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Amine Directed Palladium Catalyzed C-H Halogenation of Phenylalanine Derivatives

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Abstract: An efficient primary amine-directed palladium catalyzed C-H halogenation $(X = I, Br, Cl)$ of phenylalanine derivatives is reported on a range of quaternary amino acid (AA) derivatives thanks to suited conditions employing trifluoroacetic acid as additive. The extension of this original native functionality-directed *ortho*-selective halogenation was even demonstrated with the more challenging native phenylalanine as tertiary AA.

Given the widespread use of non-proteinogenic amino acid (AA) within bioactive molecules, the diversification of α-AA backbone represents an active research field in medicinal chemistry and in the development of synthetic methodologies.[1,2] Beside numerous investigations of directing-group (DG) site-selective metal-catalyzed $C(sp^3)$ -H activation of AAs,^[2] the analogous C(sp²)-H functionalization of phenylalanine (Phe) derivatives has grown more recently as a powerful strategy for the regioselective C-C and C-heteroatom bonds construction.^[2,3] In this context, the halogenated Phe derivatives are valuable building blocks and versatile platforms for cross-coupling reactions allowing to transform the C-X $(X = I, Br, Cl)$ bonds into a myriad of useful functionalities (Scheme 1A). [4] Based on the design of suited *N*directing-groups (DG) on Phe esters,^[5] Yu^[5a] and Correa^[5b] successfully applied the Pd-catalyzed *ortho*-iodination (triflimide as DG) and bromination (picolinamide as DG) of Phe derivatives.^[6,7] On the other hand, Barluenga demonstrated the metal-free *ortho*-iodination of Phe esters based on electrophilic aromatic substitution (SEAr) using trifluoroacetamide as DG.^[8] This strategy overcomes the established moderate *ortho*- *versus* para-regioselectivity issues from native-NH₂ Phe upon usually substrate-controlled pathways (depending on electronic and steric factors).^[9]

In line with atom- and step-economical strategies, exploiting native-functional group (FG) as DG, the unprotected $NH₂$ -directed palladium catalyzed $C(sp^2)$ -H activation emerged as a straightforward C-C bond formation method.^[10] Beside the original exploitation of thermodynamically favored five-membered palladacycle intermediates from benzylamine precursors (Scheme 1B, $n = 0$),^[11] Garcia and Granell pioneered the

carbonylation reaction of α, α-disubstituted R-NH₂ quaternary Phe $(n = 1)$.^[12] This catalytically more challenging $C(sp^2)$ -H activation sequence, through the formation of a less favored six-membered NH₂-bond palladacycle, [13,14] was subsequently developed to the coupling reactions of allenes,^[13b] alkenes^[13c] as well as aryl partners,[13f,13h] and extended to the native Phe (αmonosubstituted) in few cases.^[13a,13d,13e,13g] During these achievements, long-standing challenges were addressed to overcome both the formation of stable (and poorly reactive) *bis*amino-Pd^{II} complexes and competitive β-H elimination events.^[13] These issues turned out to be intimately linked to the αsubstitution pattern of the amine substrate and the steric hindrance of this native FG (usually beneficial to the process), [15] while silver and acid reagents turned out to be key additives for securing a successful transformation.^[12,13] However, in spite of previously reported insightful halogenation reactions of preformed (stepwise and stoichiometric) six-membered ring NH₂bond palladacycle like pioneered investigations by Vicente and Saura-Llama ($n = 1$),^[14,16] to the best of our knowledge, the Pdcatalyzed NH₂-directed C-H halogenation remains to be achieved.

Scheme 1. NH2-directed C-H halogenation.

We are pleased to report herein an *ortho*-selective C(sp²)-H halogenation $(X = I, Br, Cl)$ of Phe derivatives, highlighting the key role of the amine topology and acid additive to achieve a catalytic process while preventing the non-regioselective halogenation of both the amine and arene moieties (Scheme 1C).

Table 1. Proof of principle and optimization.

Reaction conditions: carried out with **1a-TFA** (0.3 mmol) at CH₂Cl₂ as solvent (0.1 M). Yields determined by ¹H NMR with an internal standard. [a] Same outcome in CH2Cl2/HFIP (1:1). [b] Carried out from the free amine **1a**.

