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# Harmonic Pumping Tomography Applied to Image the Hydraulic Properties and Interpret the Connectivity of a Karstic and Fractured Aquifer (Lez Aquifer, France)

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#### 1 Abstract

In this work, we present a novel method to interpret, at a field scale, the preferential flows 2 generated by harmonic pumping tests, in which the pumped flowrate varies according to a 3 sinusoidal function with a given period. The experimental protocol relies on the application of 4 harmonic pumping tests in a karstic field located near to Montpellier (Southern France) at 4 5 different boreholes, each time with a shorter and a longer period, and the analysis of the 6 7 hydraulic responses recorded at the 13 observation wells. A qualitative analysis of the oscillatory component in the hydraulic responses, in term of amplitude decay and phase lag, 8 9 permitted to propose a preliminary model of degree of connectivity between the boreholes, through the network of conduits. Then, a quantitative interpretation of the harmonic responses 10 was applied to image the spatial heterogeneity of the hydraulic properties (hydraulic 11 12 conductivity and storage coefficient) by using a deterministic inverse algorithm called CADI. This algorithm is based on an equivalent porous medium concept and parameterized by a 13 Cellular Automata approach in order to provide a realistic reconstruction of the karstic 14 network. This algorithm is linked to the groundwater flow equation, reformulated in 15 frequency domain, to simulate the amplitudes and phase shifts responses to the harmonic 16 pumping tests. The inverse process was successfully applied on the dataset collected with 17 both periods, in a separate and joint way. The results obtained allowed for a discussion on the 18 efficiency of the harmonic pumping tomography for the characterization of the karstic 19 20 structures.

#### 21 **1. Introduction**

The protection and the management of the water resources involve the identification of the preferential flow paths in the ground. Therefore, one needs to characterize the spatial distribution of the hydraulic properties in the field subsurface. A common way to assess the hydraulic properties of a field, such as conductivity and specific storage, is the analysis of the drawdown responses to a pumping test from which local or average properties can be inferred from analytical equations that relate the hydraulic response to the hydraulic properties (Butler 2005).

However, in the case of karstic aquifers, the assessment of the hydraulic properties is challenging (White 2002; Hartmann et al. 2014) as the hydraulic properties in this type of aquifer can vary by several orders of magnitude within a short distance (Wang et al. 2016). This makes the characterization of the karstic fields very complex. To face this difficulty, it is then necessary to interpret the responses of the field by taking into account the positioning of the conduits network, which determines the preferential flow paths (Kovacs 2003; Ghasemizadeh et al. 2012; Saller et al. 2013).

The hydraulic tomography concept has been widely applied to map the spatial variability of 36 hydraulic properties, in both type of aquifers (porous and fractured), by performing a joint 37 interpretation of hydraulic data recorded simultaneously at several wells, as responses to 38 39 extraction/injection of water (Yeh and Liu 2000; Bolhing et al. 2002; Zhu and Yeh 2005; Yeh and Lee 2007; Cardiff et al. 2009a; Castagna et al. 2011; Berg and Illman 2013; Cardiff et al. 40 2013a; Zha et al. 2015; Zha et al. 2016; Zha et al. 2017). This approach relies on a numerical 41 42 technique (such as finite difference, finite element and finite volume) to solve the groundwater flow equation, and the inverse process to reconstruct the heterogeneity of the 43 hydraulic conductivities and the storage properties by fitting the piezometric responses. The 44

inversion process usually provides a non-unique solution which can produce an ambiguous 45 interpretation of the hydraulic data. To overcome this issue, a prior information on the 46 distribution of the properties can be used to constrain and guide the inversion to a more 47 realistic solution (Carrera and Neuman 1986). In the case of aquifers with a low 48 heterogeneity, the geostatistical constraints remain the most simple and efficient way to find 49 accurate solutions (Hoeksema and Kitanidis 1984; Kitanidis 1995; Fischer et al. 2017a). In the 50 51 context of fractured and karstic aquifers, the definition of the a priori model, or even the strategy for the numerical groundwater flow simulation (which can be performed by using 52 various techniques such as equivalent porous media or discrete fractures networks), remain 53 54 the subject of open debates among hydrogeologists. In fact, for a successful interpretation of 55 hydraulic responses of karstic aquifers, the 'classical' geostatistical inversion method would require a dense network of measurement and a significant resolution of model 56 parameterization because of the high contrasts existing in the distribution of the spatial 57 properties. Recently, several inversion methods have been developed for characterizing karst 58 networks. One way is to directly incorporate a discrete geometry within a background model 59 using a discrete-continuum forward model (Teutsch 1993; Liedl et al. 2003; de Rooij et al. 60 2013). In this case, the parameterization of the inverse problems usually relies on a stochastic 61 62 generation of discrete fracture networks that are conditioned to statistical (Li et al. 2014; Le Coz et al. 2017), mechanical (Jaquet et al. 2004; Bonneau et al. 2013), or structural data 63 (Pardo-Iguzquiza et al. 2012; Collon et al. 2017). Another way is based on a deterministic 64 optimization of the geometry of discrete networks (Borghi et al. 2016; Fischer et al. 2018a). 65

66 Previous studies have shown that equivalent porous media models are able to reproduce the 67 hydraulic flows in karstic aquifers at a kilometric scale (Larocque et al. 1999; Abusaada and 68 Sauter 2013) or a decametric scale (Wang et al. 2016). However, if the scale of investigation 69 is too small, this type of model can become unreliable for the characterization of the

properties of fractured rocks, extremely contrasted and structured at a small scale (Illman 70 71 2014). Although the classical geostatistical inverse approaches were originally proposed for inversion of hydraulic fields, they can be made adaptive to discrete geometries with special 72 73 treatments to the prior model (e.g. the total variation prior model, Lee and Kitanidis 2013), or using an iterative procedure (e.g. the sequential successive linear estimator, Ni and Yeh 2008; 74 Hao et al. 2008 ; Illman et al. 2009 ; Sharmeen et al. 2012). Other methods for inversion of 75 76 complex discrete structures involve introducing constraints of a priori knowledge to the inverse model using a guided image (Hale 2009; Soueid Ahmed et al. 2015), a training image 77 (Lochbühler et al. 2015), a probability perturbation (Caers and Hoffman 2006), a transition 78 79 probability distribution (Wang et al. 2017), a multi-scale resolution (Ackerer and Delay 80 2010), a level-set method (Lu and Robinson 2006; Cardiff and Kitanidis 2009b), or based on cellular automata (Fischer et al. 2017b). 81

Apart from these challenges in modeling techniques, a further difficulty in karst aquifer 82 characterization raises from characteristics of hydraulic tests. Due to the integration nature of 83 pressure diffusion, the steady state responses of long-term constant-rate pumping tests in a 84 karst aquifer represent the combined effect of the multiple media (conduits, fissures, matrix) 85 rather than specific components. Although the interpretation of transient responses may 86 provide additional information about the relative importance of each aquifer components, the 87 inclusion of such data in a modeling in the time domain is also computationally demanding. 88 Recently, harmonic pumping tests have been introduced as an alternative for the identification 89 of the networks of preferential groundwater flows. Harmonic characterization designates an 90 91 investigation in which an oscillatory/sinusoidal signal is used to disturb the water level of an 92 aquifer. Different ways to produce such signals have already been proposed: a pumpingreinjecting system (Rasmussen et al. 2003; Renner and Messar 2006), a moving mass at the 93 water table interface (Guiltinan and Becker 2015), or a controlled pumping system (Lavenue 94

and de Marsily 2001). Then, the response signals among the aquifer contain an oscillatory 95 part (characterized by an amplitude and a phase offset values) that can be easily isolated from 96 the ambient noise (Bakhos et al. 2014; Cardiff and Barrash 2015). Harmonic characterization 97 has already been successfully applied to a large range of complex cases such as contaminated 98 aquifer (Rasmussen et al. 2003), leakage detection (Sun et al. 2015), or fractured aquifers 99 (Renner and Messar 2006; Maineult et al. 2008; Guiltinan and Becker 2015). The theoretical 100 101 aspects of the application of harmonic pumping to karstic aquifers have also been developed in Fischer et al. (2018b). The imagery potential of harmonic investigations has been studied 102 for mapping the distribution of hydraulic properties in heterogeneous aquifers with models 103 104 solved in the time domain (Lavenue and de Marsily 2001; Soueid Ahmed et al. 2016) or in the frequency domain (Cardiff et al. 2013b; Zhou et al. 2016) 105

In this article we will present a field characterization of karst network based on a harmonic 106 pumping tomography. Hydraulic data were obtained from the Terrieu experimental site 107 located in Montpellier, in Southern France. At the same site, results of hydraulic tomography, 108 109 in which hydraulic responses were generated with constant-rate pumping tests, have already been presented and discussed in our previous works (Wang et al. (2016), Wang et al. (2017) 110 and Fischer et al. (2017c)). In this new work, we rely our analysis on a set of responses to 111 harmonic pumping tests with different oscillation periods, to characterize the karst network. 112 We describe in section 2 the experimental study site, the harmonic pumping investigation led 113 on it, and the processing made on the measured field responses for the later interpretation. 114 Then, in section 3 we briefly introduce the numerical model setup and the Cellular Automata-115 based Deterministic Inversion (CADI) algorithm. Further details of our inverse algorithm can 116 117 be found in Fischer et al. (2017b). In section 4 we present the inversion results obtained with the CADI method at the Terrieu field site and the efficiency of the method in reproducing the 118

observed hydraulic responses. Finally, section 5 presents a discussion of the effect of theharmonic signal period on the inversion results.

