP : $14.93\% \pm 0.90\%$). Proportion of cells with 7 8 aggregates is significantly higher in all patients compared to controls (PiD vs CTRL: p=0.01; 9 other comparison to controls: all p<0.001). Tau seeding activities detected in patients were all pairwise significantly different (ALZ 441 005 vs PSP: p=0.03, EXT 1998 001 vs PiD: p = 10 11 0.01, all other p<0.001) except for PiD vs ALZ 596 006 (p=0.6) and EXT 1998 001 vs 12 ALZ 596 006 (p=0.6). 13 Supplementary Fig 7. Absence of associated α -synucleinopathy or TDP-43-pathy. 14 15 Immunohistochemistry for α-synuclein and TDP-43 was negative in all histological studied cases. Aß was negative in three cases (ALZ_441_005, EXT_1998_001, EXT_2000_001). A 16 17 few diffuse plaques were seen in the neocortex of ALZ 596 006. First column: frontal cortex. 18 Second column: substantia nigra (SN). Third column: dentate gyrus. Scale bar: 50 µm 19 20 Supplementary Table 1. Staining properties of tau immunoreactive aggregates in different 21 cell types in MAPT duplication carriers. 22 3R-tau, 4R-tau, AT100 and p62 antibodies. Gallyas and Bielschowsky silver staining. R: tau 23 aggregates are sparsely positive. ND: not determined. 24 25 26

	ALZ_596_001	ALZ_596_006	EXT_1593_001	EXT_1114_001	ROU_1373_001	ROU_747_001	EXT_1687_001	ALZ _ 441_005	EXT_1998_001	EXT_2000_001
Published in / novel case	[15]	[15]	novel	[15]	[15]	[21]	novel	[15]	[4]	[4]
Age at onset (years)	50	55	56	57	54	49	53	45	57	37
Sex	M	M	M	F	М	F	F	M	F	M
MAPT haplotype	H1/2H2	H1/2H2	H1/2H2	H1/2H2	2H1/H2	3H1	H1/2H2	2H1/H2	H1/2H2	NA
First reported symptoms	memory	memory	memory, behavior	memory, behavior	memory	behavior	memory	behavior, memory	akinetic-rigid synd.	akinetic-rigid synd.
Family history of dementia	+ (same family as ALZ_596_006)	+ (same family as ALZ_596_001)	+	+	-	+	+	- (de novo mutation)		
Extrapyramidal syndrome at onset	-	-	-	-	-	-	-	-	+	+
behavioral or cognitive dysexec. synd.	-		+	+	-	+	-	+	+	+
visuoperceptive impairment	-		-	-	-		-	-		
Disease duration (years)	11	16 (to death)	6	8	10	20 (to death)	6	13 (to death)	6 (to death)	5 (to death)
MRI (years ADO∴)	5		2	1	4		3	2	4	3
presence of WMH	+		+	+	-		-	-	-	-
anterior temporal atrophy	+		-	-	-		+	+	-	-
internal temporal atrophy	+		+	+	+		+	+	-	-

Scheltens score (R/L)	4-4	3-3	3-3	3-3	4-3	3-3		0-0
frontal atrophy	-	-	-	-	-	+	-	-
parietal/occipit al atrophy	-	+	+	+	-	-	-	-
HMPAO- SPECT/FDG-PET (years ADO-;-)	3	3	3	5		3		
temporal cortex	P	Р	P	P		Р		
parietal cortex	N	P	N	P		Р		
frontal cortex	N	N	N	N		Р		
DaTScan (years ADO++)		P (3)		P (10)	P (6)			P (2)
Amyloid-PET (years ADO++)	N (6)		N (3)	N (4)				
Tau-PET (years ADO-;)	P (8)			P (9)				
CSF Biomarkers	2	2	2	2	2	1		
(years ADO+)	3	3	3	2	2	1		
Aβ42 (pg.mL ⁻¹)	496 / 646*	1372	372	864	850	260		
Tau (pg.mL ⁻¹)	558 / 558*	789	866	696	1284	507		
P-Tau (pg.mL ⁻¹)	66 / 56*	82	94	98	12	67		
Αβ42/Αβ40	n.a / 0.07*	0,16			0,06			