Our investigation commenced with *para*-bromo-α-methyl phenylalanine ester **1a** as an ammonium trifluoroacetate **1a-TFA**, because no background halogenation took place in the presence of *N*-bromosuccinimide (NBS) in CH₂Cl₂ at 50 °C (Table 1, entry 3).[17] To our delight the major *ortho*-dibrominated product **2a** was formed in 37% NMR yield along with 22% of the mono-brominated counterpart **2a'** (entry 2) in the presence of 10 equivalents of trifluoroacetic acid (CF_3CO_2H) and 1.1 equivalent of NBS, while 2 equivalents of NBS furnished exclusively **2a** in an excellent 78% yield (entry 1). In general, an excess of NBS led to lower yields.^[17] The key role of the acid additive is worthy of note (10 equivalents is the optimal amount), [18] as no reaction took place in the absence of CF3CO2H (entry 4), and other acids turned out to be ineffective (entry 5) or to afford traces of $2a'$ (like TfOH or Tf_2NH , entry 6). The amount of $Pd(OAc)_2$ could be decreased to 5 mol % (entry 8), and $Pd(TFA)₂$ (entry 9) could be used instead to furnish the dibrominated product **2a** (55-64%, entries 8-9), although the obtained mono-brominated compound **2a'** (11-14%) was not completely consumed in these conditions, likewise the reaction temperature at 40 °C (entry 7). A screening of solvents showed that the reaction could be carried out in pure CF_3CO_2H or acetic acid (42% and 64% of **2a**, entries 10-11), and in non-coordinating solvents such as PhCF₃ (2a'-14% and 2a-65% yields entries 12-13), but none of them surpassed dichloromethane in the soft 50 °C conditions.

Table 2. Scope and limitation.

Reaction conditions: carried out with **1** (0.3 mmol) and NBS (2 equiv). Isolated yields (%) after column chromatography. [a] The presence of starting material (10%) and mono-halogenated products **3a'**-19% and **4a'**-13% were detected on the crude mixture by ¹H NMR. [b] Inseparable mixture. [c] Without Pd-catalyst a mixture of *ortho-* and *para*-brominated products (28% and 29% respectively) was obtained. [d] On 1 mmol scale. [e] With NXS (1.1 equiv). [f] In CH2Cl2/HFIP giving slightly better results. [g] Remaining starting material **1e**-10% (X = Br), **1e**-13% (X = Cl), **1e**-43% (X = I). [h] With NBS (1.1 equiv) 60/40 ratio of mono-Br (5-position) and di-1,5-Br were obtained.

Then, we investigated these soft and *ortho*-selective C-H bromination conditions to α-methyl phenylalanine ester derivatives **1a**-**1o** as free amine (Table 2). Starting from *para*substituted **1a-1c** (*para*-Br, Cl and Me) and unsubstituted **1d** precursors, the *ortho*-dibrominated products **2a**-**c** and **2d** were obtained in isolated yields ranging from 54% to 76%. In the case of *para*-methyl substrate **1c** traces of the mono-brominated **2c'** (6%) was also obtained. Interestingly, the α-methyl phenylalanine ester **1d** (electron-neutral Ar) furnished a mixture of *ortho*- and *para*-brominated products in the absence of palladium. This outcome shows that the Pd-catalyzed C-H halogenation surpasses significantly the rate of the background reaction. Although the sterically hindered *meta*-CF₃ precursor **1e** gave selectively 2-brominated compound **2e** in 55% yield (1.1 equiv of NBS), the less sterically encumbered substrates (with *m*-F and *m*-Me) led to the di-brominated products **2f** and **2g** in 70% and 60% yields in the presence of 2 equivalents of NBS. Regardless of the nature of the *ortho*-substituent on amino esters **1h**-**l**, the corresponding mono-brominated products **2h**-**2l** were isolated in 52-80% yields. As expected, electron-rich methoxy-substituted precursors **1m** and **1n** underwent a competitive S_E Ar-based background pathway e.g. providing the brominated product **2n** (77%) at the *para*-position to the OMe at C2 position. Nonetheless, starting from OTf derivative (**1o**) secured the Pdcatalyzed *ortho*-bromination reaction to afford **2o** in 79% yield. Importantly, although full-conversion was not always reached in similar conditions, the chlorinated (**3a**-54%, **3e**-46%, **3l**-60%) and iodinated (**4a**-53%, **4e**-29%, **4l**-55%) products were also synthesized by means of NCS and NIS, demonstrating thereby

the versatility of this C-H halogenation sequence towards platforms with orthogonal substitution pattern for further crosscoupling reactions.