#### 121 **2. Field investigation**

#### 122 **2.1. Experimental site presentation**

The Terrieu experimental site is located ~15 km in north of the town of Montpellier in 123 southern France. The site consists of 22 vertical boreholes drilled within a surface area of 124 approximately 2,500 m<sup>2</sup> (40 m  $\times$  60 m) and permits the study of karstic flows at a local scale 125 (Figure 1). As a part of the network of the French Karst Observatory (SNO Karst, 126 www.sokarst.org) and the Medycyss network (Jourde et al. 2011), the site has been used as a 127 field laboratory for testing new field hydraulic methods and tools developed for the 128 characterization of karstic aquifers (Jourde et al. 2002; Jazaveri Noushabadi 2009; Jazaveri 129 Noushabadi et al. 2011; Dausse 2015; Wang et al. 2016; Wang et al. 2017; Fischer et al. 130 2017c). 131

The geological logs collected from the different boreholes shows that the subsurface of the field is composed of 35 to 45 m of thin-layered marly Cretaceous limestones, deposited on pure and massive Jurassic limestones. The interface between these two units is a sloped monocline bedding plane dipping at 20° toward Nord-West (Wang et al. 2016).

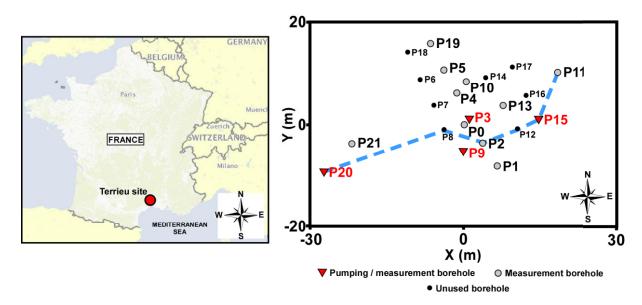
The Terrieu field is located in the Lez regional aquifer. Temperature and electrical conductivity measurements, and packer tests in boreholes presented in previous works (Jazayeri Noushabadi 2009; Dausse 2015) have shown the existence of preferential flow paths (shown in Figure 1) along the bedding plane between the Cretaceous and Jurassic limestones. Downhole videos in the boreholes show, that, at this interface, karstic conduits with aperture up to 50 cm are present (Jazayeri Noushabadi et al. 2011). The massive Jurassic limestones are non-aquifer and the Cretaceous limestones have a low permeability. This causes the aquifer to be confined at the interface between these two layers, in the bedding plane. A network of karstic conduits has developed preferentially on this bedding plane, and controls the fluid circulation within the aquifer.

146

#### **2.2.Harmonic pumping investigation**

The main dataset used in this study was collected from an investigation using harmonic pumping tests performed at the Terrieu site. We have conducted pumping sequentially in four different boreholes while recording the water-level responses in 13 selected observational boreholes (see Figure 1). The water-level responses were also measured in the pumping wells P9, P15 and P20 but not in P3.

The static water level before the hydraulic investigation was at a depth of 20 m. The maximal drawdown generated by the pumping tests was 4 m. Therefore the karstic conduits (located at a depth of 35 to 45 m) were saturated during the entire duration of hydraulic tests.



155

Figure 1: Maps of localization of the Terrieu site in France (left) and well pattern on the site
 (right). Boreholes used as pumping and measurement points are indicated using red triangles,
 and boreholes used only as measurement points are indicated using grey circles. Boreholes
 indicated by solid black points were not used during the investigation. The blue dotted line

delineates a preferential flow path identified by previous studies (Jazayeri Noushabadi 2009and Dausse 2015), which shows a connectivity between P2, P8, P11, P12, P15 and P20.

162

163 The harmonic pumping tests were performed with a configurable electronical device, 164 specially designed for this study by electronics engineers. This device controls a flow rate 165 variator linked to the pump, which can generate a pumping signal with a sinusoidal shape 166 around a mean value. The period and amplitude of the sinusoid signal can be configured with 167 the device. The generated pumping rate can be described by:

168 
$$Q(t) = Q_m - Q_A \cos(\omega t) , \qquad (1)$$

169 where Q is the output pumping signal (m<sup>3</sup>/s),  $Q_m$  the mean pumping rate (m<sup>3</sup>/s),  $Q_A$  the

170 oscillatory signal amplitude (m<sup>3</sup>/s), and  $\omega = \frac{2\pi}{T}$  the pulsation (rad.s<sup>-1</sup>) with T the period (s).

Different signal amplitudes and mean values were independently applied in each differentpumping borehole according to its productivity (see Table 1).

173	<u>Table 1:</u> Harmonic pumping rates registered for each pumping point during the investigation.
174	$Q_A$ and $Q_m$ refer to Eq. 1.

Pumping well:		P3	<b>P9</b>	P15	P20
ıg rate /h)	Amp. Q <sub>A</sub>	1	0.22	2.1	2.5
Pumping <sup>1</sup> (m <sup>3</sup> /h)	Mean Q <sub>m</sub>	4.1	0.35	5.3	3.8

<sup>175</sup> 

For each pumping location, two pumping tests with different periods (T = 2 min and T = 5min) were conducted during 30 min (15 cycles for a 2 min period, 6 cycles for a 5 min period). Water-level variations were continuously measured with digital pressure sensors installed in the measurement wells. Overall, this investigation permitted to record 104 drawdown curves (13 measurements foreach 2 different periods of signal applied in each 4 pumping wells).

#### 182 **2.3. Data processing**

In order to interpret the harmonic signal in the drawdown curves, we have performed the 183 same signal decomposition as proposed in Fischer et al. (2018b). This decomposition consists 184 in removing the linear part, induced by the mean pumping signal  $Q_m$ , from the drawdown 185 curve (through a linear regression) to keep only the oscillatory response. This operation is 186 feasible only after an early transient period (accordingly we truncate the first cycle of the 187 recorded responses). As we show in Figure 2 for the pumping in P15, the operation of 188 removing the linear part is acceptable, as the resulting signals appear to be purely oscillatory. 189 Some natural noises and vibrations induced by the pumping appear as high frequencies 190 fluctuations in the oscillatory responses. 191

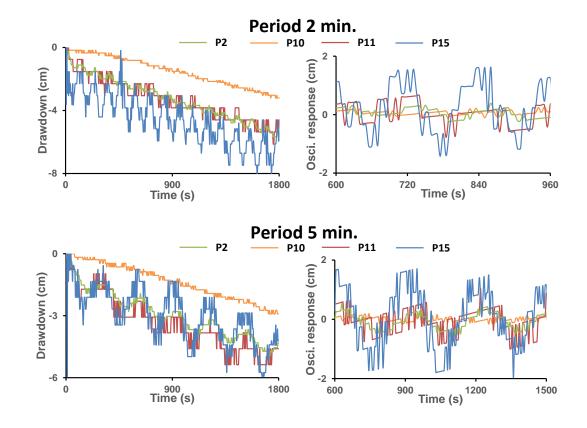


Figure 2: Left: Measured drawdown curves for a selection of boreholes (P2, P10, P11, P15)
during a pumping in P15 with a 2 min and a 5 min period. Right: Zoom-in view of three
oscillation cycles after removing the linear part from the drawdown curves.

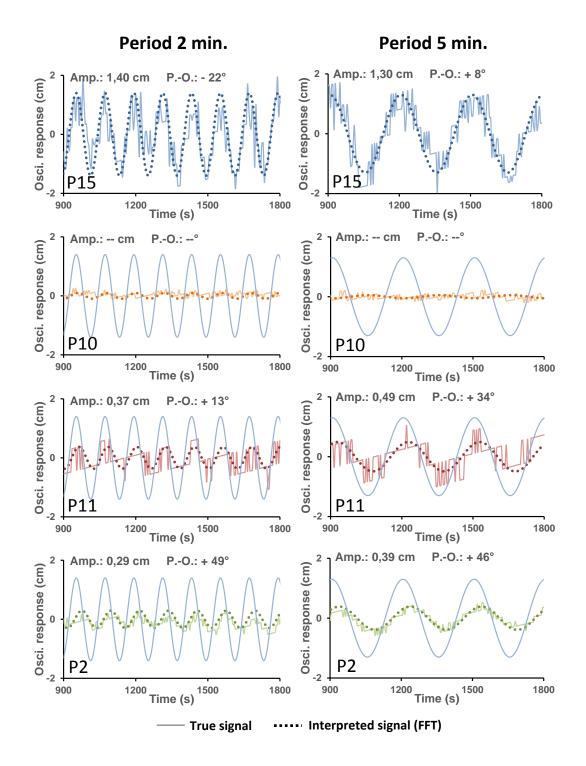
192

197 Mathematically the drawdown curves generated by the harmonic pumping tests can be 198 approximated as a sum of a linear signal and an oscillatory signal applied on the initial water 199 table level:

with 
$$h_{\text{lin.}}(t) \approx -at - h_0$$
 and  $h_{\text{osc.}}(t) \approx A\cos\left(\frac{2\pi}{T}t - \Phi\frac{\pi}{180}\right)$  (2)

where h represents the drawdown (m) over time, 
$$h_{lin.}$$
 is a linear signal described by its slope a  
(m/s) and its intercept  $h_0$  (m) (whose values can be retrieved by linear regression),  $h_{osc.}$  is an  
oscillatory signal described by its amplitude A (m), its period T (s) and its phase shift  $\Phi$  (°),  
and  $H_0$  represents the initial water table level (m) (in our case we considered  $H_0 = 0$  m).

The linear signal  $h_{lin}$  can be easily estimated in a first approximation through a linear 205 206 regression performed on each drawdown curve. After removing this linear trend, the amplitude and phase offset of the remaining signal of each borehole can be determined by a 207 Fast Fourier Transform (FFT) on their oscillatory signals. The FFT permits to extract the main 208 209 oscillatory components of a signal, to denoise it, and to interpret its parameters. Figure 3 presents the FFT results for the oscillatory signals of three representative boreholes (P10, P11, 210 P2) during a pumping in P15 with the two periods (2 min and 5 min). The interpretation 211 results of amplitude and phase offset for the entire dataset are presented in Appendix 1. 212



213

Figure 3: Zoom-in on the oscillatory responses extracted from the drawdown measured in P2, 214 P10, P11 and P15 during pumping tests in P15 with a 2 min (left) and a 5 min (right) signal 215 periods and FFT results of the interpreted amplitude (Amp.) and phase offset (P.-O.) 216 217 responses. Solid lines represent the measured signals, dotted lines represent the interpreted signals (h<sub>osc</sub> in Eq. 2) reconstructed from the amplitudes and phase offsets interpreted by 218 FFT. For interpreted amplitudes smaller than 1 mm (for example here in P10), we considered 219 220 the oscillatory responses to be negligible. The blue lines represent the interpreted pumping signals (P15) and are presented for each borehole for a better visualization of the interpreted 221 phase offset responses. 222

#### 224 **2.4. Preliminary analysis**

225 The different responses of amplitude and phase offset interpreted in P10, P11 and P2 highlight 226 three distinct flow behaviors (Fischer et al. 2018b). The responses in P10, having a negligible amplitude (< 1 mm) relatively to the pumping signal, which we interpreted as a negligible 227 oscillatory response, is associated to a 'matrix connectivity' between the pumping and the 228 229 observational well. In contrast, the response in P11 has a significant amplitude and an almost invariable phase relatively to the pumping signal for the two different periods. This behavior 230 is associated to a 'conduit connectivity' response, meaning that P15 and P11 would be 231 232 connected through a karstic conduit network. The response in P2 has a lower amplitude response than P11, and its phase offset relatively to the pumping signal increases as the 233 pumping period decreases (+71  $^{\circ}$  for a 2 min signal, +38  $^{\circ}$  for a 5 min signal). This third 234 behavior is associated to a 'dual connectivity' response, which corresponds to an inter-well 235 connection either through fissures or when the observation borehole is located in the matrix 236 237 but close to a conduit.