Table 1. Demographic, genetic, clinical, imaging and biological data of all MAPT duplication carriers

Cells in grey mean data are not available; +: present; -: absent; dysexec. synd.: dysexecutive syndrome; WMH: white matter hyperintensities; HMPAO-SPECT: hexamethylpropanolamine oxime single photon emission computed tomography; FDG-PET: fluorodeoxyglucose positron emission tomography; ADO: after disease onset; R: right; L: left; DaTScan: dopamine transporter scan; amyloïd-PET: amyloid-tracer positron emission tomography; tau-PET: Flortaucipir tau-tracer positron emission tomography; CSF: cerebrospinal fluid; A β : β -amyloid 1-42 peptide; A β 40: β -amyloid 1-40 peptide; P-Tau: phosphoryled-tau protein; *: novel analysis after second lumbar puncture. Abnormal CSF biomarker values appear in bold. Normative values for CSF biomarkers were: A β 42 >550 (pg.mL-1), Tau <350 (pg.mL-1), P-Tau<60 (pg.mL-1), A β 42/A β 40>0.055. P:pathological; N:Normal.

	ALZ_441_005	ALZ_596_006	EXT_1998_001	Case III-2 of [1]	EXT_2000_001
frontal cortex	3R>4R	4R>3R#	4R	4R	negative*
hippocampus	3R>4R	3R>4R	3R/4R	3R/4R	4R*
enthorhinal cortex	3R>4R	3R>4R	3R>4R	3R/4R	3R/4R
amygdala	3R>4R	3R>4R	3R/4R	4R > 3R	4R
striatum	3R	3R	4R	4R >> 3R	4R
Pallidum	3R>>4R	3R/4R	4R	4R >> 3R	4R
SN	3R>4R	4R>3R	ND	4R >> 3R	4R
NBM	3R>>4R	3R>4R	3R/4R	3R/4R	4R
Cerebellum	NA	3R/4R	4R	4R	4R

Table 2. Distribution of 4R and 3R aggregates among brain regions in *MAPT* duplication carriers (patients ordered from right to left based on the main aggregated tau isoforms)

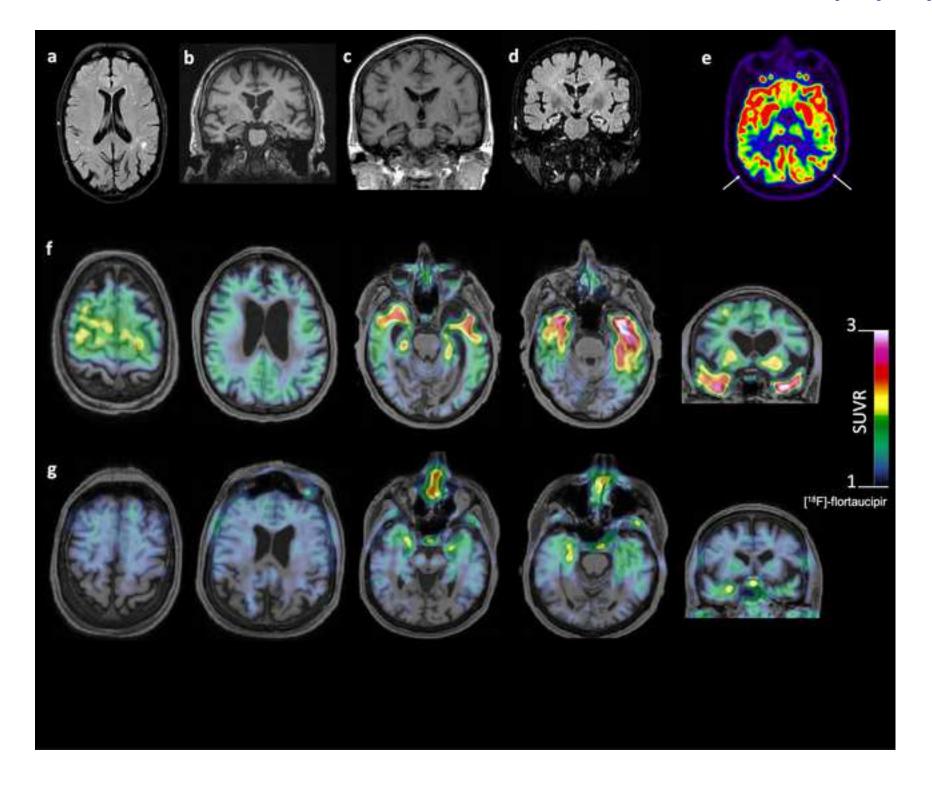
3R: positive immunostaining of 3R tau protein isoforms; 4R: positive immunostaining of 4R tau proteins isoforms. Case III-2 of [1]: immunohistochemistry data from the published case by Alexander et al., 2016 [1]; negative*: rare AT8-positive deposits which were negative for 3R and 4R staining; 4R*: rare AT8-positive deposits which were negative for 3R staining; 4R>3R*: In the frontal cortex 3R and 4R were similar in neurons but astrocytes were only positive for 4R. NA: not available.