Next, we explored the diversity of the AA backbone (Table 3). The use of the amino ester **1p**, having a α-phenyl moiety, led to a mixture likely due to competitive formation and reaction of 5 and 6-membered ring Pd-cycles. These phenomenons have been discussed by Garcia, Granell and Ariza for the carbonylation reaction.[12,13b] However, precursors with more hindered alkyl groups such as *i*-Pr **1q** and *i*-Bu **1r**, and the precursor with ethyl ester moiety **1t** gave rise to the corresponding di-bromo compounds **2q**, **2r** and **2t** in respectively 66%, 70% and 87% yields. Note of worthy, the *tert*-butyl-esters, and even the benzylester derivatives did not completely survive to these acidic conditions. Interestingly, the dibenzyl-glycine precursor **1s** yielded uneventfully tetra-*ortho*-brominated product **2s** in 63% (even with less than 4 equivalents of NBS), highlighting an efficient poly-halogenation process. Furthermore, the chlorinated (**3r**-75% and **3s**-66%) and, in lower yields, iodinated (**4r**-22% and **4s**-28%) products were also accessible.

Table 3. Scope and structure-activity relationship.

Reaction conditions: carried out with **1p**-**1t**, **1u-TFA, 7-TFA** (0.3 mmol). Isolated yields (%) after column chromatography. [a] Both benzyl moieties were *ortho*-di-halogenated with NXS (4 equiv). [b] By ¹H NMR with an internal standard: **2s**-95%, **3s**-89%, **4s**-56%. [c] ¹H NMR yield of monoBr/diBr **2u'**:**2u** amines before benzoylation in various solvents gave 28:19 in CH₂Cl₂, 55:33 in CH₂Cl₂/HFIP. 67:34 in CH2Cl2/HFIP with Cu(OAc)2. *Variations of conditions.* [d] NBS (1.5 equiv), Cu(OAc)₂ (1 equiv), CH₂Cl₂:HFIP (1:1), 50 °C, 16 h, and *N*-protection. [e] NBS (1.5 for **8** and 2 equiv for **5u**), Pd(OAc)² (10 mol% for **8** and 20% mol% for **5u**), CF3CO2H as solvent. [f] NCS (1.5 equiv) at 60 °C, 24 h; 58% of **6u'** and 29% of **6u** at 50 °C.

We subsequently tackled the even more challenging phenylalanine ester **1u**, as an ammonium salt **1u-TFA** which is much easier to handle (Table 3). As a rule of thumb, the less hindered secondary amines are more prone to both competitive *β*-H elimination and pre-formation of unreactive *bis*-amino-Pd^{II} complexes.[13a,13d,13e,13g] Although, the previously developed conditions furnished a promising formation of mono- and dibrominated amines **2u'** and **2u** in 28% and 19% yields (determined by $1H$ NMR with 1.5 equiv of NBS), $[19]$ the use of hexafluoroisopropanol (HFIP)^[20] as solvent and copper additive led to improved conversions (see Table 3). These conditions allowed to isolate the corresponding products **5u'** and **5u** in respectively 46% and 38% yields, after the *N*-benzoylation

protection. Interestingly, by carrying out the reaction in trifluoroacetic acid as solvent (2 equiv of NBS and without copper), a smooth dibromination occurred to provide exclusively product **5u** in 65% yield, showing that the second bromination is even faster in this case.^[21] These conditions were compatible with phenylglycine precursor **7** (*via* a 5-membered Pd-cycle) furnishing the dibrominated compound **8** in a descent yield of 47%.[6] Eventually, the successful chlorination reaction of **1u-TFA** was also proven in $CH_2Cl_2/HFIP$ solvent conditions at 60 °C for 24 h giving a mixture of monochlorinated **6u'** (28%) and dichlorinated **6u** (50%) products. This temperature secures a complete consumption of the starting material while giving predominantly the dichlorinated product **6u**, contrariwise to the outcome at 50 °C in 16 h (58% of **6u'** and 29% of **6u**).

Scheme 2. Reactivity investigation. Reaction conditions: [a] NBS (2 equiv/[Pd]), CF_3CO_2H (10 equiv) in CH_2Cl_2 , 50 °C, 16 h. ¹H NMR yield determined with an internal standard. [b] Same outcome in optimized conditions A in Table 3.