Following the method described in Fischer et al. (2018b) and through the integration of the 238 amplitude and phase offset results interpreted for each pumping-observation well pair, it is 239 240 possible to obtain a map of inter-well connectivity which contains qualitative information regarding the spatial distribution of the conduit network and the relative position of boreholes 241 to the network. We can first link, on the map, the boreholes with a low phase shift relatively 242 to the pumping signal, to represent a conduit connection. From this conduit connectivity we 243 can then establish a possible conduit network, and then verify that the other boreholes 244 245 responses would be adequate toward their position to the interpret network (dual connectivity with higher phase shift for boreholes close to the network and matrix connectivity with 246 negligible responses for the others). 247

Possible connectivity maps interpreted with the responses to the harmonic pumping test inP15 for a period of 2 min. and 5 min. are proposed in Figure 4.

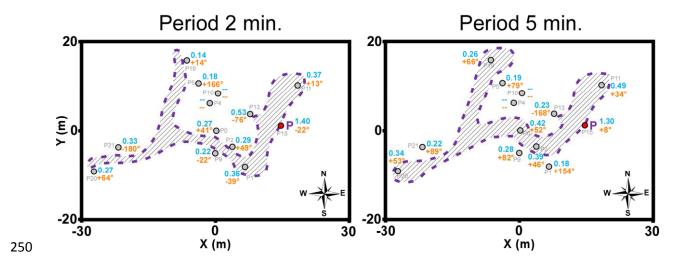


Figure 4: Connectivity maps interpreted from the amplitude (in blue) and phase offset (in orange) responses to a pumping in P15 with a 2 min (left) and a 5 min (right) period of signal.
The areas within the dotted lines delineate a possible area where boreholes are connected through a direct conduit connectivity. Dashes indicate negligible oscillatory responses.

255

The comparison between the two connectivity maps in Figure 4 shows that the period of the harmonic pumping signal may have a slight impact on the connectivity interpretation. For example in the pumping test with a 2 min period P1 can be interpreted as connected to the pumping well through conduits, but not in the pumping test with a 5 min period. This implies that a change in the period of the pumping signal modifies the flow field induced by the pumping.

Furthermore, the manual interpretation is possible only when the amount of hydraulic data to deal with is limited (13 responses for each period in Figure 4). Therefore, although such a qualitative analysis through manual interpretation of inter-well connectivity could provide some important guidance to hydrogeological investigation, such as indicating the general trend of the main conduits and relative inter-well connectivity, to obtain a quantitative hydrodynamics characterization and to integrate a larger amount of hydraulic measurements 268 (104 responses from 4 different pumping locations with each time two different periods) an269 inverse modelling is required.

#### 270 **3. Modeling methodology**

#### **3.1. Forward problem and model parameterization**

Inverse modeling involves the use of the forward problem in order to simulate, for a given model of hydraulic properties, the hydraulic responses. In this section we present a 2D model that represents the property field along the bedding plane interface, in which the karstic network has developed on the Terrieu site.

As seen in the previous section, the variation of the piezometric level among the site, in response to a harmonic pumping, can be approximated by the sum of a linear drawdown and an oscillatory drawdown (Eq. 2). We simulate in the model only the oscillatory part of the drawdown responses  $h_{osc}$ . The inversion aims to reproduce the values of amplitude and phase offset of the oscillatory part in the measured responses. This oscillatory part can be described as a signal in the frequency domain in the model:

282 
$$h_{osc.}(x, y, t) = Re\left(\mathcal{H}_{\omega}(x, y)e^{i\omega t}\right).$$
(3)

with  $\mathcal{H}_{\omega}$  a complex parameter holding the amplitude and phase offset responses over space (x,y), *Re* the function returning the real part of a complex value,  $\omega = \frac{2\pi}{T}$  the pulsation (rad.s<sup>-1</sup>) and *i* the imaginary unit.

This oscillatory feature of the hydraulic signal permits to rewrite the time domain form of the groundwater flow equation into a frequency form, in order to reduce the computation time of the forward problem. In a 2D, porous, isotropic and saturated domain  $\Gamma$  the groundwater flow equation based on the Darcy's law in a frequency domain can be expressed as:

291 
$$i\omega S_{\rm s} \mathcal{H}_{\omega} - \nabla \cdot \left( K. \nabla \mathcal{H}_{\omega} \right) = \frac{Q_{\rm A}}{V_{\rm el.}} \delta \left( \mathbf{x} - \mathbf{x}_{\rm s}, \mathbf{y} - \mathbf{y}_{\rm s} \right) , \qquad (4)$$

with  $S_s$  the specific storage distribution (m<sup>-1</sup>), K the conductivity distribution (m/s),  $Q_A$  the 292 pumping amplitude (m3/s),  $V_{el.}$  an elementary volume of the finite element grid in the model, 293 and  $\delta(x - x_s, y - y_s)$  the Dirac distribution where  $x_s, y_s$  represents a pumping location. As the 294 295 system is 2D, with a unit thickness, conductivity K and transmissivity T are of same value, as well as specific storage  $S_s$  and storativity S. In this study we considered the Darcy's law 296 to be acceptable for representing the flows generated in the karstic structures. In fact, it 297 appears from the previous studies on the Terrieu site that the flows in the conduits have a low 298 velocity, inducing a low Reynolds value, even for higher pumping rates than the ones used 299 during this new investigation. 300

#### 301 The initial and boundary conditions used for solving Eq. 4 are:

302 
$$\mathcal{H}_{\omega}(\mathbf{x}, \mathbf{y}) = 0 \quad \forall (\mathbf{x}, \mathbf{y}) \in \mathbf{\Gamma} \text{ as initial condition} \\ \mathcal{H}_{\omega}(\mathbf{x}, \mathbf{y}) = 0 \quad \text{when } (\mathbf{x}, \mathbf{y}) \in \mathbf{\Gamma}_{\text{bound.}} \text{ as boundary condition}$$
(5)

303 The spatial distribution of the complex parameter  $\mathcal{H}_{\omega}$  permits the reconstruction of the 304 oscillatory responses simulated among the model, through the calculation of their amplitude 305 and phase offset values:

$$306 \qquad -Amplitude: \quad A(x,y) = \sqrt{\left(Re \mathcal{H}_{\omega}(x,y)\right)^{2} + \left(Im \mathcal{H}_{\omega}(x,y)\right)^{2}} \quad \text{in m} \\ -Phase offset: \quad \Phi(x,y) = \frac{180}{\pi} atan2 \left(-Im \mathcal{H}_{\omega}(x,y), Re \mathcal{H}_{\omega}(x,y)\right) \text{ in }^{\circ} \qquad , \qquad (6)$$

307 where *Re* and *Im* are the functions returning the real and imaginary parts of a complex 308 value, and *atan2* is the function returning the inverse tangent value in radian mode from two 309 arguments.

310 The simulated response signals are then reconstructed temporally and spatially:

311 
$$h_{osc.}(x, y, t) = A(x, y) cos\left(\omega t - \Phi(x, y)\frac{\pi}{180}\right).$$
(7)

In a karstic medium, the spatial response signals are very dependent to the highly 312 heterogeneous distribution of the field properties T and S along the karstic conduits. 313 314 Therefore this heterogeneity has to be taken into account in the distribution of these properties in the model in order to simulate a realistic responses behavior. For this purpose we chose to 315 apply as parameterization for our model and inverse problem the Cellular Automata-based 316 317 Deterministic Inversion (CADI) method, developed and detailed in Fischer et al. (2017b). For a detailed description of the CADI method we refer the reader to Fischer et al. (2017b), as we 318 will only briefly summarize the concept in this article. 319

The CADI method uses a particular parameterization of the property field in the model to 320 generate linear structures (conduits) over a background (matrix). The field is composed of a 321 322 grid of cells, each cell being assigned to a value of transmissivity and storativity. This grid of cells is divided in  $m_{CA}$  subspaces, each one being controlled by a cellular automaton piloting 323 the part of the cells inside of its subspace (Figure 5). The cellular automata concept is a 324 mathematical tool which permits to generate structures within a grid with simple 325 neighborhood and transition rules (Von Neumann and Burks 1966). The cellular automata 326 327 control the local direction of generation of the conduit in the different subspaces. They are piloted through eight different neighborhood definition  $N_i$ ,  $i \in [1,8]$  that permit to define eight 328 different directions. One of these eight direction possibilities is assigned to each cellular 329

automaton in the subspaces. The conduit network is generated by first assigning a state 'matrix' or 'conduit' to each cell. The whole cells are initially in state 'matrix', except an initial cell of the grid in state 'conduit' which designates the starting point and starting subspace for the generation of the conduits. The network of conduits then generates following the different local direction affected to each subspace it crosses. The generation ends once each part of the network has reached an end (either the limit of the model or a subspace in which the network has already generated).