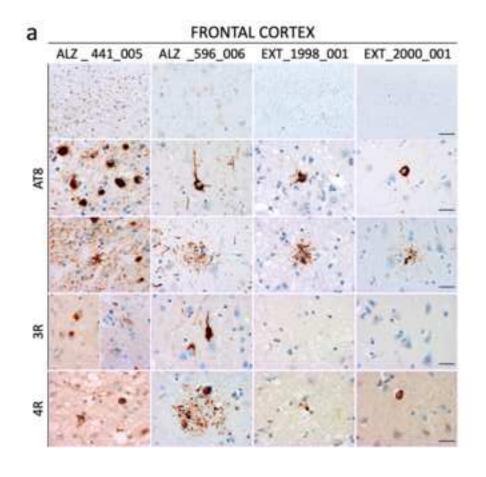
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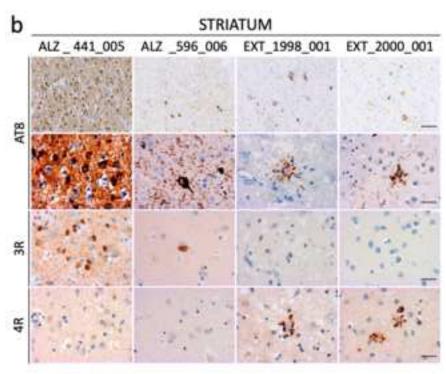
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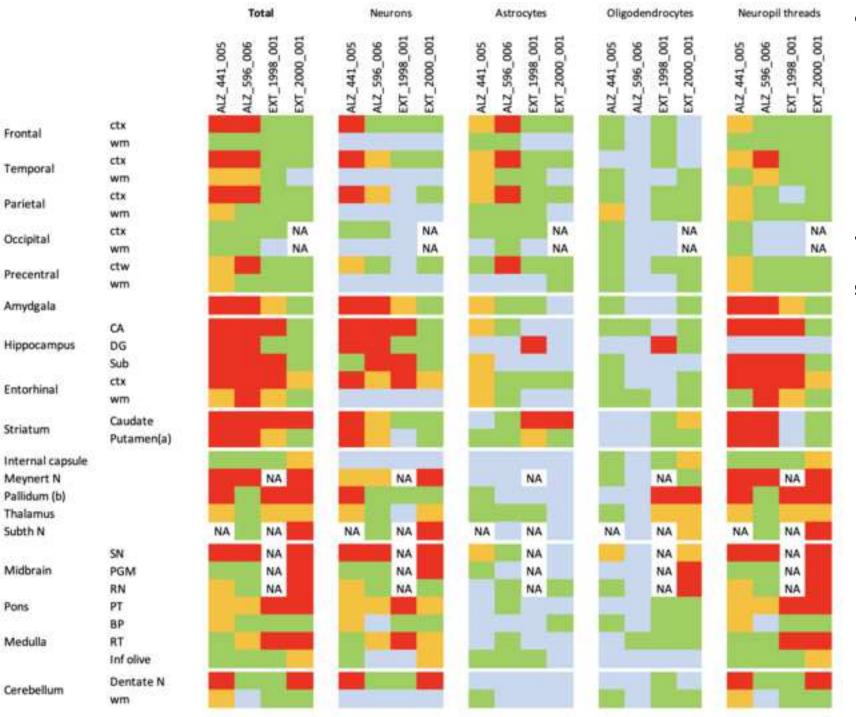