As long as the substrate topology is concerned, the replacement of the CO2R by an amide function (**9a**) or the use of the α,αdimethyl amine **9b** led to traces of products (unclean reaction, Scheme 2A). Next, an unsubstituted phenethylamine **9c** proved to be moderately suited for these conditions giving the monobrominated product **10c'** in 24% yield (See SI for details), which shed light on the ester moiety as key element for the C-H halogenation sequence. We also initiated some model testreactions (Scheme 2B). Although a slow background bromination of the aryl moiety was observed for the ammonium salt **1d-TFA**, the free amine **1d** underwent a rapid transformation in the presence of NBS at room temperature, by forming *a priori* the *N*-Br product **11d** (structure observed in a NMR tube and analyzed by MS-analysis). The subsequent addition of $CF₃CO₂H$ triggered the halogenation of the phenyl part (via a supposed -NH₂+Br species)[22] to afford a mixture of starting material **1d** and several derivatives from which the *para*-bromo **1a** was the major product. Actually, this product **1a** was hardly observed in the optimized Pdcatalyzed conditions. In line with our previous observation with phenylalanine ester **1u** (giving **12u**, Scheme 2C),[23] a straightforward synthesis and isolation of the palladacycle **12d** as a solid, likely as a dimeric species, was achieved from the freeamine **1d** in the presence of Pd(TFA)₂ (likewise from **1d-TFA** and Pd(OAc)₂).^[17,24] This protocol conveniently complement the seminal investigation of Vicente and Saura-Llama who observed the facile palladation of triflate ammonium salt of phenylalanine esters thanks to the electron-poor (and poorly coordinating)

triflate anion.[16b] To our surprise, no bromination took place on the palladacycle **12u** (R = H), even in optimized conditions (see Table 3). Contrariwise, the bis-bromination (66% of **2d**) occurred on the more hindered analogue **12d** by NBS, but only in the presence of $CF₃CO₂H.$

Scheme 3. Proposed catalytic cycle.

In light of these preliminary investigations, [17] the Pd-catalyzed C-H activation process and the subsequent halogenation events seem to be finely balanced between reaction conditions and substrate structure.^[18,25,26] Then, we propose a postulated catalytic cycle depicted in Scheme 3, in order to account these outcomes. The more potent Pd(TFA)² would be formed *in situ* from $Pd(OAc)_2$ and CF_3CO_2H to trigger the NH₂-directed palladation to give intermediate 14.^{[11a],[18]} With regard to insightful investigations on phenylglycine esters,[26] a type of concerted metalation-deprotonation (CMD) mechanism would occur. However, the rate of the second metalation significantly depends on the conditions and substrates, leading to a more facile dihalogenation of hindered quaternary amino esters $(1, R \neq H)$ or secondary aminoesters 1u and 7 in CF₃CO₂H as solvent, contrariwise to phenylalanine ester 1u in HFIP/CH₂Cl₂.^[25] The halogenation of *N*-bonded Pd-cycle has been well-investigated while revealing complex and various scenarios that also depend on the nature of the halogenated reagent.^[27] At that stage, we hypothesize that the monomeric palladium complex **14** is the most reactive species which undergoes a rapid oxidative insertion by NBS, possibly pre-activated by CF₃CO₂H₂^[28] through the previously proposed formation of a Pd^{IV} intermediate 15,^[27a,29] prior to the final reductive elimination furnishing the halogenated product **2**. Although the key role of reagents and additives requires further investigations, one can suppose that the trifluoroacetic acid additive also possesses the suited pK_a value to maintain the amino acid derivatives essentially in the ammonium salt state (**1-TFA**). These conditions prevent thereby the otherwise fast and unselective background bromination reaction, while liberating sufficient amount of amine **1** (*equilibrated step I*) for the subsequent coordination event towards **14** *via* **13**. Next, the equilibrium between dimeric^[29,30] palladium complex **12** (supposed less reactive) and monomeric counterpart **14**, is driven towards **14** (*equilibrated step II*) upon the

influence of both (1) steric hindrance $(R = H \text{ vs } Me)^{[15]}$ and (2) an external weakly coordinating ligand $(L = CF₃CO₂H, NBS, etc.).$ We assume likewise that the electron-poor and coordinated ester group could balance the *equilibrated steps 1 and 2* favorably in the catalytic cycle.^[13b]

In conclusion, this work reports an efficient and versatile primary amine-directed palladium catalyzed $C(sp^2)$ -H halogenation $(X = I, I)$ Br, Cl) of phenylalanine (Phe) platforms. This strategy gives rise to the formation of a large array of original halogenated Phederivatives as non-proteinogenic-AA and highlights the use of native functionality-NH₂ as a DG, preventing thereby any N-(de)protection sequences. The exploitation of this strategy to introduce other functionalities is under investigation.

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Keywords: CH functionalization • halogenation • amino acids • palladium • homogenous catalysis

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Entry for the Table of Contents

A site-selective primary amine (as native NH₂-functionality) directed palladium catalyzed C(sp²)-H halogenation (X = I, Br, Cl) of phenylalanine (Phe) platforms was demonstrated and paves the way of the construction of original halogenated Phe-derivatives as non-proteinogenic-AA

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