337 Then property values are assigned to the cells depending on their state ('matrix' or 'conduit')

and their localization (subspace). Each subspace defines locally a value for  $T_{\text{mat}}$  and  $S_{\text{mat}}$  for

339 its cells in state 'matrix' and a value for  $T_{\text{cond}}$  and  $S_{\text{cond}}$  for its cells in state 'conduit'.

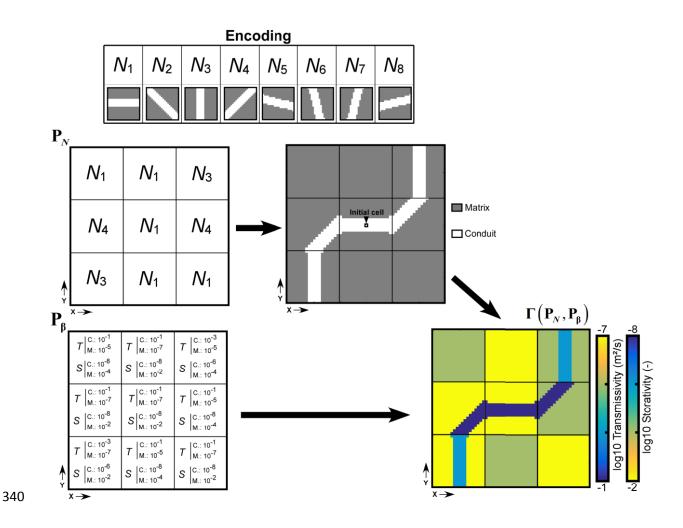


Figure 5: Schema of the parameterization of a model with the CADI method.  $\mathbf{P}_N$  contains the encoded (see Encoding) structural directions of generation associated to each subspace which permits to generate, from an initial 'conduit' cell, a network of conduits in the matrix.  $\mathbf{P}_{\beta}$ contains the conduit (C) and matrix (M) transmissivity and storativity values associated to each subspace.  $\Gamma(\mathbf{P}_N, \mathbf{P}_{\beta})$  designates the model produced by applying the property values from  $\mathbf{P}_{\beta}$  to the network generated from  $\mathbf{P}_N$ .

In order to be able to easily pilot and modify the configuration of the model through this parameterization, the structural directions and properties assigned to each subspace are defined in two parameter vectors:  $\mathbf{P}_N$  and  $\mathbf{P}_{\beta}$ .  $\mathbf{P}_N$  is a  $m_{CA}$ -vector containing the directions of generation  $N_i$ ,  $i \in [1,8]$  assigned to each subspace. Several independent networks can be generated in the same model with different directions parameters for each network. In this case  $\mathbf{P}_N$  becomes a  $(m_{CA} \times frac)$  matrix where *frac* represents the amount of independent networks in the model. Each column contains the subspaces directions for each network.  $\mathbf{P}_{\beta}$  is a  $4m_{CA}$ -vector containing the  $T_{mat}$  and  $S_{mat}$  and the  $T_{cond}$  and  $S_{cond}$  values assigned to each subspace. In this way the parameters controlling the configuration of the model, and thus the model itself, can be easily and locally modified.  $\mathbf{P}_{N}$  and  $\mathbf{P}_{\beta}$  represent the parameters to be optimized in the inverse problem in order to reproduce the observed data (amplitude and phase offset responses) through a suitable model.

#### **360 3.2. Inverse problem**

The inverse problem consists in retrieving the best values for the parameters contained in  $\mathbf{P}_{N}$ and  $\mathbf{P}_{\beta}$  regarding the minimization of the gap between the simulated data and the observed data (amplitude and phase offset responses at the measurement points for the different pumping tests). This inverse algorithm contains two steps in which we seek to minimize two objective functions sequentially,  $\Psi_{\text{structure}}$  for the optimization of the structural parameter  $\mathbf{P}_{N}$ and  $\Psi_{\text{properties}}$  for the optimization of the property parameter  $\mathbf{P}_{\beta}$  (Tarantola and Valette 1982):

367  

$$\Psi_{\text{structure}} \left( \mathbf{P}_{N} \right) = \frac{1}{2} \left( \mathbf{d}_{\text{obs}} - f \left( \Gamma \left( \mathbf{P}_{N}, \mathbf{P}_{\beta} \right) \right) \right)^{\text{T}} \mathbf{C}_{\mathbf{d}}^{-1} \left( \mathbf{d}_{\text{obs}} - f \left( \Gamma \left( \mathbf{P}_{N}, \mathbf{P}_{\beta} \right) \right) \right) + \frac{1}{2} \left( \mathbf{P}_{N,\text{prior}} - \mathbf{P}_{N} \right)^{\text{T}} \mathbf{C}_{\mathbf{P}_{N}}^{-1} \left( \mathbf{P}_{N,\text{prior}} - \mathbf{P}_{N} \right) \right) , \quad (8)$$

$$\mathcal{\Psi}_{\text{properties}}\left(\mathbf{P}_{\beta}\right) = \frac{1}{2} \left(\mathbf{d}_{\text{obs}} - f\left(\Gamma\left(\mathbf{P}_{N}, \mathbf{P}_{\beta}\right)\right)\right)^{\mathrm{T}} \mathbf{C}_{\mathsf{d}}^{-1} \left(\mathbf{d}_{\text{obs}} - f\left(\Gamma\left(\mathbf{P}_{N}, \mathbf{P}_{\beta}\right)\right)\right) + \frac{1}{2} \left(\mathbf{P}_{\beta, \text{prior}} - \mathbf{P}_{\beta}\right)^{\mathrm{T}} \mathbf{C}_{\mathbf{P}_{\beta}}^{-1} \left(\mathbf{P}_{\beta, \text{prior}} - \mathbf{P}_{\beta}\right)$$
(9)

368

where  $\mathbf{d}_{obs}$  is a *n*-vector containing the *n* measured responses,  $f(\Gamma(\mathbf{P}_N, \mathbf{P}_\beta))$  is a *n*vector containing the responses simulated with the model at the same positions than in  $\mathbf{d}_{obs}$ ,  $\mathbf{C}_d$  is a  $(n \times n)$  matrix of covariance on the data,  $\mathbf{P}_{N,prior}$  is a  $m_{CA}$ -vector holding *a priori*  structural parameters for  $\mathbf{P}_N$ ,  $\mathbf{P}_{\beta,\text{prior}}$  is a  $4m_{CA}$ -vector holding *a priori* property values for  $\mathbf{P}_{\beta}$ , and  $\mathbf{C}_{\mathbf{P}_N}$  and  $\mathbf{C}_{\mathbf{P}_{\beta}}$  are  $(m_{CA} \times m_{CA})$  and  $(4m_{CA} \times 4m_{CA})$  matrices of prior covariance on the parameters  $\mathbf{P}_N$  and  $\mathbf{P}_{\beta}$ .

At the beginning of the inversion process, the variable parameters  $\mathbf{P}_N$  and  $\mathbf{P}_\beta$  are initialized with a priori conduit directions and property values in order to create the initial model. Then a sequential and deterministic process optimizes firstly the structural parameters in  $\mathbf{P}_N$ (considering the initial properties in  $\mathbf{P}_\beta$  as invariable), and then, in second step, the property parameters in  $\mathbf{P}_\beta$  (considering the previously optimized  $\mathbf{P}_N$  as invariable). Finally, after the optimization process, the posterior uncertainties on the structural and property parameters are estimated.

382

#### a. Optimization of the structural parameters:

The optimization of the structural parameters in  $\mathbf{P}_N$  is an iterative process in which a sensitivity matrix is computed, at each iteration step, to minimize the objective function in Eq. 8. This analysis requires the computation of a  $(8 \times m_{CA})$  sensitivity matrix **S**. At a given iteration step k, each element (i, j) of the matrix is calculated as follow:

387  

$$\mathbf{S}^{k}(\mathbf{i},\mathbf{j}) = \left(\mathbf{d}_{obs} - f\left(\Gamma\left(\mathbf{P}_{N}^{k}\big|_{\mathbf{P}_{N}^{k}(\mathbf{j})=N_{i}},\mathbf{P}_{\beta}\right)\right)\right)^{\mathrm{T}}\mathbf{C}_{\mathbf{d}}^{-1}\left(\mathbf{d}_{obs} - f\left(\Gamma\left(\mathbf{P}_{N}^{k}\big|_{\mathbf{P}_{N}^{k}(\mathbf{j})=N_{i}},\mathbf{P}_{\beta}\right)\right)\right) + \frac{1}{2}\left(\mathbf{P}_{N,\mathrm{prior}}(\mathbf{j}) - N_{i}\right)^{\mathrm{T}}\mathbf{C}_{\mathbf{P}_{N}}^{-1}\left(\mathbf{P}_{N,\mathrm{prior}}(\mathbf{j}) - N_{i}\right)$$
(10)

where  $f\left(\Gamma\left(\mathbf{P}_{N}^{k}\Big|_{\mathbf{P}_{N}^{k}(j)=N_{i}},\mathbf{P}_{\beta}\right)\right)$  represents the responses simulated with the modified direction  $N_{i}$  in the subspace  $\mathbf{P}_{N}^{k}(j)$ , and  $\mathbf{P}_{N,\text{prior}}(j) - N_{i}$  denotes the angular gap between the modified direction  $N_{i}$  and the *a priori* direction  $\mathbf{P}_{N,\text{prior}}(j)$ . The coordinates  $(i_{min}, j_{min})$  of the minimal value in the sensitivity matrix provide the subspace to be optimized  $(j_{min})$  and the direction to apply  $(i_{min})$  in order to minimize the objective function during this iteration. At the end of an iteration, the value of the structural objective function is recalculated. This iterative optimization ends when the objective function has reached a minimum (no more structural modification can decrease the objective function). The optimized parameters in  $\mathbf{P}_N$  will then be considered as invariable during the optimization of  $\mathbf{P}_{\mathbf{n}}$ .