Fig. 3_colored table distribution tau pathology.tiff

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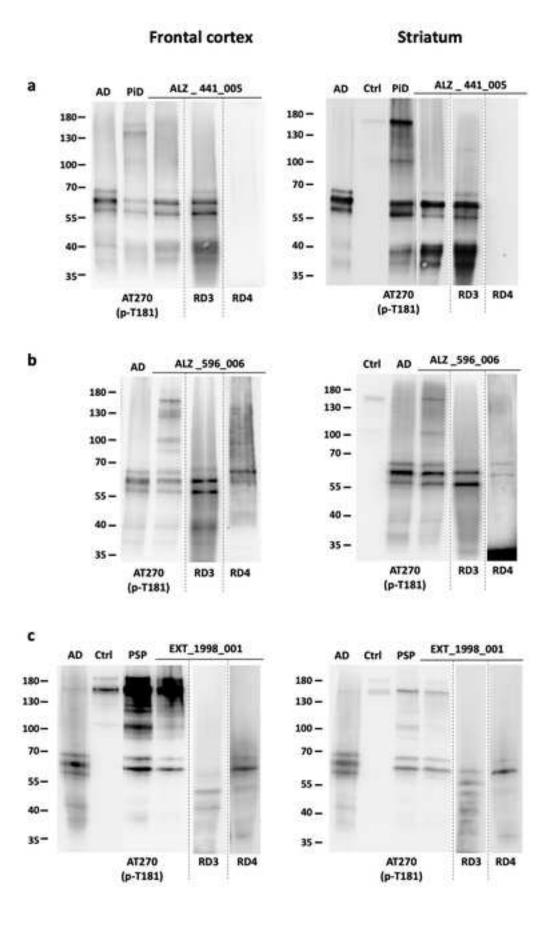
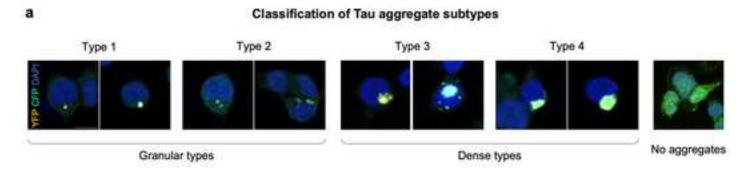
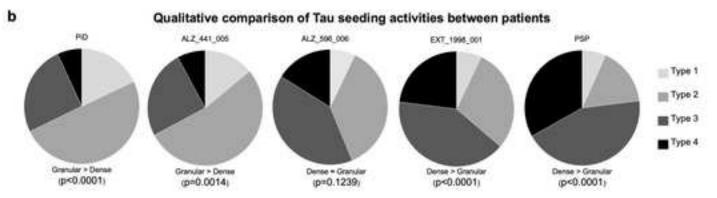
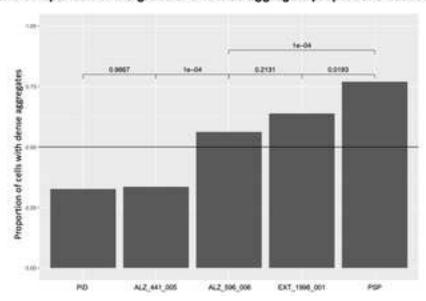


Figure 4.tiff





C Quantitative comparison of the granular or dense aggregate proportions between patients



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