398 After the convergence of the objective function in the structural optimization, the 399 uncertainties on the local directions of the geometry of the network can be estimated from the 400 posterior structural covariance:

401 
$$\mathbf{C}_{\mathbf{P}_{N}}^{post}\left(\mathbf{j}\right) = \left(\frac{1}{8}\sum_{i=1}^{8}\mathbf{S}^{post}\left(\mathbf{i},\mathbf{j}\right) - \boldsymbol{\Psi}_{structure}^{post} + \mathbf{C}_{\mathbf{P}_{N}}^{-1}\left(\mathbf{j},\mathbf{j}\right)\right)^{-1}$$
(11)

402 where  $\mathbf{C}_{\mathbf{P}_{N}}^{post}(\mathbf{j})$  denotes the uncertainty associated to the direction of the subspace  $\mathbf{j}$ ,  $\mathbf{S}^{post}$  is 403 the sensitivity matrix of the last iteration, and  $\Psi_{structure}^{post}$  is the value of the minimized objective 404 function associated to the last iteration. The higher the uncertainty value associated to a 405 subspace is, the more the direction of the subspace in uncertain. In the contrary a low value 406 denotes a well constrained direction.

407 **b.** Optimization of the property parameters

408 In the second step, we estimate the hydraulic properties in  $\mathbf{P}_{\beta}$  through an iterative 409 optimization process and by considering the previously optimized  $\mathbf{P}_{N}$  as invariable. The 410 process relies on a linearization of the objective function in Eq. 9, through the computation of 411 the Jacobian matrix. At an iteration step k, the values of the properties in  $P_{\beta}$  are updated from 412 the previous ones as follow:

413  

$$\mathbf{P}_{\boldsymbol{\beta}}^{k+1} = \mathbf{P}_{\boldsymbol{\beta}}^{k} + \left( \left( \mathbf{J}^{k} \right)^{\mathrm{T}} \cdot \mathbf{C}_{\mathbf{d}}^{-1} \cdot \mathbf{J}^{k} + \mathbf{C}_{\mathbf{P}_{\boldsymbol{\beta}}}^{-1} \right)^{-1} \cdot \left( \mathbf{J}^{k} \right)^{\mathrm{T}} \cdot \mathbf{C}_{\mathbf{d}}^{-1} \cdot \left( \mathbf{d}_{\mathrm{obs}} - f\left( \mathbf{\Gamma} \left( \mathbf{P}_{N}, \mathbf{P}_{\boldsymbol{\beta}}^{k} \right) \right) \right) + \mathbf{C}_{\mathbf{P}_{\boldsymbol{\beta}}}^{-1} \cdot \left( \mathbf{P}_{\boldsymbol{\beta}, \mathrm{prior}} - \mathbf{P}_{\boldsymbol{\beta}}^{k} \right)$$
(12)

414 where **J** is the  $(n \times 4m_{CA})$  Jacobian matrix calculated with a finite difference method:

415 
$$\mathbf{J}(\mathbf{i}, \mathbf{j}) = \frac{\partial f_{\mathbf{i}}}{\partial \mathbf{P}_{\beta}} \Big|_{\mathbf{P}_{\beta}(\mathbf{j}) = \mathbf{P}_{\beta}(\mathbf{j}) + \Delta \mathbf{P}_{\beta}}$$
 with  $\Delta \mathbf{P}_{\beta}$  a finite difference step.

The value of the properties objective function is recalculated at the end of each iteration. Thisiterative optimization ends when the objective function converges to a minimum value.

The uncertainties on the T and s values can then be estimated through the computation of the posterior properties covariance matrix. The square root values of the diagonal entries of this matrix represent the standard deviation associated to each property value:

421 
$$\mathbf{C}_{\mathbf{P}_{\beta}}^{post} = \left( \left( \mathbf{J}^{post} \right)^{\mathrm{T}} \cdot \mathbf{C}_{\mathbf{d}}^{-1} \cdot \mathbf{J}^{post} + \mathbf{C}_{\mathbf{P}_{\beta}}^{-1} \right)^{-1}$$
(13)

422 where  $C_{P_{\beta}}^{post}(j,j)$  denotes the variance of the j<sup>th</sup> property parameter in  $P_{\beta}$ , and  $J^{post}$  is the 423 Jacobian matrix of the last iteration.

- 424 **4. Modeling application**
- 425 **4.1.Modeling strategy**

We have applied the CADI method on the 2D parameterized model presented in the previous section, in order to find a structured property distribution able to reproduce the measured oscillatory responses (amplitudes and phase offsets) presented in the section 2.3. We considered the oscillatory responses interpreted as negligible (amplitude < 1 mm) to be null for the inversion. We have coded the CADI algorithm with Matlab and used Comsol Multiphysics to solve the model in the frequency domain (see Eq. 5). This resolution was led using a finite element method on a triangular mesh, refined around the boundaries between the matrix and the conduit network represented by the equivalent porous media properties distributed over the model. This mesh refinement is performed with the Comsol *mphimage2geom* function on the conduit network image generated by the cellular automata.

The distributed model is constructed as a  $40 \times 60 \text{ m}^2$  rectangle included in a  $1,000 \times 1,000 \text{ m}^2$ buffer zone. The external boundaries of the buffer zone are built with imposed Dirichlet boundary conditions as presented in Eq. 5. Thus, this zone permits to limit the effect of the boundary conditions on the parameterized model.

The values of the parameters chosen for the model parametrization and the inversion process 440 are presented in Table 2. The initial values for the inversion were chosen accordingly to 441 estimates from previous studies on the Terrieu site (Jazayeri Noushabadi 2009; Dausse 2015; 442 Wang et al. 2016). In the inverse problem the properties values  $\beta$  in  $P_{B}$  were associated to the 443 exponent of the transmissivity and the storativity. The initial standard deviation values on the 444 data ( $\sigma_{data}$ ) and on the property parameters ( $\sigma_{T}, \sigma_{S}$ ) are used to construct the covariance 445 matrices as diagonal matrices:  $\mathbf{C}_{\mathbf{d}} = \mathbf{\sigma}_{\text{data}}^2 \times Id(n)$  and  $\mathbf{C}_{\mathbf{P}_{\mathbf{B}}} = \mathbf{\sigma}_{\text{prop.}}^2 \times Id(4m_{\text{CA}})$ . No *a priori* 446 information were considered for the structure local directions in  $\mathbf{P}_{N,\text{prior}}$  and  $\mathbf{C}_{\mathbf{P}_N}$ . 447

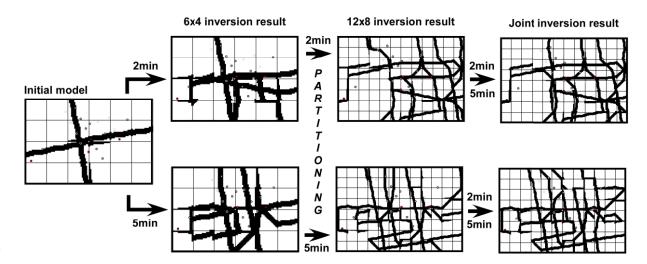
Parameter	Value		
Final partitioning	$12 \times 8$		
Final grid size	$\Delta x : 0.25 \text{ m}; \Delta y : 0.25 \text{ m}$		
Final network thickness	1 m		
$T_{ m init}$	$10^{-1}$ m <sup>2</sup> /s for the conduits ; $10^{-6}$ m <sup>2</sup> /s for the matrix		
$S_{ m init}$	$10^{-8}$ for the conduits ; $10^{-4}$ for the matrix		
$T_{ m buffer}$ ; $S_{ m buffer}$	$10^{-2} \text{ m}^2/\text{s}$ ; $10^{-3}$		

448 <u>Table 2:</u> Parameters used for the inversion process.

<b>a</b> <sup>2</sup>	0.01 on amplitude values
$\sigma_{\text{data}}$	10 on phase offset values
$\sigma_{\text{prop.}}^{2}$	0.1 (applied on the exponent: $10^{\beta \pm \sigma_{prop}}$ )

The inversion was led following a multi-scale method (Grimstadt et al. 2003), as described in Figure 6. The multi-scale inversion consists in performing an inversion first for a coarse resolution of the model, and then use the inversion result as a new initial model with a higher resolution for a new inversion process. This permits to progressively reduce the size of the discretization cells for the property field during the inversion, which can be interesting for studies on heterogeneous fields with no prior information on the property distribution. This was already done with the CADI method in Fischer et al. (2017c).

The initial model has been constructed with two unidirectional conduits with uniform property values (see Table 2) as a coarse approximation of the manual estimation made in Figure 4.



460

461 <u>Figure 6:</u> Schematization of the complete multi-scale inversion process. Starting from an 462 initial model, firsts inversions were led for a  $6 \times 4$  partitioning (shown by the grid). The 463 results were refined to  $12 \times 8$  subspaces and used for new inversions. Finally, joint inversion 464 were led starting from the results of the previous separate inversion.

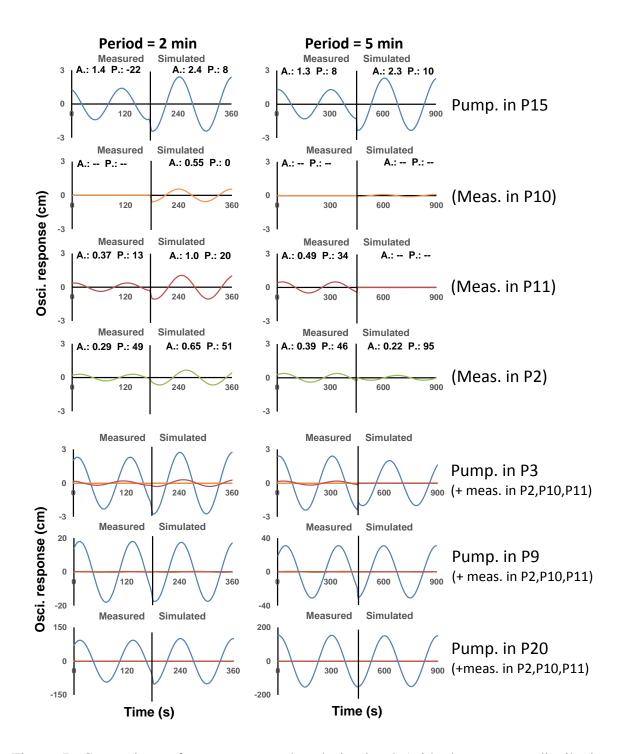
465

A first set of inversions were led by separating the 2 min and 5 min period responses, and 466 with a  $6 \times 4$  subspaces partitioning of the model (with a conduit thickness of 2 m). The results 467 of these separate inversions have then been repartitioned in  $12 \times 8$  subspaces models (with a 468 conduit thickness of 1 m), which were used as initial models for a new inversions with the 469 same datasets. This partitioning of the models permits to give more liberty to the inversion 470 process, while starting from 'not too far' solutions, which is especially interesting for a 471 472 deterministic process. The inversion results for the  $12 \times 8$  models with 2 min and 5 min data separated will be presented in section 4.2. 473

A final inversion process has consisted in starting from these  $12 \times 8$  separate results, with the same partitioning, by adding the 5 min data to the 2 min inversion result and the 2 min data to the 5 min results, for joint inversions. The results of these joint inversions will be discussed in section 4.3.

#### 478 **4.2.Modeling results**

Figure 9 shows the spatial distribution of hydraulic transmissivity and storativity inverted using the responses to the 2 min and 5 min periods, respectively. The comparison between the measured and simulated hydraulic responses is presented in Figure 7 and Table 3. It can be seen that the simulated responses match the measured ones quite well.

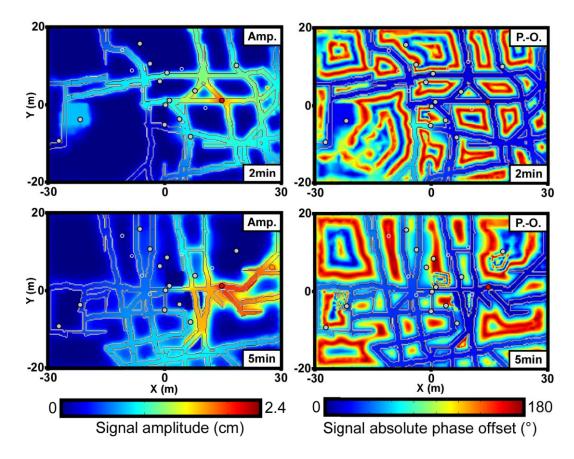


492

484 Figure 7: Comparison of some measured and simulated (with the property distributions presented in Figure 9) responses signals in observation points P2 (green), P10 (orange), P11 485 (red) and pumping points P3, P9, P15, P20 (each time in blue), for pumping signals with a 2 486 min (left) and a 5 min (right) period. In the case of the pumping in P3 we present in blue the 487 488 signal in P0, located 1 m away from P3 (which was not measured during the investigation). For a better readability the responses are presented separately for a pumping in P15 with their 489 amplitude (A. in cm) and their phase offset (P. in °) values. For the pumping in P3, P9 and 490 P20 the responses are presented on a same graph. 491

The simulated responses to the P15 pumping appear to be slightly overestimated implying the 493 existence of a very productive conduit in P15 that could not be simulated in the presented 494 model. Otherwise, the responses proportions and behaviors for each pumping are well 495 respected with the simulated signals. Overall, the Root Mean Square Error (RMSE) of the 496 amplitude is 1.1 cm for the 2 min response signals, and 0.5 cm for the 5 min response signals. 497 The RMSE of the phase offset is 56  $^{\circ}$  for the 2 min response signals, and 66  $^{\circ}$  for the 5 min 498 response signals (see Table 3). The difficulties in reproducing the phase offset data with the 499 CADI method may be contributed to the high degree of variation of the phase shift within the 500 low transmissivity matrix (Figure 8). A small displacement of a certain wellbore location by 1 501 m in the matrix can modify the phase offset by a value of 90 °. Thus, our phase offset RMSEs 502 remain under the variations produced by a 1 m displacement on the field, which is acceptable 503 504 at our scale.





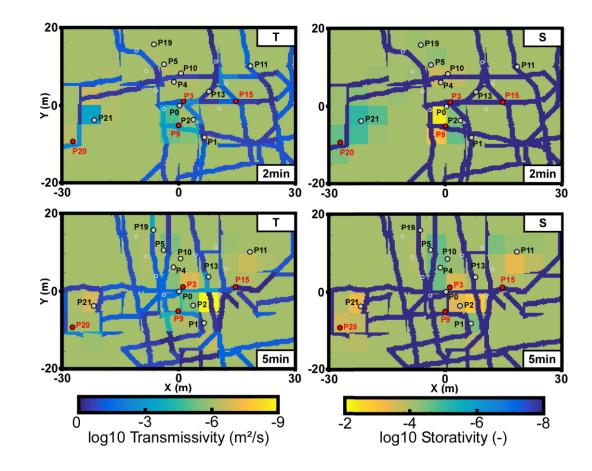
506 Figure 8: Maps of simulated spatial amplitude (Amp.) and phase offset (P.-O.) with the models in Figure 9 for a pumping in P15 with a signal period of 2 min and 5 min.
508

509 The amplitude of the signal is also decaying very fast in the matrix (Figure 8), thus the amplitude of a response is already a good information to characterize the proximity of a 510 borehole with a conduit of the karst network. According to Fischer et al. (2018b) and the 511 maps in Figure 8 produced with the CADI method, the amplitude in the responses signals of a 512 karstic aquifer permit to distinguish the boreholes in (or near) conduits from the ones in the 513 matrix. On the other side, the phase offset response permit to characterize more precisely the 514 distance of a responding borehole to conduits, as it varies orthogonally to the direction of the 515 516 conduit and stays very low within the network.

517 One advantage of using the CADI method in this work is that the optimized conduits 518 networks can be clearly distinguished from the matrix in the inverted fields in Figure 9. If

these optimized conduits networks represent only one possible geometry among other likely 519 models, they permit to interpret the relative positioning of each borehole (in a conduit, close 520 to a conduit, or in the matrix) and thus a degree of connectivity between them. The models of 521 networks produced by inversions of pumping tests of different periods are very different, 522 indicating that the both sets of responses provide different hydraulic information of the 523 aquifer. The reconstructed network for the dataset of a period of 5 min is denser than that for a 524 period of 2 min. The 5 min period dataset seems to carry information about karstic structures 525 of different scales (conduits, fractures, fissures) around the measurement points, while the 2 526 min period dataset tends to characterize more specifically the most conductive karstic 527 528 structures over the field scale. This hypothesis is supported by the maps of the amplitude in the models. Amplitude responses to a 2 min pumping signal in P15 quickly decease around 529 the borehole but remain visible in the coarse network over almost the entire field, while the 530 ones to a 5 min pumping signal stay high in the dense karstic network around P15, and 531 decrease beyond (Figure 8). 532

Concerning the property values, it appears that the reproduction of the responses required 533 more modifications on the transmissivity values than on the storativity, especially for the 534 conduits. Some similarities appear between the results to both periods, for example the 535 existence of conduits near P9 with lower transmissivities, which can then be assimilated more 536 likely to large fissures rather than conduits. Also in both results the conduits around P15 have 537 been associated to very high transmissivities (>  $1 \text{ m}^2/\text{s}$ ), which tends to indicate the existence 538 of a very productive conduit at this position. This information could already been deduced by 539 the fact that the responses induced by a pumping in P15 in the model were slightly 540 541 overestimated (Figure 7).



543 <u>Figure 9:</u> Maps of the distributions of transmissivity (T) and storativity (S) found by 544 separate inversions of the responses to periods of 2 min and 5 min.

542

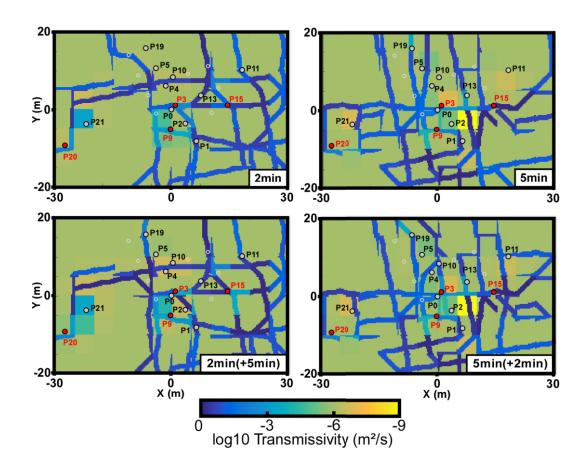
The fact that the two periods of signal lead to different solutions to the inverse problem, even by starting from a same initial model, tends to indicate that different periods of pumping signal induce different flow fields in the tested karst aquifer. In order to better understand the benefits of each period in a harmonic pumping characterization, one would need to study the results of the joint inversions, led with the responses to both periods simultaneously.

551

## 4.3. Effect of the period of pumping signal on the inversion results

It appears, in fact (see Table 3), that the separate models can badly simulate the responses associated to the signal period not used in each inversion (i.e. 5 min responses simulated through the model generated with 2 min responses, and conversely), suggesting that each set of response contains different and complementary information for the characterization of the

field. Therefore, new inversions were started from the results presented in Figure 9 as initial 556 models, by joining the missing responses to the 'observed responses' dataset in the inverse 557 process (see the joint inversion results in Figure 6). For a better understanding, we will 558 mention as '2 min' and '5min' separate results the model results in Figure 9 produced from 559 the inversion of the responses to the 2 min and 5 min periods separately. The models 560 produced by inversions of the joint datasets started with the '2 min' model and with the '5 561 562 min' model will be respectively mentioned as the '2 min (+5 min)' and '5 min (+2 min)' joint results. The results of the joint inversions are presented in Figure 10. 563



564

565 <u>Figure 10:</u> Maps of the distributions of transmissivity found by inversions of the responses to 566 the 2 min and 5 min periods, and joint inversions started with the 2 min result (2 min (+5 567 min)), and with the 5 min result (5 min (+2 min)).

568

The '2 min (+5 min)' result, solution to the inversion started from the '2 min' result, is very close to its initial model. The '5 min (+2 min)' result, solution to the inversion started from

the '5 min' result, shows some modifications on the periphery of its network (P4, P5, P10,
P11). Both joint solutions, however, do not permit a reproduction of the measured signals as
good as the one generated by the '2 min' and '5 min' separate results (see Table 3).

574 <u>Table 3:</u> RMSEs on the amplitude (Amp.) and phase offset (P.-O.) values for the different 575 inversion results. RMSEs values in brackets represent responses that were simulated through 576 models generated for another period of signal (i.e. 5 min responses simulated with a model 577 generated specifically for the 2 min responses and vice versa).

<b>Results RMSEs</b>		<b>'2 min' '5 min'</b>		<b>'2 min (+5 min)'</b>	<b>'5 min (+2 min)'</b>	
Amn	2 min	1.1 cm	(11 cm)	5.4 cm	5.1 cm	
Amp.	5 min	(9 cm)	0.5 cm	5 cm	5.3 cm	
во	2 min	56 °	(112°)	60 °	60 °	
<b>PO.</b>	5 min	(85°)	66 °	67 °	66 °	

578

Although the phase offsets RMSEs are almost the same for the joint results and the separate 579 results, the amplitudes RMSEs are multiplied by 5 to 10 with the joint results. This shows 580 that, even if the amplitude responses can be well reproduced by separate models for each 581 period, they cannot be reproduced very well with a unique model. This tends to validate the 582 583 hypothesis that different periods of pumping signal induce different flow fields in the aquifer, which need to be characterized separately. In fact, the CADI method is limited in its ability to 584 represent a variation of aperture in the generated network, which can partly explain why the 585 586 joint inversions are less good than the separate ones if the flows mobilized with each period occur in structures of different apertures. 587

However, even if the preferential flows change among the field for different pumping periods, the relative distance of each borehole toward the karstic network does not depend on the hydrodynamic but on the morphology of the karst structures, and thus their connectivity behavior should logically remain the same as the karst structure does not vary with the period of pumping. This is also what the reproduction of the phase offset values in the joint results would tend to indicate. In fact, according to Figure 8, if the area of propagation of the amplitude response is dependent to the density of the conduit network at that scale, it is not true for its phase offset which remains null in the conduits regardless of the network geometry.

597 In Table 4 we present the interpretation of the position of each borehole relatively to the conduits network, with the data processing estimations, the separate modeling results and the 598 joint modeling results. First, it is interesting to point out that the estimations made manually 599 in Figure 4 for the P15 pumping match for 62 % in term of position (in the conduit, close to a 600 conduit, in the matrix) the separate modeling results '2 min' and '5 min'. Taking account that 601 the estimation were made with only 13 responses over the 52 available, it shows that the 602 603 manual interpretation method described in section 2.4 and in Fischer et al. (2018b) can already provide rather interesting and fast estimations. 604

<u>Table 4:</u> Positioning or connectivity response of each borehole interpreted from the qualitative
 estimations (Figure 4), the separate inversion results (Figure 9), and the joint inversion results
 (Figure 10).

608 Notation:

 $609 \times :$  in a conduit = conduit connectivity response

610  $\otimes$  : close to a conduit (< 0.5 m) = conduit connectivity response

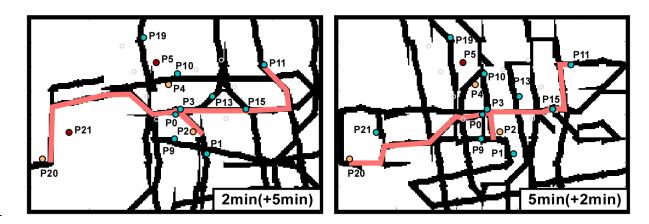
611  $\bigcirc$ : close to a conduit (< 2 m) = dual connectivity response

-: in the matrix = matrix connectivity response

	P E R I	$\mathbf{O}\mathbf{D} = 2$	2 M I N	P E R I	$\mathbf{O} \mathbf{D} = \mathbf{S}$	5 M I N	
	Est.	Sep.	Joint	Joint	Sep.	Est.	
<b>P0</b>	0	$\otimes$	$\otimes$	×	×	×	PO
<b>P1</b>	×	×	×	$\otimes$	$\otimes$	0	<b>P1</b>
P2	0	0	0	0	0	×	P2
<b>P3</b>	$>\!$	×	×	×	$\otimes$	$>\!$	<b>P3</b>
<b>P4</b>	_	0	0	0	_	_	<b>P4</b>
P5	0	_	_	_	0	0	P5
<b>P9</b>	0	$\otimes$	$\otimes$	×	×	0	<b>P9</b>
<b>P10</b>	_	$\otimes$	$\otimes$	×	_	_	P10
P11	×	×	×	×	_	×	P11
P13	×	×	×	×	×	0	<b>P13</b>
P15	×	×	×	×	×	×	P15
P19	0	_	×	×	×	×	P19
P20	0	0	0	0	0	×	P20



According to Figure 8, the amplitude and phase offset responses of an observable point very close to a conduit (< 0.5 m) are almost undistinguishable to the ones directly in a conduit. Therefore we consider these points to also have a conduit connectivity response in Table 4. Figure 11 maps represent the interpreted connectivity of each borehole obtained from the joint inversion results. This figure also shows that these results reproduce the schema of connectivity of the preferential flow path established in Jazayeri Noushabadi (2009) and Dausse (2015) between P2, P11, P15, and P20 (see Figure 1).



613

<u>Figure 11:</u> Maps of the connectivity responses associated to each borehole from the networks
(shown in background in black) inverted with the joints inversions. Boreholes in blue are
associated to a conduit connectivity, in orange to a dual connectivity, and in red to a matrix
connectivity. The red lines show flow paths in the models which show a same connectivity as
the field preferential flow path highlighted in Jazayeri Noushabadi (2009) and Dausse (2015).

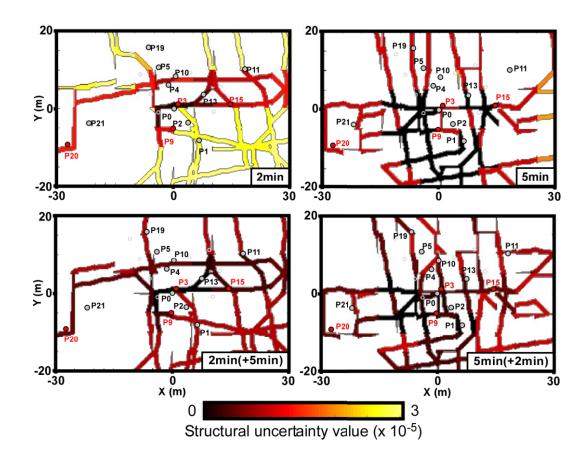
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Taking into account the connectivity response, 57 % of the boreholes show a similar behavior between the '2 min' and the '5 min' separate results. This degree of similarity increases to 93 % when comparing the boreholes connectivity responses from the two joint results. The only behavior difference between the two results comes from P21, which appears as connected through the matrix in the '2 min (+5 min)' result and as connected through conduits in the '5 min (+2 min)' result. However the property distribution of the '2 min (+5 min)' result in Figure 10 shows that its matrix transmissivity near the P21 point has a value close to a conduit transmissivity. This permits to induce a conduit connectivity behavior for P21, even if the conduit is distant from the borehole. Therefore, we can consider that P21 should have a 'conduit connection behavior'. The fact that the degree of similarity of the boreholes behavior has increased to almost 100 % for two joint result clearly shows that the reproduction of the whole responses dataset requires to delineate a unique connectivity relation between each borehole, even if it is not sufficient to reproduce the amplitudes of the measured responses.

The remaining question is: which period of signal contains this information, as the separate 641 results show only a 57 % similarity? The conduits network almost didn't change during the 642 643 joint inversion started from the '2 min' result, and in fact, the separate '2 min' result and the joint '2 min (+5 min)' result show a 93 % similarity in their borehole behaviors, while the '5 644 min' result has a degree of similarity of 71 % with '5 min (+2 min)'. Then, most of the 645 borehole behaviors found in the joint results were already present in the result of the 2 min 646 signal period, which indicates that the 2 min responses contain the most information about the 647 648 connectivity of each borehole.

If the two sets of amplitude responses are not well reproduced by the joint inversion while 649 they both delineate a similar type of response for each borehole, it shows that the 650 characterized property distribution (in extenso the induced flow paths network) is different for 651 each period. In fact, the responses to a 5 min period require a dense flow network to be 652 reproduced, while the responses to a 2 min period require a much more dispersed network. 653 The structural posterior uncertainty maps in Figure 12 indicate that the network of the '2 min' 654 result is very uncertain compared to the network of the '5 min' result. This indicates that the 655 656 responses to a 5 min period contain more information on the localization of the flow paths around the measurement points than the responses to a 2 min period. These last ones seem to 657 provide less precise information on the localization of the flows between the boreholes among 658

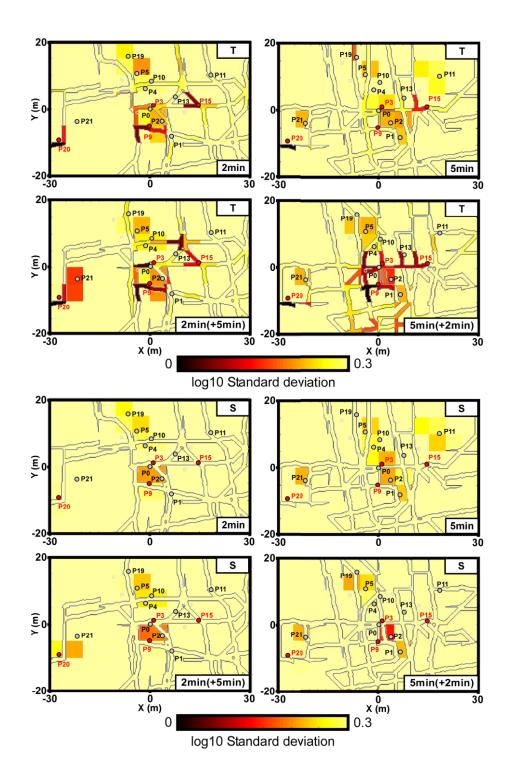
the field. When inverting jointly the responses from the two period, the networks in the joint 659 660 results show overall lower uncertainties. Globally, the study of the structural uncertainties tends to indicate that, while, as seen before, responses to a the lower period contain more 661 information on the type of connectivity of each borehole, the responses to the higher period 662 contain more information on the position of the preferential flow paths around the boreholes. 663 However, the fact that these both sets of responses cannot be well reproduced simultaneously 664 also indicates that the flows highlighted in the '5 min' result do not exist with a period of 2 665 min. Therefore, while the lower period essentially mobilizes water from the most conductive 666 karstic structures among the field, the dense flow field highlighted by the higher period can be 667 668 assimilated to a mobilization of water also in less conductive karstic structures.



669

Figure 12: Structural uncertainty values from the results found for separate inversions of the 2 min and 5 min responses, and joint inversions started with the 2 min result  $(2 \min (+5 \min))$ , and with the 5 min result  $(5 \min (+2 \min))$ .

The maps of the posterior uncertainties on the transmissivity values in Figure 13 tend to show 674 675 that the responses to a 2 min period give more information on the transmissivity of conduits locally around the pumping points (average  $\pm 0.1$  on the transmissivity exponent) than the 676 responses to a 5 min period ( $\pm 0.2$  on the transmissivity exponent). The uncertainties on the 677 storativity values remain high in the whole conduit network and for both periods ( $\pm 0.2$  on the 678 storativity exponent). Further from the pumping boreholes, both property values in the 679 680 conduits network (transmissivity and storativity) globally remain uncertain ( $\pm 0.3$  on the exponent), even in the joint results. The transmissivity and storativity values in the matrix are 681 more constrained around the measurement points in the matrix. These information indicate 682 683 that, for both periods, the characterization of the property values with oscillatory responses remains local, around the boreholes. Oscillatory responses provide more information on the 684 global connectivity and the localization of preferential flows rather than on the property 685 686 values of the matrix and the conduits and fissures in the aquifer.



688 <u>Figure 13:</u> Transmissivity (T) and storativity (S) standard deviation values of the results 689 found for separate inversions of the 2 min and 5 min responses, and joint inversions started 690 with the 2 min result ( $2 \min (+5 \min)$ ), and with the 5 min result ( $5 \min (+2 \min)$ ).

691

Overall, regarding our results, it appears that the flow paths generated by periods of 2 min anda 5 min in the pumping signals in this karstic field are very different. It appears in fact that, at

our field scale, higher frequency signals (here a period of 2 min) activate principally the most 694 conductive flow paths over the field, mostly located in the conduits network. These 695 frequencies permit to better characterize the distance between each borehole and the most 696 conductive karstic structures. Therefore, they allow a better interpretation of a degree of 697 connectivity between boreholes, through the network of karstic conduits. Lower frequency 698 signals (here a period of 5 min) activate, at our field scale, both conductive and also less 699 700 conductive structures. Therefore, these frequencies permit to better characterize the existence and the localization of networks of fractures and fissures around the boreholes. 701

#### 702 **5. Discussion**

In Fischer et al. (2018b), the authors have described a qualitative method for interpreting 703 inter-well connectivity from the responses to harmonic pumping tests in karstic aquifers, by 704 705 categorizing the extracted oscillatory responses in three types (conduit, dual, matrix connectivity). In this work the method is further developed through the integration of a 706 707 quantitative interpretation with an inverse algorithm, the CADI method, that can handle a large number of measured data simultaneously and generate complex distributions of 708 properties. The integrated approach permits to produce spatial distributions of amplitude and 709 710 phase offset responses consistent with those studied by Fischer et al. (2018b).

The comparison of inverted conduit networks from periods of 2 min and 5 min indicates different pumping frequency generate different flow fields. A higher frequency will permit to better characterize the flows in highly conductive structures, and the conduit connectivity at a field scale. This finding is consistent with the work of Rabinovich et al. (2015) where the authors show that the flow paths tend to follow the most conductive media especially at lower period. On the contrary, a lower frequency will permit to better characterize the flows in less conductive structures, and thus the localization of networks of smaller conduits, fractures and

fissures. Each frequency of signal permits to generate responses holding different and 718 719 complementary information on karst structures. There is therefore, according to our results, no 'best' choice of period for the characterization of a karstic field. This choice should be made 720 721 accordingly to what structures one would most likely characterize. The important impact of the period of the pumping signal on the ratio of conduit/matrix flows has already been 722 highlighted with a simplified study case in Fischer et al. (2018b), but our new work also 723 724 shows a more complex role of the different structures (conduit, fracture, fissure, matrix) on the generation of different flow fields with the different frequencies of pumping signal. 725

To summarize, if at a regional scale one would imagine that the difference between a lower 726 727 and a higher frequency pumping would principally concern the zone of influence in the aquifer (with a larger zone for a lower frequency), this difference implies more specific 728 behaviors at a smaller site scale. In fact, at this scale, higher frequencies mobilize water 729 essentially in the most conductive structures, while lower frequencies permit to reach also a 730 mobilization of water in the less conductive structures and media. On another hand oscillatory 731 732 responses do not provide precise information on the conductivity and specific storage values in the conduits and the matrix very far from the pumping point. However the same 733 observation was made on the same field for the inversion of steady-state responses to eight 734 735 pumping tests at constant rates in Fischer et al. (2017c). This previous article also showed that steady state data to constant rate pumping (comparable to an infinitely high oscillatory period) 736 737 were more sensitive to fracture flows and required a dense inverted network to be reproduced, which is in agreement with our observation for a higher period of signal in this work. 738 739 Furthermore, the uncertainty analysis from this previous article indicated that the constant rate 740 data, as the data for a period of 5 min in the pumping signal in this work, permitted to better characterize the flow structures in areas where we had measurement points. The inverted 741 networks in the results from our new work and the ones in Fischer et al. (2017c) both 742

reproduce the established connectivity of the Terrieu field (Jazayeri Noushabadi 2009 ;
Dausse 2015), but in the case of the constant rate data, the inversions were led with responses
to an investigation of eight pumping points instead of four with the harmonic investigation.

746 The results of our new work, associated to the previous ones in Fischer et al. (2017c), tend to indicate that the steady state responses to a constant pumping rate would blur the connectivity 747 associated to the most conductive conduits among the field, while better characterizing the 748 karstic structures of different scales in the areas around the pumping wells. Therefore it would 749 require several well distributed pumping points in order to characterize the whole karstic 750 network. Responses to an oscillatory pumping rate, on contrary, allow an already good 751 752 characterization of the karstic connectivity from a unique pumping in a borehole in the karstic network (as P15 in this work). 753

#### 754 **6.** Conclusion

In this work we have extended the qualitative method presented in Fischer et al. (2018b), for interpreting a karstic network connectivity from the hydraulic responses to harmonic pumping tests, to a quantitative analysis by combining these responses with an inversion algorithm. The integrated approach is able to deal with a large set of data simultaneously and to construct structurally contrasted distributions of hydraulic properties conditioned to the measured tomographic harmonic pumping responses.

Our results show that tomographic harmonic pumping tests performed with different signal frequencies led to a characterization of different structures of the karstic network. Higher frequency signals tend to assist in interpreting a degree of connectivity between each borehole of the field and the most conductive structures, while lower frequency signals are more useful in the localization of less conductive features, such as small fractures and fissures. The CADI method, as imaging tool, shows limitations in its ability to represent complex structures of different aperture simultaneously, as already noticed in Fischer et al. (2017c), which can partly explain the less good results of the joint inversions compared to the separate inversions in this work. However we believe that the combination of the CADI method with tomographic harmonic pumping tests appears as a promising methodology for a quantitative characterization of the hydraulic properties and the hydraulic connectivity in karstic aquifers.

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## 779 Appendix

Appendix 1: Interpreted amplitude and phase offset responses for both signal periods (2 min,
 5 min) of each pumping point (P3, P9, P15, P20) and distances between the measurement
 points and the pumping points. A dash represents a negligible oscillatory response (amplitude
 lower than 1 mm). Dashes represent a negligible oscillatory response, considered as null for
 the inversion.

			P3					<b>P9</b>		
	Distance (m)	Amplitude (cm)		Phase offset (°)		Distance (m)	Amplitude (cm)		Phase offset (°)	
		2 min	5 min	2 min	5 min		2 min	5 min	2 min	5 min
<b>P0</b>	1.4	2.30	2.40	27	11	5.3	0.20	0.11	0	24
<b>P1</b>	10.6	0.17	0.17	-53	145	7.2	0.33	0.14	0	-110
<b>P2</b>	5.4					4.2	0.26	0.66	109	88
P4	5.7					11.4				
P5	11	0.18	0.20	151	-126	16.4	0.22	0.23	143	82
<b>P9</b>	6.3	0.20	0.47	104	71		18	31	39	54
<b>P10</b>	7.5					13.7				
P11	19.4	0.19	0.18	-20	41	24	0.17	0.12	0	-97
P13	6.9	0.33	0.22	40	85	11.7	0.18	0.14	143	0
P15	13.3					15.9	0.26	0.16	112	166
P19	16.7	0.13	0.11	-172	-25	22	0.27	0.11	110	-134
P20	30.1					27.3				
P21	23.3					21.6				
			P15					P20		
	Distance	-	litude		offset	Distance	-	litude		offset
	<b>(m)</b>	(cm)		(°)		<b>(m)</b>	(cm)		(°)	
		2 min	5 min	2 min	5 min		2 min		2 min	5 min
PO	14.4	0.27	0.42	41	52	28.9	0.38	0.24	-7	68
<b>P1</b>	12.4	0.36	0.18	-39	154	33.6	0.37	0.15	6	-76
P2	11.7	0.29	0.39	49	46	31.5	0.24	0.26	3	64
P4	16.6					30.1				
P5	20.7	0.18	0.19	166	79	30.9	0.25	0.14	17	135
<b>P9</b>	15.9	0.22	0.28	-22	82	27.3	0.26	0.20	14	114
<b>P10</b>	15.8					32.9				
P11	9.9	0.37	0.49	13	34	49.5	0.33	0.26	11	68
P13	7.3	0.52	0.23	-76	-168	37.1	0.23	0.15	5	-9
P15		1.40	1.30	-22	8	43	0.46	0.35	3	56
P19	25.6	0.14	0.26	14	66	32.7	0.14	0.15	-78	84
11/	20.0									
P20	43	0.27	0.34	64	53		93	153	41	3

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